

Item: 1

Description:

Full Day Universal PreKindergarten Services for four year olds commencing 2016-2017 (Educational Continuity)

REQUEST FOR AUTHORIZATION TO CONTRACT WITH PROVIDERS OF EARLY CHILDHOOD EDUCATION SERVICES FOR FOUR-YEAR-OLDS IN THE UNIVERSAL PREKINDERGARTEN (UPK) PROGRAM (RFP R1125)

| Estimated Annual / Total Contract Amounts | Funding Source | Contract Term | Options | Estimated Option Amount | Procurement Method | Is Contract Retroactive? | Contract Type |
|---|---|---------------|---|-------------------------|-----------------------------|--------------------------|---------------|
| \$727,056.00 / \$2,242,968.00 | New York State Education Department (NYSED), Tax Levy | Three Years | Options to Extend for Two 1-Year Periods or One 2-Year Period | \$1,454,112.00 | Request for Proposals (RFP) | Yes | Requirements |

| | |
|----------------------------------|---------------------|
| Vendor Name & Address | See attached table. |
|----------------------------------|---------------------|

| Contract Manager | Lead Contracting Officer | Division of Contracts & Purchasing Contact |
|--|---|---|
| Shanny Spraus-Reinhardt Executive Director, Field Operations Division of Early Childhood Education | Sophia Pappas Chief Executive Officer Division of Early Childhood Education | Angela Edwards Chief Administrator Central Office Procurement |

PURPOSE

Authorization is requested to contract with the vendors listed in the attached table, all of which have existing kindergarten programs, to provide high-quality instructional programming as part of the Full-Day Universal Prekindergarten (UPK) program.

DISCUSSION

In 1997, the New York State Education Department (NYSED) established the Universal Prekindergarten program (UPK), which entitles eligible four-year-olds to receive 2 hours and 30 minutes of instructional programming for 180 days at no charge to families. In March 2014, the State legislature approved a \$300 million grant to expand full-day pre-kindergarten access in New York City. The legislation allows for high-quality UPK programs to be awarded new full-day UPK seats or to convert existing half-day seats to full-day seats.

The UPK program operates citywide in public and non-public school settings. Achieving universal access to prekindergarten services depends on the partnerships that link community-based organizations with the NYC public school system.

Additionally, New York State Education Law provides for a statewide Universal Prekindergarten Program and contracts for these services are necessary. This solicitation was conducted as a Request for Proposals (RFP) and not as a Request for Bids (RFB) because these services are administered directly to children and must be evaluated on five (5) criteria listed in the RFP.

The City of New York is continuing to implement Pre-K for All, a truly UPK system in New York City that provides every age-appropriate child with free, full-day, high quality pre-K. As such, an RFP was released on December 17, 2015, seeking to identify and award eligible high-quality early childhood providers who are willing to collaborate with the DOE's Division of Early Childhood Education (DECE) to implement the Pre-K for All program in New York City districts with a need for more publicly-funded, full-day pre-K seats than the DOE is able to offer within the New York City public school system. This RFP was open to providers with existing kindergarten programs for five-year olds at their site and plan to offer Pre-K for All, with the expectation that Pre-K for All students will enroll in their existing kindergarten program as five-year olds. The deadline for proposals was February 3, 2016.

DECE performed a needs analysis to determine areas to target for full-day seats citywide. DECE measures this seat gap by evaluating the difference between the estimated number of Pre-K aged students in each district and zone, and the number of Pre-K seats available.

Of the 25 proposals received in HHS Accelerator, 20 were evaluated after removing non-responsive proposals during the responsiveness checks. There are 3 vendors recommended for award of full-day programs at 3 sites on this Request for Authorization. Additional sites will be included in subsequent RAs. Contracts for these services are necessary because the DOE does not possess the personnel to perform them.

The program provides at least 180 days of full-day Pre-K for All services, including at least 176 days of instruction and four (4) days of professional development for teachers. Providers must operate five (5) days (Monday to Friday) in a typical week, and provide a minimum of six (6) hours and twenty (20) minutes and a maximum of seven (7) hours of Pre-K for All services each day. Providers may request approval from the DECE field offices to operate 6 days per week in a typical week (Monday through Friday and either Saturday or Sunday) and/or to operate for fewer than six (6) hours and twenty (20) minutes per day.

DECE convened evaluation committees comprised of DECE field office Pe-K for All instructional and operational personnel to evaluate proposals under their guidance, as well as consultants with extensive experience in Early Childhood education. Proposals were scored using the following evaluation criteria:

| RESPONSE CATEGORY | MAXIMUM POINTS |
|--|-----------------------|
| Vision & Organizational Capacity | 11 |
| Effective School Leadership and Collaborative Teachers | 21 |
| Rigorous Instruction | 33 |
| Strong Family-Community Ties | 24 |
| Supportive Environment | 11 |

All vendors in areas where additional seats are needed who met the minimum qualifications, scored at or above the 55 points, proposed a viable site, and presented fair and reasonable prices are recommended for award. In areas where the capacity of vendors exceeded the estimated demand for services, awards were made based on vendor scores.

While price is not an evaluation criteria, contract awards were subjected to successful budget negotiations between the DOE and proposers based on fair and reasonable price per student. DECE negotiated budgets, inclusive of the cost-per-child and any proposed start-up funding (materials, furniture, and/or construction) with vendors recommended for award. Proposers' program expenditures were subject to review to assure that actual expenditures were consistent with the approved budgets. The negotiated cost-per-child is the amount charged for the term of the entire contract.

The UPK full-day expansion allows for limited start-up funds for programs beginning new full-day programs. Vendors requiring start-up funds were offered additional resources outside of their negotiated per child rate for the first year of their contract. These funds will support facilities improvements necessary for their required Department of Mental Health and Hygiene permit, other small upgrades, or for furniture and other materials for their new classrooms.

VENDOR RESPONSIBILITY

The vendors listed below and their principal owners and officers were subject to a comprehensive background check and no significant adverse information was found. Therefore, all listed vendors have been determined to be responsible.

| Vendor | Site District | Site ID | Geographic Borough | Site Zip Code | Awarded # of Full-Day Seats | Negotiated Cost per Child for Full-Day Seats | Negotiated Annual Contract Amount for Full-Day Seats | Start-up costs for furniture and materials | Start-up costs for facilities/permits |
|--|---------------|---------|--------------------|---------------|-----------------------------|--|--|--|---------------------------------------|
| Klever Kidz, LLC d/b/a Basin Prep | 22 | KCNI | K | 11234 | 20 | \$ 10,995.00 | \$ 219,900.00 | \$ 1,800.00 | \$ - |
| The Roman Catholic Church of Our Lady of the Snows, Floral Park, Queens County | 26 | QADU | Q | 11004 | 36 | \$ 10,250.00 | \$ 369,000.00 | \$ 30,000.00 | \$ 30,000.00 |
| First Noah's Ark Universal Daycare, Inc. d/b/a Noah's Ark Daycare | 22 | KCPT | K | 11234 | 12 | \$ 11,513.00 | \$ 138,156.00 | \$ - | \$ - |

Item: 2

Description:

Full Day Universal PreKindergarten Services for four year olds commencing 2016-2017 (Zip Codes) - Withdrawn

Item: 3

Description:

Full Day Universal PreKindergarten Services in Charter Schools for four year olds commencing in 2016-2017 - Withdrawn

Item: 4

Description:

Arts education services (RA#20)

REQUEST FOR AUTHORIZATION (RA#20) FOR ARTS EDUCATION SERVICES
(MTAC R0891)

| Estimated Annual / Total Contract Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|--|----------------|---------------|---------|-------------------------------------|--------------------------|---------------|
| \$60,000 / \$300,000 | Various | Five Years | None | Multiple Task Award Contract (MTAC) | No | Requirements |

| Vendors Names & Addresses | Components* | Estimated Annual / Total Amount |
|---|-----------------|---------------------------------|
| Rush Philanthropic Arts Foundation 334 Grand Avenue Brooklyn, NY 11238 | 4 - Visual Arts | \$30,000 / \$150,000 |
| Young People's Chorus of New York City 37 West 65 th Street, #305 New York, NY 10023 | 2 - Music | \$30,000 / \$150,000 |

| Contract Manager | Lead Contracting Officer | Division of Contracts & Purchasing Contact |
|--|--|--|
| Paul King Executive Director Office of Arts & Special Projects | Morayo Tracey Oyemade Deputy Senior Executive Director Finance and Procurements Division of Teaching and Learning | Aldrina Hazell Procurement Analyst Instructional Service Procurement |

PURPOSE

Authorization is requested on behalf of the Office of Arts and Special Projects (OASP) to contract with the vendors named above to provide Arts Education Services. The awarded vendors will offer direct services to students in visual arts and music, respectively. Services will be provided at the discretion of each participating school or central office operating on behalf of a school or consortium of schools.

DISCUSSION

Vendors will deliver services that support and advance teaching and learning by building on the *Blueprint for Teaching & Learning in the Arts* and the New York State Learning Standards (NYSL) for the Arts. The *Blueprint* forms the basis for instructional programs in dance, music, theater, visual arts, and the moving image and is built upon five strands of Arts Learning: Arts Making, Literacy in the Arts, Making Connections, Community and Cultural Resources, and Careers and Life-long Learning. Services provided by awarded vendors will incorporate the guidelines established in the *Blueprint* while enhancing studies in other areas.

Proposals were distributed to an evaluation committee that included Arts Coordinators and Directors from OASP, all of whom are knowledgeable about the *Blueprint* and the NYSL for the Arts. They have participated in developing the *Blueprint*, are currently implementing arts programming, and possess years of experience within the New York City public schools or DOE central offices. To ensure scoring consistency, a training session was conducted for all committee members, in which they received an overview of the process and a scoring rubric. Proposals were evaluated using the following criteria:

program plan (25 points), organizational capacity (25 points), demonstrated effectiveness (25 points), and price (25 points). Successful vendors were required to achieve a minimum score of 80 points.

Contract award has previously been made to 111 proposers and two are recommended in this RA.

Rush Philanthropic Arts Foundation Gallery-in-the-School visual art residencies consist of hands-on art-making projects that students display in an end-of-year exhibition at their school. Students create a gallery space at their school, taking on roles that include curating, handling art work, marketing, and promotion. Throughout the year, students write about and discuss in detail their work and the work of their peers. Students work with various art forms such as: two- and three-dimensional media, painting, drawing, printmaking, ceramics, collage, sculpting, textiles, and watercolor. Visits to artists' studios and art institutions are included. At the projects' completion, students discuss the projects' content, materials, and methods used and initiate discussions on art works' elements and aesthetic qualities.

The Young People's Chorus of NYC (YPCNYC) is an internationally acclaimed, award-winning children's chorus that received the National Arts & Humanities Youth Program Award and has represented the United States in many prestigious international events. YPCNYC performs a wide-ranging repertoire in all formats and styles, from renaissance and classical traditions through gospel, folk, pop, contemporary, and world music. Students participating in the Satellite School Program are taught by experienced conductors. They read and write music; learn interpretative disciplines and healthy vocal skills; sing in unison and in two and three-part harmonies; perform at their schools, in their communities, and on professional stages such as Carnegie Hall and Lincoln Center; study music literacy and sight reading; practice proper choral technique; sing in various languages, including Spanish, French, German, Italian, Japanese, Chinese, and Zulu. Auditions are held for participation in the youth chorus. Those accepted will have the opportunity to sing in concert venues in North America and Europe with professional symphony orchestras.

The negotiated hourly rates of \$186 for Rush Philanthropic and \$215 for YPCNYC have been determined to be fair and reasonable based on comparison with hourly rates for similar services provided by vendors contracted under this solicitation.

The estimated annual contract amount is based on expenditures under previous contracts for similar services.

A background check, including VENDEX, VCIP, the System for Award Management and the Uniform Commercial Code found no significant adverse information. Vendors have therefore been determined to be responsible.

It is necessary to contract for these services because the DOE does not possess the expertise necessary to meet the objectives of this program.

The MTAC process is the preferred procurement method as this process allows the DOE to award contracts for similar services to multiple vendors in order to meet demand for such services and to offer a choice among vendors.

As a part of the MTAC process, all service requests over \$25,000 must go through a competitive process for procurement of services. Users will be required to create a scope of services and conduct a mini-solicitation process among the awarded vendors in their component areas. Vendors will be required to provide a statement of work and pricing based on their contracted services, which will be evaluated and scored by the user. Upon completion of this mini-solicitation process, schools will be able to secure services via a purchase order. This process is to ensure competition among the large number of vendors, who have been awarded contracts as a result of this procurement. Future RAs for these services will be submitted in batches as new providers are presented for award of contracts.

***Component Services:**

1. Dance – ballet, modern, hip-hop, ballroom, African, Caribbean, dances of other countries & cultures, choreography.
2. Music – hip hop, jazz, classical, instrumental, vocal, choral, composing.
3. Theater – playwriting, storytelling, performing, playmaking, auditions, assemblies.
4. Visual Arts – museum tours, paintings, murals, drawing, architecture, collages.
5. Moving Image, New Media – film making, videography, photography.
6. Parent Engagement – all of the above subjects in concert with direct student services.

Item: 5

Description:

Evaluation services to assess the effectiveness of educational programs provided by contracted vendors.

**REQUEST FOR AUTHORIZATION FOR SYSTEMWIDE PROGRAM EVALUATION
SERVICES (R0995) RA #1**

| Estimated Annual / Total Contract Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|--|-------------------------------|---------------|---------|-------------------------------------|--------------------------|---------------|
| \$310,000 / \$1,550,000 | Tax Levy & Reimbursable Funds | Five Years | None | Multiple Task Award Contract (MTAC) | No | Requirements |

| | |
|-------------------------------------|--|
| Vendor Names & Addresses | See Table B, "Awarded Vendors," below. |
|-------------------------------------|--|

| Contract Manager | Lead Contracting Officer | Division of Contracts and Purchasing Contact |
|---|--|--|
| Michelle Paladino Deputy Executive Director Division of Teaching & Learning | Morayo Tracey Oyemade Deputy Senior Executive Director Finance and Procurements Division of Teaching and Learning | Joy Gentolia Procurement Analyst Instructional Service Procurement |

PURPOSE

Authorization is requested on behalf of the Division of Teaching and Learning to contract with the five vendors listed below for systemwide program evaluations. All services will be provided at the discretion of participating schools, central offices operating on behalf of schools, or consortiums of schools.

DISCUSSION

This is the first request for authorization for contracts recommended for award pursuant to a Multiple Task Award Contract (MTAC) solicitation seeking vendors to provide comprehensive evaluation services for various instructional programs and professional services, including needs assessments, logic models, surveys, focus groups, implementation evaluations, outcome evaluations, and data analysis summarized in written reports.

Schools, districts and DOE central and field offices implement various programs for instructional support, staff development, conflict resolution, and other types of pedagogical services. In order to determine the success and effectiveness of these programs, a qualitative evaluation must often be conducted.

It is necessary to contract for these services because the DOE does not possess the expertise necessary to meet the objectives of this program.

There are currently 14 contracts for systemwide program evaluation services under a previous solicitation; nine of these contracts will expire on June 30, 2016. Under these contracts, vendors provided services to 48 schools and 11 central/field offices, including the Division of Teaching and Learning, the Division of Early Childhood Education, the Bureau of Non-Public Schools, the Office of English Language Learners, and the Office of Safety and Youth Development.

18 proposals received and evaluated by a minimum of three members drawn from a pool of nine evaluators consisting of program directors, including a former teacher and research specialists. Proposals were scored based on program plan (25 points), organizational capacity (25 points), pricing (25 points), and demonstrated effectiveness (25 points). Successful vendors were required to achieve a

minimum score of 80 points. The committee recommended 17 of the vendors for contract award, of which five are named here and twelve are still undergoing price analysis and background checks.

The costs of program evaluation services vary and depend on the scale of the program being evaluated. Table A shows the proposed and negotiated average hourly rates for each vendor. The negotiated rates range from \$59 for Hezel's services, which include in-person, telephone, and video-based interviews, and paper-and-pencil and online questionnaires focusing on customized research, student literacy, school improvement, and districtwide STEM programs, to \$98 for the American Institute for Research's (AIR) evaluation of systemwide programs that includes program monitoring, formative studies of program implementation, and summative studies of program impact. AIR uses a range of structured observation protocols to assess of classroom quality, including the Classroom Assessment Scoring System (CLASS) for Pre-K-12, the Early Language and Literacy Classroom Observation (ELLCO) Pre-K tool, and the Classroom Observation of Early Mathematics Environment. AIR's evaluators also are trained to reliably score and summarize the results of these observations so that they can be used to inform teacher instruction, identify professional development needs, and assess program effectiveness.

Negotiations resulted in an average cost savings of approximately 22 percent in hourly rates to the DOE. Pricing for all five vendors was determined to be fair and reasonable based on a comparison with hourly rates for like services by vendors contracted under similar solicitations.

| TABLE A | | |
|--|------------------------------|--------------------------------|
| Awarded Vendor | Original Average Hourly Rate | Negotiated Average Hourly Rate |
| American Institutes for Research in the Behavioral Sciences dba American Institutes for Research | \$119 | \$98 |
| American Education Solutions, Inc. | \$96 | \$79 |
| John Schoener and Associates, Inc. | \$138 | \$93 |
| Eskolta School Research and Design, Inc. | \$117 | \$87 |
| Hezel Associates, LLC | \$73 | \$59 |

The estimated annual contract amounts for Hezel Associates and John Schoener and Associates were derived from their previous contracts for these types of services. American Education Solutions, AIR, and Eskolta are new vendors with an estimated minimum amount for a requirements contract of \$30,000.

Background checks, including VENDEX, the Uniform Commercial Code, the Federal Tax Lien, the System for Award Management, and DOE internal sources, found no significant adverse information for any of these vendors. All five vendors have therefore been determined to be responsible to provide approved services.

The MTAC process is the preferred procurement method as this process allows the DOE to award contracts for similar services to multiple vendors in order to meet demand for such services and to offer a choice among vendors.

As a part of the MTAC process, all service requests over \$25,000 must go through a competitive process for procurement of services. Users will be required to create a scope of services and conduct a mini-solicitation process among the awarded vendors in their component areas. Vendors will be required to provide a statement of work and pricing based on their contracted services, which will be evaluated and scored by the user. Upon completion of this mini-solicitation process, schools will be able to secure services via purchase order. This process is to ensure competition among the large number of vendors who have been awarded contracts as a result of this procurement. Future RAs for these services will be submitted in batches as new providers are presented for award of contracts.

TABLE B - AWARDED VENDORS

| Vendor Name & Address | Estimated Annual / Total Amount |
|---|--|
| American Education Solutions, Inc. 3 Croton Point Avenue Croton on Hudson, NY 10520 | \$30,000 / \$150,000 |
| American Institutes for Research in the Behavioral Sciences dba American Institutes for Research 1000 Thomas Jefferson Street, NW Washington, DC 20007 | \$30,000 / \$150,000 |
| Eskolta Research and Design, Inc. 50 Broad Street, Ste. 1615 New York, NY 10004 | \$30,000 / \$150,000 |
| John Schoener and Associates, Inc. 973 Springs Fireplace Road East Hampton, NY 11937 | \$100,000 / \$500,000 |
| Hezel Associates, LLC 731 James Street, Ste 410 Syracuse, NY 13203 | \$120,000 / \$600,000 |

Item: 6

Description:

Literacy professional development services (RA11)

**REQUEST FOR AUTHORIZATION (RA #11) FOR LITERACY
PROFESSIONAL DEVELOPMENT (MTAC R0996)**

| Estimated Annual / Total Contract Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|--|-------------------------------|---------------|---------|-------------------------------------|--------------------------|---------------|
| \$30,000 / \$150,000 | Tax Levy & Reimbursable Funds | Five Years | None | Multiple Task Award Contract (MTAC) | No | Requirements |

| Vendor's Name & Address | Component(s) |
|---|--------------|
| Frank Cunningham Consultancy, Inc. 147 W 79 th Street, Apt. 11D New York, NY 10024 | 1, 2 |

| Contract Manager | Lead Contracting Officer | Division of Contracts & Purchasing Contact |
|---|--|---|
| Jessica Kaplan Deputy Executive Director Office of Curriculum, Instruction & Professional Learning Division of Teaching and Learning | Morayo Tracey Oyemade Deputy Senior Executive Director Finance and Procurements Division of Teaching and Learning | Joy Gentolia, Director Instructional Service Procurement |

PURPOSE

Authorization is requested on behalf of the Division of Teaching and Learning to contract with Frank Cunningham Consultancy, Inc. (FCC) to provide systemwide literacy professional development services. These services will be provided at the discretion of participating schools, central offices operating on behalf of schools, or consortiums of schools.

DISCUSSION

To help ensure that its students achieve the higher standards in literacy that adoption of Common Core Learning Standards (CCLS) requires, the DOE must acquire professional development services for its teachers, leaders, coaches, administrators, and support staff. These services will increase literacy content and pedagogical knowledge, support the implementation of CCLS, and align resources to improve student achievement. These services will also support schools and learning communities as they plan and conduct effective instruction and professional development in literacy.

Contracted vendors will provide high-quality, needs-based, and CCLS-aligned professional development and support instruction for teachers and other staff covering kindergarten through 12th grade. Services will focus on literacy content, pedagogy, citywide core curriculum programs, research, and best practices and will include institutes, workshops, seminars, coursework, conferences, walk-throughs, and intervisitations.

It is necessary to contract for these services because the DOE does not possess the expertise necessary to meet the objectives of this program.

In response to the solicitation, vendors proposed for one or both of these focus areas: 1) Literacy Professional Development and 2) Literacy Consultants/Coaches.

Proposals were evaluated by a minimum of three evaluators. The evaluation committees included a principal, a teacher, senior instructional coaches, and program directors. Proposals were scored based

on program plan (25 points), organizational capacity (25 points), pricing (25 points), and demonstrated effectiveness (25 points). Successful vendors were required to achieve a minimum score of 80 points.

Twenty-eight (28) vendors were recommended for contract awards under previous RAs and one is recommended here.

The recommended vendors' services introduce shifts in pedagogy and provide direction on ways to use student data to inform instructional practices and improve student achievement. Professional development offerings will provide specific support to schools and teachers for implementing reading and other components of literacy instruction in ELA and content area classrooms, including foundational literacy skills. Program delivery methods include customized workshops, support, and coaching.

Frank Cunningham Consultancy's professional development for K-12 incorporates evidenced-based research in adult learning theory and principles. FCC's programs provide opportunities for teachers to improve their practice by: 1) developing awareness of new teaching approaches and content, 2) building knowledge of content and pedagogy, 3) translating new knowledge into their teaching, 4) practicing teaching a new skill or processes with their students, and 5) reflecting deeply on teaching and learning to assess the impact of instructional changes. The delivery of the professional development takes place in a variety of formats, including job-embedded classroom-based modeling and demonstration lessons, study groups, workshops, institutes, and case discussions in collaboration with the school partner.

FCC's proposed average hourly pricing was negotiated from \$183 per hour to \$171 per hour for a seven percent price improvement. Pricing has been determined to be fair and reasonable based on a comparison with hourly rates for like services by vendors contracted under this solicitation.

The estimated annual contract amount for FCC is based on the minimum amount for new vendors in Literacy Professional Development requirements contracts of \$30,000.

Background checks including VENDEX, the Uniform Commercial Code, the Federal Tax Lien, and the System for Award Management identified no significant adverse information. FCC has therefore been determined to be responsible to provide approved services.

The MTAC process is the preferred procurement method as this process allows the DOE to award contracts for similar services to multiple vendors in order to meet demand for such services and to offer a choice among vendors.

As a part of the MTAC process, all service requests over \$25,000 must go through a competitive process for procurement of services. Users will be required to create a scope of services and conduct a mini-solicitation process among the awarded vendors in their component areas. Vendors will be required to provide a statement of work and pricing based on their contracted services, which will be evaluated and scored by the user. Upon completion of this mini-solicitation process, schools will be able to secure services via purchase order. This process is to ensure competition among the large number of vendors who have been awarded contracts as a result of this procurement. Future RAs for these services will be submitted in batches as new providers are presented for award of contracts.

Item: 7

Description:

Math professional development services for teachers, leaders, coaches, administrators and support staff (RA 11)

**REQUEST FOR AUTHORIZATION (RA#11) FOR MATH-RELATED SERVICES
AND PROFESSIONAL DEVELOPMENT (MTAC R1026)**

| Estimated Annual / Total Contract Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|--|-------------------------------|---------------|---------|-------------------------------------|--------------------------|---------------|
| \$700,000 / \$3,500,000 | Tax Levy & Reimbursable Funds | Five Years | None | Multiple Task Award Contract (MTAC) | No | Requirements |

| Vendor's Name & Address | Component(s) |
|--|--------------|
| Frank Cunningham Consultancy, Inc. 147 West 79 th Street, Apt. 11D New York, NY 10024 | 1, 2 |

| Contract Manager | Lead Contract Manager | Division of Contracts & Purchasing Contact |
|--|--|--|
| Jessica Kaplan Deputy Executive Director Office of Curriculum, Instruction & Professional Learning Division of Teaching and Learning | Morayo Tracey Oyemade Deputy Senior Executive Director Finance and Procurements Division of Teaching and Learning | Bryan E Hester Procurement Analyst Instructional Service Procurement |

PURPOSE

Authorization is requested on behalf of the Division of Teaching and Learning to contract with Frank Cunningham Consultancy, Inc. (FCC) to provide systemwide mathematics-related professional development services. These services will be provided at the discretion of participating schools, central offices operating on behalf of schools, or consortiums of schools.

DISCUSSION

To help ensure that its students achieve the higher standards in mathematics that adoption of Common Core Learning Standards (CCLS) requires, the DOE must acquire professional development services for its teachers, leaders, coaches, administrators, and support staff. These services will increase math content and pedagogical knowledge, support the implementation of CCLS, and align resources to improve student achievement. These services will also support schools and learning communities as they plan and conduct effective instruction and professional development in math.

Vendors will provide high-quality, needs-based, and CCLS-aligned professional development and support instruction for teachers and other staff covering prekindergarten through 12th grade. Services will focus on math content, pedagogy, Citywide core curriculum programs, cognitive development, research, and best practices, and will include institutes, workshops, seminars, coursework, conferences, walk-throughs, and inter-visitations.

It is necessary to contract for these services because the DOE does not possess the expertise necessary to meet the objectives of this program.

Vendors proposed for one or more of the following components: 1) Mathematics Professional Development and 2) Mathematics Consultants/Coaches.

Proposals were evaluated by a minimum of three evaluators. The evaluation committees included a principal, a teacher, senior instructional coaches, achievement coaches, and program directors. Proposals were scored based on program plan (25 points), organizational capacity (25 points), pricing (25 points), and demonstrated effectiveness (25 points). Successful vendors were required to achieve a minimum score of 80 points.

Nineteen vendors were recommended for contract awards under ten previous RAs and one is recommended here.

Frank Cunningham Consultancy, Inc. provides on-site standards-aligned K-12 professional development and coaching aimed at building capacity among teachers, coaches, and administrators to improve instruction and student outcomes in mathematics. Services focus on differentiated instruction, curriculum development, problem solving, and the use of data to inform best practices. Programs are designed to foster an atmosphere of collaboration and feature individual and small-group coaching, classroom observations, and lesson modeling tailored to the needs of each participating school. Services include a pre-implementation school evaluation and planning sessions, along with subsequent progress monitoring and yearly evaluations.

FCC's initially proposed average hourly rate of \$195 was negotiated to \$186, resulting in a five percent cost savings to the DOE. Pricing was determined to be fair and reasonable based on a comparison of rates for like services by vendors contracted under this solicitation.

The estimated annual contract amount for FCC was based on previous contract expenditures for similar services.

Background checks, including VENDEX, the Vendor CIP Report, the Uniform Commercial Code, the Federal Tax Lien, and the System for Award Management, identified no significant adverse information. FCC has therefore been determined to be responsible to provide approved services.

The MTAC process is the preferred procurement method as this process allows the DOE to award contracts for similar services to multiple vendors in order to meet demand for such services and to offer a choice among vendors.

As a part of the MTAC process, all service requests over \$25,000 must go through a competitive process for procurement of services. Users will be required to create a scope of services and conduct a mini-solicitation process among the awarded vendors in their component areas. Vendors will be required to provide a statement of work and pricing based on their contracted services, which will be evaluated and scored by the user. Upon completion of this mini-solicitation process, schools will be able to secure services via purchase order. This process ensures competition among the large number of vendors who have been awarded contracts as a result of this procurement. Future RAs for these services will be submitted in batches as new providers are presented for award of contracts.

Item: 8

Description:

Social Studies professional development and direct student services.
(RA #4)

REQUEST FOR AUTHORIZATION (RA#4) FOR SOCIAL STUDIES PROFESSIONAL DEVELOPMENT AND DIRECT STUDENT SERVICES (MTAC R0997)

| Estimated Annual / Total Contract Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|--|----------------|---------------|---------|-------------------------------------|--------------------------|---------------|
| \$296,000 / \$1,480,000 | Various | Five Years | None | Multiple Task Award Contract (MTAC) | No | Requirements |

| Vendor's Name & Address | Component |
|--|-----------|
| The New York Historical Society 170 Central Park West New York, NY 10024 | 1, 2 |

| Contract Manager | Lead Contract Manager | Division of Contracts & Purchasing Contact |
|---|--|--|
| Jessica Kaplan Deputy Executive Director Office of Curriculum, Instruction & Professional Learning Division of Teaching and Learning | Morayo Tracey Oyemade Deputy Senior Executive Director Finance and Procurements Division of Teaching and Learning | Bryan E Hester Procurement Analyst Instructional Service Procurement |

PURPOSE

Authorization is requested on behalf of the Division of Teaching and Learning to contract with the New York Historical Society (NYHS) for social studies professional development (PD) and direct student services. These services will be provided at the discretion of participating schools, central offices operating on behalf of schools, or consortiums of schools.

DISCUSSION

The Chancellor wants to ensure that all New York City schools work collaboratively with parents, educators, school communities, and external stakeholders to improve student achievement and that every child graduates from high school prepared for college or a career. Toward that end, efforts in social studies are focused on raising the level of content understanding (U.S. history, world history, geography, economics, civics/citizenship, and government), integrating national social studies standards, Common Core Learning Standards, and the New York State Social Studies Framework K-12, while ensuring student engagement, inquiry, and subject area literacy.

Vendors will provide professional development and direct student services in social studies to support the implementation of the DOE's initiatives, engaging teachers and students in work that incorporates social studies best practices, inquiry-based instruction, disciplinary literacy, and informed action around the topics of citizenship, leadership, and democracy.

Under these contracts, vendors provide services to schools and central and/or field offices, including Borough Field Support Centers, the Office of Curriculum and Instruction, and the Bureau of Non-Public Schools.

It is necessary to contract for these services because the DOE does not possess the expertise and resources necessary to meet the objectives of this program.

In response to the most recent solicitation, vendors proposed for one or both of these focus areas:
1) Social Studies Professional Development and 2) Social Studies Direct Student Services.

Of 15 proposals received, two vendors did not meet the minimum qualifications, one vendor rescinded its proposal, and one is pending evaluation. Proposals are evaluated by a minimum of three members drawn from a pool of five evaluators consisting of former instructors and operations personnel from the Office of Curriculum, Instruction and Professional Development. To ensure consistency, a training session was conducted for all committee members in which they received an overview of the process and a scoring rubric. Proposals were scored based on program plan (25 points), organizational capacity (25 points), pricing (25 points), and demonstrated effectiveness (25 points). Successful vendors were required to achieve a minimum score of 80 points. Thus far, the committee has recommended eight vendors for award, of which four were named in previous RAs and one is named here.

The New York Historical Society offers customized on- and off-site professional development and direct student service programs to increase content knowledge and improve instructional practice in the areas of New York and American history. NYHS's suite of professional learning opportunities range from five-day interactive workshops to 80-minute modules, and include materials that can be directly integrated into the classroom. Strategies and resources help teachers see how students can learn from historical evidence, discovering history as historians do, through hands-on investigation. Program content both follows and anticipates the NYCDOE Scope and Sequence for Social Studies and supports the skills laid out in the Common Core State Standards for History/Literacy in Social Studies. Direct student services include inquiry-based lectures, workshops, performances, and field trips/tours designed to immerse students in history content using multisensory techniques. Participants learn how to analyze a variety of primary sources for clues about people and events from the past.

NYHS's average hourly pricing was negotiated down from \$156 per hour to \$132 per hour, resulting in a 15 percent price improvement. Pricing has been determined to be fair and reasonable based on a comparison with hourly rates for like services by vendors contracted under similar solicitations.

The estimated annual contract amount for NYHS was derived from a previous contract for these types of services.

Background checks, including VENDEX, the Uniform Commercial Code, the Federal Tax Lien, the Federal Excluded Parties List System, and DOE internal sources, revealed no adverse information. NYHS has therefore been determined to be responsible to provide approved services.

The MTAC process is the preferred procurement method as this process allows the DOE to award contracts for similar services to multiple vendors in order to meet demand for such services and to offer a choice among vendors.

As a part of the MTAC process, all service requests over \$25,000 must go through a competitive process for procurement of services. Users will be required to create a scope of services and conduct a mini-solicitation process among the awarded vendors in their component areas. Vendors will be required to provide a statement of work and pricing based on their contracted services, which will be evaluated and scored by the user. Upon completion of this mini-solicitation process, schools will be able to secure services via purchase order. This process ensures competition among the large number of vendors who have been awarded contracts as a result of this procurement. Future RAs for these services will be submitted in batches as new providers are presented for contract award.

Item: 9

Description:

Student Support Services (RA#21)

REQUEST FOR AUTHORIZATION (RA #21)
FOR STUDENT SUPPORT SERVICES (MTAC R0898)

| Estimated Annual / Total Contract Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|--|-------------------------------|---------------|---------|-------------------------------------|--------------------------|---------------|
| \$700,000 / \$3,500,000 | Tax Levy & Reimbursable Funds | Five Years | None | Multiple Task Award Contract (MTAC) | No | Requirements |

| Vendor's Name & Address | Component(s) |
|---|-------------------|
| Hip Hop 4 Life, Inc. 225 West 35 th Street, Suite 301 New York, NY 10001 | 1, 4, 5, 6, 7, 14 |

| Contract Manager / Lead Contracting Officer | Division of Contracts and Purchasing Contact |
|--|--|
| Robert J Weiner Chief Operating Officer Office of Safety & Youth Development | Bryan E Hester Procurement Analyst Instructional Service Procurement |

PURPOSE

Authorization is requested on behalf of the Office of Safety and Youth Development (OSYD) to contract with Hip Hop 4 Life, Inc. (HH4L) to provide direct student support services and to increase opportunities for educational enrichment. These programs will be provided at the discretion of each participating school or central office operating on behalf of a school or consortium of schools.

DISCUSSION

Student support services sought through this MTAC fall within 19 components as follows:

- 1) leadership development and civic engagement
- 2) individual counseling
- 3) group counseling
- 4) mentoring
- 5) recreation/sports
- 6) college/post-secondary planning
- 7) career awareness and the world of work
- 8) conflict resolution/peer mediation
- 9) violence prevention/student safety
- 10) bullying prevention
- 11) substance abuse and problem gambling prevention and intervention
- 12) Internet safety
- 13) school attendance improvement
- 14) family support services
- 15) tutoring/homework assistance
- 16) study/test-taking skills
- 17) academic skills enhancement
- 18) thematic projects
- 19) family literacy

These services may take place inside or outside of school during the school day, after school, or on non-school days.

Proposals were distributed to an evaluation committee that included instructional specialists, operations analysts, grant managers, and directors from OSYD. To ensure scoring consistency, a training session was conducted for all committee members in which they received an overview of the process and a scoring rubric. Proposals were scored based on the following criteria: program plan (35 points), organizational capacity (20 points), pricing (25 points), and demonstrated effectiveness (20 points). Successful vendors were required to achieve a minimum score of 80 points.

One hundred eleven (111) vendors were recommended for contract awards under previous RAs and one is recommended here.

A vendor can offer single workshops for a component or offer an à la carte menu of services covering the 19 components listed above.

HH4L offers a customizable menu of grade-level on-site youth development services designed to engage, educate, and empower student and family participants, with particular emphasis on at-risk populations. HH4L's differentiated leadership and mentoring programs *Man Up!* and *Shades of Beauty* focus on character building, provide personal and professional development, encourage leadership and commitment to personal growth, prepare youth for post-secondary endeavors, and promote health and wellness. They also offer *The Rhythm*, a self-esteem enhancement and obesity prevention program that fosters and promotes an active lifestyle, and *Follow the Leader*, which focuses on post-secondary research and planning strategies. HH4L programs incorporate community activities, guest speakers, project-based learning, evaluations, and planning meetings.

Hip Hop 4 Life's average program hourly rate was negotiated from \$263 per hour to \$111 per hour for a 58 percent price improvement. Pricing has been determined to be fair and reasonable based on a comparison with hourly rates for like services provided by other vendors contracted under this solicitation.

The estimated contract amount for Hip Hop 4 Life is based on previous contract expenditures for similar services.

Background checks including VENDEX, the Vendor CIP Report, the Uniform Commercial Code, the Federal Tax Lien, and the System for Award Management, found no significant adverse information. Hip Hop 4 Life, Inc. has therefore been determined to be responsible to provide approved services.

The MTAC process is the preferred procurement method as this process allows the DOE to award contracts for similar services to multiple vendors in order to meet demand for such services and to offer a choice among vendors.

As a part of the MTAC process, all service requests over \$25,000 must go through a competitive process for procurement of services. Users will be required to create a scope of services and conduct a mini-solicitation process among the awarded vendors in their component areas. Vendors will be required to provide a statement of work and pricing based on their contracted services, which will be evaluated and scored by the user. Upon completion of this mini-solicitation process, schools will be able to secure services via purchase order. This process ensures competition among the large number of vendors who have been awarded contracts as a result of this procurement. Future RAs for these services will be submitted in batches as new providers are presented for award of contracts.

Item: 10

Description:

Job order contract for electrical outlets and panels for the Breakfast in the Classroom program for all boroughs. RA covers award of 9/10 classes

**REQUEST FOR AUTHORIZATION
FOR JOB ORDER CONTRACT FOR KITCHEN OUTLETS & PANELS IN SUPPORT OF THE
BREAKFAST IN THE CLASSROOM FEEDING PROGRAM – B2712**

| Estimated Annual / Total Contract Amount | Funding Source | Contract Term | Options | Estimated Option Amount | Procurement Method | Is Contract Retroactive? | Contract Type |
|--|---|---------------|-------------------|-------------------------|------------------------|--------------------------|---------------|
| \$12,207,838 / \$61,039,190 | Tax Levy / Federal Community Block Grants | Five Years | 270-Day Extension | \$10,044,629 | Request for Bids (RFB) | No | Requirements |

| Vendor Name & Address | Annual Amount | Total Contract Amount |
|---|---------------|-----------------------|
| Charan Electrical Enterprises Inc. 27-14 39 th Avenue Long Island City, NY 11101 | \$6,880,463 | \$34,402,315 |
| Laser Electrical Contracting Inc. 55-10 37 th Avenue Woodside, NY 11377 | \$5,327,375 | \$26,636,875 |

| Contract Manager | Lead Contracting Officer | Division of Contracts & Purchasing Contact |
|---|--|--|
| David Lewis Deputy Director, Program Management Division of School Facilities | Linda Green Chief Administrative Officer Division of School Facilities | Marissa Procope Director |

PURPOSE

Authorization is requested on behalf of the Division of School Facilities (DSF) to contract with Charan Electrical Enterprises Inc. and Laser Electrical Contracting Inc. for a Job Order Contract (JOC) for kitchen outlets and panels in support of the Mayor's Breakfast in the Classroom Feeding Program.

DISCUSSION

This Request for Bids (RFB) was advertised for seven days in the City Record and on the DCP web site. The RFB consisted of 10 geographically defined aggregate classes: Class 1 – South Brooklyn, Class 2 – South Brooklyn/Staten Island, Classes 3 and 4 – North Brooklyn, Classes 5 and 6 – Manhattan, Classes 7 and 8 – Queens, and Classes 9 and 10 – the Bronx. All but one of the classes received at least two bids, but competition was limited due to the federal requirement of bid bonds. This RA covers all classes except Class 5, whose award will be covered on a subsequent RA.

Although a JOC electrical work contract already exists, a separate procurement was necessary for this work because the volume of work specific to this program was not contemplated when the original contract was bid. Funding for this program derives from both tax levy and federal Community Development Block Grant (CDBG) sources. Federal funding is expected to cover the cost of installation for schools, except those located in the 100-year flood plain or where less than 51 percent of students are eligible for free or reduced lunch programs. Tax levy funding will cover the remaining schools.

Unit pricing for this JOC contract is pre-set in the Construction Task Catalog (CTC). Technical specifications for all tasks were included in the bid. Bidders were required to submit multipliers (adjustment factors) each specified to four decimal places, which are used to calculate the bidder's prices for work during normal hours and for work done after normal hours. The bid provided estimates for the percentage of work to be done during each period.

Charan Electrical, the low bidder for Classes 1, 2, 7, 9, and 10, and Laser Electrical, the low bidder for Classes 3, 4, 6, and 8, are recommended for award. Both are minority-owned business enterprises (MBEs). Class 5 is still being evaluated and will be covered in a future RA. Qualification meetings with both vendors determined that each has satisfied the requirements of the RFB and has the organizational capacity to service their respective classes. A review of financial statements revealed no risk associated with doing business with either company. VENDEX shows cautions for both vendors but no liens for either vendor. Charan and Laser have held previous contracts with DSF and have provided satisfactory service. DSF is confident that both vendors will continue to provide satisfactory service.

A background check of Charan revealed a VENDEX caution regarding a non-responsibility finding from 2013 by the New York State Office of General Services (OGS). However, documents in connection with this matter show that Charan was determined to be non-responsive, not non-responsible, as the company had failed to provide evidence it had given the necessary consideration to certain specifications in its bid. In light of the clarification provided above and the evaluation of Charan's prior work for the DOE as satisfactory, we do not believe this matter precludes a determination that the vendor is responsible.

A background check of Laser revealed that, in late 2014 and early 2015, Laser Electrical Contracting received notices from the New York City Comptroller's Office and the New York State Department of Labor requesting that it produce records relating to various contracts performed for public agencies. The New York City Comptroller's Bureau of Labor Law (BLL) informed DOE that this matter related to complaints filed by two of Laser's workers alleging that certain wages and supplemental benefits were denied to them. BLL's investigations only go back two years and as the review revealed no adverse information, the complainants withdrew their complaint, leading BLL to administratively close the matter. In that Laser fully cooperated with BLL's requests for documentation and the matter was closed with no finding of wrongdoing, the DOE does not believe this precludes a determination that the vendor is responsible. As such, Charan and Laser have been determined to be responsible.

As shown in the tables below, Charan Electrical was the low bidder for Classes 1, 2, 7, 9, and 10, with a range of pricing that was 1% to 8% lower than the next low bidders. Laser Electrical was the low bidder for Classes 3, 4, 6, and 8, with a range of pricing that was 3% to 22% lower than the next low bidders.

Three classes (1, 2, and 6) reflected double-digit increases from the pricing for a previous comparable electrical contract (B2342). To address the increases for these classes, the DOE requested a voluntary price reduction from both Charan and Laser, the two low bidders, but the vendors declined. However, since only three classes reflected double-digit increases, the DOE is, overall, paying a modest amount more than it did under the earlier contract's pricing after accounting for prevailing wage increases over that time. Based on the above and the competitive nature of the procurement, these prices have been determined to be fair and reasonable.

**JOB ORDER CONTRACT FOR KITCHEN OUTLETS & PANELS
IN SUPPORT OF THE BREAKFAST IN THE CLASSROOM FEEDING PROGRAM – B2712**

AGGREGATE CLASS 1 – SOUTH BROOKLYN (Districts 17, 18, 21, 22)

| Contractor Name | Annual Contract Value | Total Contract Value / 5 Years |
|--------------------------------------|------------------------------|---------------------------------------|
| Charan Electrical Enterprises | \$1,487,500 | \$7,437,500 |
| Laser Electrical Contracting | \$1,508,750 | |
| MSR Electrical Construction | \$1,836,000 | |

AGGREGATE CLASS 2 – SOUTH BROOKLYN / STATEN ISLAND (Districts 20 & 31)

| Contractor Name | Annual Contract Value | Total Contract Value / 5 Years |
|--------------------------------------|------------------------------|---------------------------------------|
| Charan Electrical Enterprises | \$1,268,750 | \$6,343,750 |
| Laser Electrical Contracting | \$1,330,375 | |

AGGREGATE CLASS 3 – NORTH BROOKLYN (Districts 13, 14, 15)

| Contractor Name | Annual Contract Value | Total Contract Value / 5 Years |
|-------------------------------------|------------------------------|---------------------------------------|
| Laser Electrical Contracting | \$1,221,625 | \$6,108,125 |
| Charan Electrical Enterprises | \$1,268,750 | |
| MSR Electrical Construction | \$1,555,125 | |

AGGREGATE CLASS 4 – NORTH BROOKLYN (Districts 16, 19, 23, 32)

| Contractor Name | Annual Contract Value | Total Contract Value / 5 Years |
|-------------------------------------|------------------------------|---------------------------------------|
| Laser Electrical Contracting | \$1,059,375 | \$5,296,875 |
| Charan Electrical Enterprises | \$1,093,750 | |
| MSR Electrical Construction | \$1,331,250 | |

AGGREGATE CLASS 5 – MANHATTAN (Districts 1 & 2)*

| Contractor Name | Annual Contract Value | Total Contract Value / 5 Years |
|-------------------------------|------------------------------|---------------------------------------|
| Laser Electrical Contracting* | \$1,185,000 | \$5,925,000 |

AGGREGATE CLASS 6 – MANHATTAN (Districts 3, 4, 5, 6)

| Contractor Name | Annual Contract Value | Total Contract Value / 5 Years |
|-------------------------------------|------------------------------|---------------------------------------|
| Laser Electrical Contracting | \$1,256,500 | \$6,282,500 |
| MSR Electrical Construction | \$1,620,500 | |

*Award for Aggregate Class 5 will be covered in a subsequent RA.

**JOB ORDER CONTRACT FOR KITCHEN OUTLETS & PANELS
IN SUPPORT OF THE BREAKFAST IN THE CLASSROOM FEEDING PROGRAM – B2712 (continued)**

AGGREGATE CLASS 7 – QUEENS (Districts 24, 25, 30)

| Contractor Name | Annual Contract Value | Total Contract Value / 5 Years |
|--------------------------------------|------------------------------|---------------------------------------|
| Charan Electrical Enterprises | \$1,438,838 | \$7,194,190 |
| Laser Electrical Contracting | \$1,563,250 | |
| MSR Electrical Construction | \$1,970,250 | |
| Prince Electric Corp | \$2,127,500 | |

AGGREGATE CLASS 8 – QUEENS (Districts 26, 27, 28, 29)

| Contractor Name | Annual Contract Value | Total Contract Value / 5 Years |
|-------------------------------------|------------------------------|---------------------------------------|
| Laser Electrical Contracting | \$1,789,875 | \$8,949,375 |
| Charan Electrical Enterprises | \$1,832,875 | |
| MSR Electrical Construction | \$2,289,750 | |
| Prince Electric Corp | \$2,472,500 | |

AGGREGATE CLASS 9 – THE BRONX (Districts 9 & 10)

| Contractor Name | Annual Contract Value | Total Contract Value / 5 Years |
|--------------------------------------|------------------------------|---------------------------------------|
| Charan Electrical Enterprises | \$1,491,875 | \$7,459,375 |
| Laser Electrical Contracting | \$1,553,125 | |
| Prince Electric Corp | \$2,012,500 | |
| MSR Electrical Construction | \$2,161,250 | |

AGGREGATE CLASS 10 – THE BRONX (Districts 7, 8, 11, 12)

| Contractor Name | Annual Contract Value | Total Contract Value / 5 Years |
|--------------------------------------|------------------------------|---------------------------------------|
| Charan Electrical Enterprises | \$1,193,500 | \$5,967,500 |
| Laser Electrical Contracting | \$1,242,500 | |
| Prince Electric Corp | \$1,610,000 | |
| MSR Electrical Construction | \$1,617,000 | |

Item: 11

Description:

Job order contract for labor and materials required to perform electrical work in school cafeterias.

REQUEST FOR AUTHORIZATION TO CONTRACT WITH LASER ELECTRICAL CONTRACTING, INC., TO SERVICE JOB ORDER CONTRACTS FOR ELECTRICAL FEEDERS TO KITCHEN EQUIPMENT IN SCHOOL CAFETERIAS

| Estimated Annual / Total Contract Amount | Funding Source | Contract Term | Options | Estimated Options Amount | Procurement Method | Is Contract Retroactive? | Contract Type |
|--|----------------|---------------|--------------------|--------------------------|--------------------|--------------------------|---------------|
| \$400,000 / \$2,000,000 | Tax Levy | Five Years | One 270-Day Option | \$300,000 | Request for Bids | No | Requirements |

| | |
|----------------------------------|---|
| Vendor Name & Address | Laser Electrical Contracting, Inc. 55-10 37 th Avenue Woodside, NY 11377 |
|----------------------------------|---|

| Contract Manager | Lead Contracting Officer | Division of Contracts and Purchasing Contact |
|--|--|--|
| Janice Zapinsky Deputy Director Contracts Management Unit Office of School Support Services | Lisa D'Amato Director Contracts Management Unit Office of School Support Services | Robin Greene Procurement Analyst |

PURPOSE

Authorization is requested on behalf of the Office of School Support Services (OSSS) to contract with Laser Electrical Contracting, Inc. (Laser) for labor, material, and supervision necessary for electrical work in school cafeterias.

DISCUSSION

This Request for Bids (RFB) was advertised for seven days in the City Record and on DOE's web site. It consisted of five aggregate classes, as follows: Aggregate Class 1 – Manhattan, Aggregate Class 2 – Bronx, Aggregate Class 3 – Queens, Aggregate Class 4 – Brooklyn North, and Aggregate Class 5 – Brooklyn South/Staten Island. Six bids were received for each aggregate class. This RA covers Aggregate Class 1 – Manhattan. The other four aggregate classes were covered in a previous RA.

Through this job order contract, each task has a pre-set unit price listed in a construction task catalog. Technical specifications are included for all tasks. Bidders were required to submit four multipliers which were weighed equally (adjustment factors) and are used to calculate prices for work done during normal hours and for work done after hours. Estimated quantities are based on prior usage and were shared with all bidders.

Gemini Electric Co., Inc., (Gemini), the low bidder on Aggregate Class 1 – Manhattan, was determined to be non-responsive for failure to provide the required Labor and Material Payment Bond and Performance Bond. Gemini did not protest the rejection. Laser, the second low bidder, met all bid requirements and is recommended for award. OSSS has confirmed that Laser, who is the incumbent contractor for Aggregate Class 1, has provided satisfactory service under its current contract. On this basis, OSSS determined a qualification meeting was not necessary. OSSS is confident Laser will continue to provide satisfactory service.

The contracts awarded pursuant to the predecessor RFBs for these services are set to expire on June 30, 2016. To prevent a gap in services for Aggregate Class 1, the DOE intends to exercise its option to extend the current contract with Laser. The DOE intends to cancel the contractual extension upon registration of this new contract.

A review of financial statements did not reveal any risks associated with doing business with Laser. A background check, however, revealed that, in late 2014 and early 2015, Laser received notices from the New York City Comptroller's Office (Comptroller) and the New York State Department of Labor requesting that it produce records relating to various contracts performed for public agencies. The Comptroller's Bureau of Labor Law (BLL) informed the DOE that this matter related to complaints filed by two workers of Laser, alleging that certain wages and supplemental benefits were denied to them. BLL's investigations only go back two years and, as the review revealed no adverse information, the complainants withdrew their complaint, leading BLL to administratively close the matter. In that Laser fully cooperated with BLL's requests for documentation and the matter was closed with no finding of wrongdoing, the DOE does not believe this precludes a determination that the vendor is responsible. As such, Laser has been determined to be responsible.

The contract estimate is based on previous expenditures. Due to the competitive nature of this procurement, pricing has been determined to be fair and reasonable

TABLE OF BIDS - JOCs FOR ELECTRICAL FEEDERS TO KITCHEN EQUIPMENT

Aggregate Class 1 – Manhattan

| Contractor Name | Award Criteria Figure | Annual Contract Value | Total Contract Value / 5 Years |
|---|------------------------------|------------------------------|---------------------------------------|
| Gemini Electric Co., Inc. | 0.7950 | | |
| Laser Electrical Contracting, Inc. | 0.8978 | \$400,000 | \$2,000,000 |
| Charan Electrical Enterprises, Inc. | 0.9800 | | |
| Price Electric Corp. | 1.1500 | | |
| MSR Electrical Construction Corp. | 1.2100 | | |
| A & S Electric, Inc. | 2.0500 | | |

Item: 12

Description:

Job order contract to improve cafeterias, including expediting the passage of users in the service area and improving equipment for Queens.

**REQUEST FOR AUTHORIZATION TO CONTRACT WITH
PRO CON GROUP, INC. TO SERVICE JOB ORDER CONTRACTS
FOR CAFETERIA IMPROVEMENT**

| Estimated Annual / Total Contract Amount | Funding Source | Contract Term | Options | Estimated Option Amount | Procurement Method | Is Contract Retroactive? | Contract Type |
|--|--------------------|---------------|-------------------|-------------------------|------------------------|--------------------------|---------------|
| \$3,381,105 / \$6,762,210 | Tax Levy / Capital | Two Years | 270-Day Extension | \$2,535,828 | Request for Bids (RFB) | No | Requirements |

| | |
|----------------------------------|---|
| Vendor Name & Address | Pro Con Group, Inc. 520 Industrial Loop Staten Island, NY 10309 |
|----------------------------------|---|

| Contract Manager | Lead Contracting Officer | Division of Contracts & Purchasing Contact |
|---|---|--|
| David Lewis Deputy Director, Program Management Division of School Facilities | Janice Zapinsky Deputy Director Office of School Support Services | Marissa Procope Director |

PURPOSE

Authorization is requested on behalf of the Division of School Facilities (DSF) and the Office of School Support Services (OSSS) to contract with Pro Con Group, Inc. for the labor, material, and supervision necessary to perform services related to cafeteria improvement.

DISCUSSION

This Request for Bids (RFB) was advertised for seven days in the City Record and on the DCP web site. The RFB consisted of the following three geographically defined aggregate classes: Class 1 – Manhattan/Bronx, Class 2 – Queens, and Class 3 – Brooklyn/Staten Island. Each class received five bids. Classes 1 and 3 there were awarded separately; this RA recommends award of Class 2 only.

Unit pricing for this Job Order Contract (JOC) is pre-set in the Construction Task Catalog (CTC). Technical specifications for all tasks were included in the bid. Bidders were required to submit two multipliers (adjustment factors), used to calculate prices for work done during normal hours and for work done during other than normal hours, respectively. The bid provided estimates for the percentage of work to be done during the two periods.

Pro Con Group, the low bidder for Aggregate Class 2, is recommended for award. DSF determined that Pro Con satisfies the requirements of the RFB and has the organizational capacity to service this class. A review of financial statements revealed no risk associated with doing business with Pro Con. Pro Con has current contracts with DSF and has provided satisfactory service. DSF is confident that Pro Con will continue to provide satisfactory service.

A background check on Pro Con revealed no significant adverse information and VENDEX shows no cautions or liens for Pro Con. Pro Con has therefore been determined to be responsible.

The annual estimated amount for this contract is approximately three percent higher than estimates provided by DSF and OSSS. However, Pro Con's prices are on average approximately two percent lower than those of the other bidders. Based on the receipt of several bids and the competitive nature of the procurement, these prices have been determined to be fair and reasonable.

JOB ORDER CONTRACT FOR CAFETERIA EXPERIENCE ENHANCEMENT – B2794

AGGREGATE CLASS 1 – MANHATTAN / BRONX (Districts 1-12)

| Contractor Name | Annual Contract Value | Total Contract Value / 2 Years |
|--------------------------|------------------------------|---------------------------------------|
| Gridspan Corporation | \$3,303,950 | \$6,607,900 |
| Pro Con Group | \$3,381,105 | |
| DIA General Construction | \$3,441,750 | |
| Volmar Construction Inc. | \$3,526,250 | |
| Geomatrix Services Inc. | \$3,787,875 | |

AGGREGATE CLASS 2 – QUEENS (Districts 24-30)

| Contractor Name | Annual Contract Value | Total Contract Value / 2 Years |
|--------------------------|------------------------------|---------------------------------------|
| Pro Con Group | \$3,381,105 | \$6,762,210 |
| DIA General Construction | \$3,441,750 | |
| Gridspan Corporation | \$3,500,250 | |
| Volmar Construction Inc. | \$3,523,000 | |
| Geomatrix Services Inc. | \$3,787,875 | |

AGGREGATE CLASS 3 – BROOKLYN / STATEN ISLAND (Districts 13-23, 31, 32)

| Contractor Name | Annual Contract Value | Total Contract Value / 2 Years |
|--------------------------|------------------------------|---------------------------------------|
| Gridspan Corporation | \$2,541,500 | \$5,083,000 |
| Pro Con Group | \$2,600,850 | |
| DIA General Construction | \$2,647,500 | |
| Volmar Construction Inc. | \$2,710,000 | |
| Geomatrix Services Inc. | \$2,913,750 | |

Item: 13

Description:

Provide staff for custodians in schools.

REQUEST FOR AUTHORIZATION TO CONTRACT WITH NYC SCHOOL SUPPORT SERVICES, INC., FOR CUSTODIAL STAFFING SERVICES

| Estimated Annual / Total Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|---------------------------------|----------------|---------------------|---|--------------------|--------------------------|---------------|
| \$603,697,806 / \$1,811,093,418 | Tax Levy | 5/26/2016-6/30/2019 | One 1-Year Option - Estimated amount of \$603,697,806 | Negotiated Service | Yes | Requirements |

| | |
|--------------------|---|
| Vendor Name | NYC School Support Services, Inc. 9 Bond Street, 5th Floor Brooklyn, NY 11201 |
|--------------------|---|

| Contract Manager | Lead Contracting Officer | Division of Contracts & Purchasing Contact |
|---|--|---|
| Philip Napolitano Director, Facilities Management Division of School Facilities | Linda Green Chief Administrative Officer Division of School Facilities | Peter M. Frey Chief Administrator Transportation, Food & Facilities |

PURPOSE

Authorization is requested to contract with NYC School Support Services, Inc., to provide employment services for custodial staff (e.g., firepersons, handypersons, cleaners, and any other future applicable titles) to ensure that schools are clean.

DISCUSSION

NYC School Support Services, Inc. is a not-for-profit entity whose board is composed of five members, including two ex-officio members (the Chancellor of the New York City Public Schools and the Director of the New York City Office of Management and Budget, or their designees) and three members appointed by the Chancellor.

This three-year requirements contract will enable the DOE to make centralized decisions about the deployment of custodial services to benefit all students and staff. The vendor will be responsible for:

- Posting job announcements for vacancies;
- Screening all prospective employees;
- Providing employee rosters and other reports as requested by the DOE;
- Managing its employee payroll; and
- Training for employees with respect to statutory and regulatory compliance obligations imposed by federal, state, or local laws or regulations.

The contract will have a term commencing on or about May 26, 2016 and ending June 30, 2019. The estimated contract amount of \$1,811,093,418 reflects all expenses needed to manage the custodial staff at cost, without profit. The costs associated with operating NYC School Support Services almost entirely consist of existing costs that are being centralized to ensure better management. Custodial staff wages will be determined by Prevailing Wages, as set by the Office of the New York City Comptroller. All applicable unions have been consulted and support this program.

These services were procured using a Negotiated Services process rather than competitively because the NYC School Support Services structure specifically meets the requirements for transitioning to the new centralized structure without disruption to school system and its workers. The composition of the board will assure close consultation with DOE. The City has a history of contracting with affiliated not-for-profits through procurement contracts for the provision of important services. Examples include the NYC Economic Development Corporation, NYC Technology Development Corporation, Trust for Governor's Island, and Animal Care Centers of NYC.

The DOE will reimburse the vendor for all costs related to the paying of the staffers, including salary, fringe benefits, and applicable taxes. In addition, the DOE will pay the vendor a percentage of those costs to pay for administrative functions.

The vast majority of the contract budget is for direct payments to staff for labor expended. Said payments, including supplemental (fringe) benefits, are at prevailing wage rates that are applicable to the titles that will be providing services under the contract. The entity is a not-for-profit corporation and the entirety of the budget reflects resources identified as necessary and appropriate for operating the corporation. Accordingly, pricing is determined to be fair and reasonable.

NYCSS will allocate costs in accordance with generally accepted government accounting principles, retain and produce supporting documentation upon demand, and will be required to submit annual audited financial statements certified by an Independent Public Accounting firm.

The Board of Directors is comprised of five members, of which the Chancellor of the New York City Department of Education and the Director of the NYC Office of Management and Budget, or their designees, are ex officio members with the remaining three members appointed by the Chancellor. The Chancellor and the Budget Director have designated City employees to represent them on the Board. A background check was conducted on the Executive Director and no significant adverse information was found. In light of the nature of the entity, which is newly created, and its affiliation with the DOE and the City Office of Management and Budget, the DOE believes that there is no information available at this time that precludes a determination that the vendor is responsible.

The DOE's Committee on Contracts approved this contract on May 16, 2016, on the basis of the vendor's unique qualifications.

Item: 14

Description:

Provide training to the Youth Leadership Academy of the Borough Student Advisory Councils

**REQUEST FOR AUTHORIZATION TO CONTRACT WITH CORO
NEW YORK LEADERSHIP CENTER TO PROVIDE LEADERSHIP SKILLS TO HIGH
SCHOOL STUDENTS**

| Estimated Total Contract Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|---|----------------|---------------------------------|---------|--------------------|--------------------------|---------------|
| Total Contract Amt.: \$100,000 Amt. Paid by P.O.: \$44,154 Amt. Due to Vendor: \$55,846 | Tax Levy | One Year (7/01/15 – 6/30/16) | None | Negotiated Service | Yes | Full Value |

| | |
|----------------------------------|--|
| Vendor Name & Address | Coro New York Leadership Center 42 Broadway, Suite 1827 New York, NY 10004 |
|----------------------------------|--|

| Contract Manager | Lead Contracting Officer | Division of Contracts and Purchasing Contact |
|--|--|---|
| Kenyatte Reid Senior Director of School Culture and Climate Office of Safety and Youth Development | Robert Weiner Chief Operating Officer Office of Safety and Youth Development | Denesia Stroom-Blair Procurement Analyst Central Office Procurement |

PURPOSE

Authorization is requested to contract with Coro New York Leadership Center (CORO), a not-for-profit organization, to provide training to support the implementation of Youth Leadership Councils composed of high school students across the five boroughs of New York City.

DISCUSSION

In partnership with various DOE offices and NYC Service, a division of the Office of the Mayor that promotes volunteerism and service as a catalyst of civic engagement, CORO will provide training to support the implementation of Borough Student Advisory Councils (BSAC) and the Chancellor's Student Advisory Council (CSAC). This training will focus on leadership development, current youth policy issues and how City government works to address them.

CORO will serve in the capacity of an external consultant agency that provides:

- Youth training in council management and facilitation, communication and inquiry skills, presentation skills, and research methods development, such as gathering feedback and analyzing data.
- Adult training, consisting of council management and facilitation, project management skills, assisting adults in developing youth to adult relationship building.

Program elements include:

- Critical thinking: to build values and attitudes that support active and effective engagement in public issues and civic life.
- Political awareness: to influence public policy and processes, and assess and improve institutional effectiveness in their respective communities.

- Networking to collaborate: learn from and build lasting relationships with other leaders across diverse groups, sectors, and stakeholders.
- Analytical skills: to define and tackle a vision, and serve as agents of change on behalf of their communities.
- Project management: to strategize on time and resource allocation, and to deliver and communicate results.

This contract is retroactive due to delays by the vendor in submitting all necessary documentation to close out FY15, a necessary component of the partner planning process that provides historical financial data and programmatic trend information.

OSYD processed payments to the vendor in the amount of \$44,154 (\$25,000 paid by OYSD and \$19,154 paid by the BSACs). However, authorization is requested here to pay the vendor the balance of the grant-awarded amount of \$55,846.

There are currently no contracts in place that offer the services required by OSYD for these services; however, the average daily rate per attendee of \$476.19 is in line with approved prices under MTAC R0980 – Leadership Development Services, which range from \$147.50 and \$611 per participant. CORO has also offered \$137,137 (or 42% of the total contract cost of \$237,137) of the services as in-kind contributions in the form of salary, youth stipends, and administrative overhead. On this basis, CORO's pricing for this contract has been determined to be fair and reasonable.

A review of the vendor's background, including DCP's files and VENDEX, found no significant adverse information. The vendor has therefore been determined to be responsible.

Item: 15

Description:

Universal Prekindergarten Services - Withdrawn

Item: 16

Description:

Provide and manage an online voting system and website for the selection of Parent Representatives to Community Education Councils.

**REQUEST FOR AUTHORIZATION TO EXTEND A CONTRACT WITH VOTENET SOLUTIONS, INC.
TO PROVIDE ONLINE VOTING SERVICES FOR THE SELECTION OF PARENT
REPRESENTATITVES TO COMMUNITY EDUCATION COUNCILS – RFP R0821**

| Estimated Annual / Total Extension Amount | Funding Source | Extension Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|---|----------------|----------------------------------|---------|--|--------------------------|---------------|
| \$35,175 / \$70,350 | Tax Levy | Two Years (6/01/16 – 5/31/18) | None | Extension per DOE Procurement Policy and Procedures, Section 4-07(b) | No | Requirements |

| | |
|----------------------------------|--|
| Vendor Name & Address | Votenet Solutions, Inc. 1420 K Street, NW, Suite 200, Washington, DC 20005 |
|----------------------------------|--|

| Contract Manager | Lead Contracting Officer | Division of Contracts & Purchasing Contact |
|---|---|--|
| Daiana Iqbal Senior Liaison Division of Family and Community Engagement | Lashanta Baptiste Senior Director Division of Family and Community Engagement | Lisvett Jaen Lead Procurement Analyst Central Office Procurement |

PURPOSE

Authorization is requested to extend a contract with Votenet Solutions, Inc. (Votenet) to provide and manage an online voting system and operate the candidate application website used for the selection of parent representatives to the Community Education Councils (CEC), the Citywide Council on Special Education (CCSE), the District 75 Council (D75), the Citywide Council on English Language Learners (CCELL), and the Citywide Council on High Schools (CCHS).

DISCUSSION

The CECs, CCSE, D75, CCELL, and CCHS were established as representative bodies of parents of public school students under New York State Governance Law (§2590-c) and Chancellor's Regulations. The voters are officers of Parent Associations and Parent-Teacher Associations (PA/PTAs). They select parent representatives for 32 Community Education Councils (CECs), one Citywide Council on Special Education (CCSE), one D75 Council, one Citywide Council on English Language Learners (CCELL), and one Citywide Council on High Schools (CCHS). Elections are held every two years; however, in order to guarantee a successful campaign and adhere to the State-mandated timeframe for the selection process, planning, marketing, and voter outreach begins six to eight months in advance of the election.

Pursuant to a Request for Proposals (RFP), Votenet was awarded a three-year contract to manage the online candidate application, online voting, vote tabulation, and reporting of voting results to the DOE, including management of the final online representative selection process for the 2011 and 2013 election cycles and providing technical support to candidates and voters. In collaboration with the DOE, Votenet developed and organized the content on the CEC campaign website used for candidate application processing and voting. Upon expiration of the base contract's term in 2014, the DOE exercised the contract's two one-year options to extend coverage for the 2015 election cycle.

The requested extension would provide for continuity of services for the 2017 election cycle while a new competitive procurement is planned for release in the fall of 2016. As this year has been one of transition in FACE's structure and leadership, and as negotiations on mayoral control and re-authorization are still in progress, it was not possible to start the RFP process earlier.

The extension will have the same pricing as the original contract, whose pricing was determined to be fair and reasonable. Services will include re-designing and implementing the election website (merging new content with existing content and updating the site for ease of use by nominees and voters), processing online applications, updating the marketing site, managing the voting engine software, receiving and processing paper applications, tabulating election results, and providing general selection service advice.

It is necessary to contract for these services because the DOE possess neither the expertise nor the resources necessary to meet the objectives of this program and comply with all the requirements and regulations as mandated by State law.

A background check of the vendor using the Excluded Parties List, the Uniform Commercial Code Lien Search (UCC), the City's VENDEX files and the VCIP database, revealed no adverse information. Votenet has also provided satisfactory performance under the contract and extension terms. This vendor has therefore been determined to be responsible.

Item: 17

Description:

Textbooks

**AUTHORIZATION TO CONTRACT WITH MAXSCHOLAR FOR TEXTBOOKS
AND ANCILLARY MATERIALS**

| Estimated Annual / Total Contract Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|--|------------------------|---------------|---------|---------------------|--------------------------|---------------|
| \$25,001 / \$175,007 | Reimbursable, Tax Levy | Seven Years | None | Listing Application | No | Requirements |

| | |
|----------------------------------|--|
| Vendor Name & Address | MaxScholar, LLC 2800 Island Boulevard, Suite 2501 Aventura, FL 33160 |
|----------------------------------|--|

| Contract Manager | Lead Contracting Officer | Division of Contracts and Purchasing Contact |
|--|--|--|
| Raelene Stroom Director Vendor Management Division of Teaching and Learning | Morayo Tracey Oyemade Director Contracts and Operations Division of Teaching and Learning | Demetrise Daniels-Foster Procurement Analyst Textbooks and Ancillary Materials |

PURPOSE

Authorization is requested to contract with MaxScholar, LLC to provide textbooks and ancillary materials for participating public and non-public schools.

DISCUSSION

MaxScholar provides student workbooks and teacher materials for use with struggling readers, offering research-based approaches, such as Orton-Gillingham, which was the first program designed for struggling readers, and Lindamood-Bell, which pioneered programs to develop the sensory-cognitive processes. MaxScholar's materials also incorporate the five pillars of reading (phonics, phonemic awareness fluency, vocabulary, and reading comprehension) and include a writing program.

Because these materials cannot be purchased in the open market, a competitive sealed bid process is impractical.

As MaxScholar is a new vendor with no previous contracts and a limited history of spending, its annual contract amount has been set at \$25,001.

The vendor is offering a 40 percent discount off its list prices and the proposed contract requires that the prices the DOE pays be the lowest offered to any client. As such, the pricing for these materials has been determined to be fair and reasonable.

A background check on MaxScholar using various databases, including VENDEX, VCIP, and the federal and State debarment lists revealed no significant adverse information. Therefore, this vendor has been determined to be responsible.

Item: 18

Description:

Textbooks

**REQUEST FOR AUTHORIZATION TO CONTRACT WITH WRITESTEPS, LLC
FOR INSTRUCTIONAL MATERIALS**

| Estimated Annual / Total Contract Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|--|--------------------------------------|---------------|---------|---------------------|--------------------------|---------------|
| \$25,001 / \$175,007 | Reimbursable, Tax Levy, City Council | Seven Years | None | Listing Application | No | Requirements |

| | |
|----------------------------------|--|
| Vendor Name & Address | WriteSteps, LLC 2885 Sanford, Avenue SW Grandville, MI 49418 |
|----------------------------------|--|

| Contract Manager | Lead Contracting Officer | Division of Contracts and Purchasing Contact |
|--|--|---|
| Raelene Stroom Director Vendor Management Division of Teaching and Learning | Morayo Tracey Oyemade Director Contracts and Operations Division of Teaching and Learning | Demetris Daniels-Foster Procurement Analyst Textbooks and Ancillary Materials |

PURPOSE

Authorization is requested to contract with WriteSteps, LLC to provide instructional and assessment materials for participating public and non-public schools.

DISCUSSION

WriteSteps program is a writing assessment curriculum divided into units that teach the four types of writing (narrative, informative, opinion, and research). Each unit includes daily lesson plans, demonstration videos for the teacher, graphic organizers, visual aids, and an assessment component. WriteSteps is the sole provider for the assessment materials it provides.

Because these materials cannot be purchased in the open market, a competitive sealed bid process is impractical.

As WriteSteps is a new vendor with no previous contracts and a limited history of spending, its annual contract amount has been set at \$25,001.

The proposed contract requires that the prices the DOE pays be the lowest offered to any client. As such, the pricing for these materials has been determined to be fair and reasonable.

A background check on WriteSteps, including VCIP, VENDEX AND UCC, revealed no significant adverse information. Therefore, this vendor has been determined to be responsible.

Item: 19

Description:

Educational software for schools

REQUEST FOR AUTHORIZATION TO PURCHASE CONTRACT WITH LEARNERPAL CORPORATION FOR THE PURCHASE OF LEARNERPAL EDUCATIONAL SOFTWARE

| Estimated Annual / Total Contract Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|--|-------------------------|---------------|---------|---------------------|--------------------------|---------------|
| \$25,001 / \$175,007 | Tax Levy / Reimbursable | Seven Years | None | Listing Application | No | Requirements |

| | |
|----------------------------------|--|
| Vendor Name & Address | LearnerPal Corp. 1525 James Road Wantagh, NY 11793 |
|----------------------------------|--|

| Contract Manager | Lead Contracting Officer | Division of Contracts & Purchasing Contact |
|--|--|--|
| James McBride Chief Administrator Central Procurements Division of Contracts and Purchasing | Dan Aymar-Blair Senior Executive Division of Teaching and Learning | Kay Robbins Procurement Analyst |

PURPOSE

Authorization is requested to contract with LearnerPal Corporation for the entire LearnerPal educational software product line on a requirements basis.

DISCUSSION

LearnerPal is an online content and assessment platform that hosts databases of content in social studies, science, math, and English. It allows teachers and students to create and share study material, while offering students the opportunity to track their progress and teachers the opportunity to assess student work.

LearnerPal is offering the DOE a discount of 15 percent off the list price for all of its software and has guaranteed that no customer will receive better pricing than the DOE; prices have therefore been determined to be fair and reasonable.

The estimated contract amount is based on prior usage.

Background checks performed on LearnerPal Corp, including a review of VENDEX, the Vendor CIP Report, and the System for Award Management (SAM), identified no significant adverse information. Accordingly, the vendor has been determined to be responsible.

Item: 20

Description:

Educational software for schools

**REQUEST FOR AUTHORIZATION TO CONTRACT FOR THE PURCHASE
OF CURRICULUM ASSOCIATES EDUCATION SOFTWARE**

| Estimated Annual / Total Contract Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|--|-------------------------|---------------|---------|---------------------|--------------------------|---------------|
| \$2,100,000 / \$14,700,000 | Tax Levy / Reimbursable | Seven Years | None | Listing Application | No | Requirements |

| | |
|----------------------------------|---|
| Vendor Name & Address | Curriculum Associates 153 Rangeway Road North Billerica, MA 01862 |
|----------------------------------|---|

| Contract Manager | Lead Contracting Officer | Division of Contracts & Purchasing Contact |
|--|--|--|
| James McBride Chief Administrator Technology & Instructional Material Procurement | Dan Aymar – Blair Senior Executive Division of Teaching and Learning | Kay Robbins Procurement Analyst |

PURPOSE

Authorization is requested to contract with Curriculum Associates for the entire Curriculum Associates educational software product line on a requirement basis.

DISCUSSION

Curriculum Associates offers i-Ready and Ready software. i-Ready is an adaptive diagnostic assessment program for reading and mathematics. It pinpoints student needs to specific skill levels and monitors students' progress.

Ready software is an instruction and practice program in reading, writing, and mathematics. It provides step-by-step, point-of-use professional development to support effective instruction.

Curriculum Associates is offering the DOE a discount of 20-65 percent off their software list prices and has guaranteed that no customer will receive better pricing than the DOE; its prices have therefore been determined to be fair and reasonable.

The estimated contract amount is based on prior usage.

Background checks performed on Curriculum Associates, including a review of VENDEX, the Vendor CIP Report, and the System for Award Management (SAM), identified no significant adverse information. Accordingly, the vendor has been determined to be responsible.

Item: 21

Description:

Educational software for schools

REQUEST FOR AUTHORIZATION
TO PURCHASE REVOLUTION PREP, LLC EDUCATIONAL SOFTWARE

| Estimated Annual / Total Contract Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|--|-------------------------|---------------|---------|---------------------|--------------------------|---------------|
| \$125,122 / \$878,854 | Tax Levy / Reimbursable | Seven Years | None | Listing Application | No | Requirements |

| | |
|----------------------------------|--|
| Vendor Name & Address | Revolution Prep, LLC 1337 3 rd Street, 2 nd Floor Santa Monica, CA 90401 |
|----------------------------------|--|

| Contract Manager | Lead Contracting Officer | Division of Contracts & Purchasing Contact |
|---|--|--|
| Raelene Stroom Director, Vendor Management Office of Curriculum, Instruction and Professional Development | Marayo Tracey Oyemade Deputy Senior Executive Director, Finance & Procurement Division of Teaching and Learning | Kay Robbins Procurement Analyst |

PURPOSE

Authorization is requested to contract with Revolution Prep, LLC to purchase its entire educational software product line on a requirements basis.

DISCUSSION

Revolution Prep is an online web-based adaptive learning system designed to help students build core academic skills while they learn analytical problem solving. They also offer test prep classes.

Revolution Prep, LLC is the sole provider of this software, so a Request for Bids (RFB) was not practical.

Revolution Prep is offering the DOE a discount of 10 percent off their software list price and has guaranteed that no customer will receive better pricing than the DOE; prices have therefore been determined to be fair and reasonable.

The estimated contract amount is based on prior usage.

Background checks performed on Revolution Prep, LLC included a review of VENDEX, CTS, the New York State Department of Labor, and the Federal Debarment List. No significant adverse information was identified. Accordingly, the vendor has been determined to be responsible.

Item: 22

Description:

Educational software for schools.

**REQUEST FOR AUTHORIZATION
TO PURCHASE CODESTERS EDUCATIONAL SOFTWARE**

| Estimated Annual / Total Contract Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|--|-------------------------|---------------|---------|---------------------|--------------------------|---------------|
| \$35,000 / \$245,000 | Tax Levy / Reimbursable | Seven Years | None | Listing Application | No | Requirements |

| | |
|----------------------------------|---|
| Vendor Name & Address | Codesters, Inc. 900 Broadway, #903 New York, NY 10003 |
|----------------------------------|---|

| Contract Manager | Lead Contracting Officer | Division of Contracts & Purchasing Contact |
|---|--|--|
| Norah Lovett, Deputy Executive Director Curriculum, Instruction and Professional Development | Marayo Tracey Oyemade Dep. Senior Executive Director, Finance & Procurement Division of Teaching and Learning | Kay Robbins Procurement Analyst |

PURPOSE

Authorization is requested to contract with Codesters, Inc. to purchase its entire educational software product line on a requirements basis.

DISCUSSION

Codesters is an online curriculum program that teaches students how to code. Students learn the basic steps of computer coding, then reinforce their skills through lessons in which they create simulators and games. Students also learn the Python programming language, with which they can create interactive graphics and animation.

Codesters, Inc. is the sole provider of this software, so a Request for Bids (RFB) was not practical.

Codesters, Inc. is offering the DOE a discount of 12 to 51 percent off their software list price and has guaranteed that no customer will receive better pricing than the DOE; prices have therefore been determined to be fair and reasonable.

The estimated contract amount is based on prior usage.

Background checks performed on Codester, Inc., Inc. included a review of VENDEX, CTS, the New York State Department of Labor, and the Federal Debarment List. No significant adverse information was identified. Accordingly, the vendor has been determined to be responsible.

Item: 23

Description:

Entire line of Forall System software

REQUEST FOR AUTHORIZATION
TO PURCHASE FORALL SYSTEMS EDUCATIONAL SOFTWARE

| Estimated Annual / Total Contract Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|--|-------------------------|---------------|---------|---------------------|--------------------------|---------------|
| \$40,000 / \$280,000 | Tax Levy / Reimbursable | Seven Years | None | Listing Application | No | Requirements |

| | |
|----------------------------------|--|
| Vendor Name & Address | Forall Systems, Inc. 1426 S. Federal St. Chicago, IL 60605 |
|----------------------------------|--|

| Contract Manager | Lead Contracting Officer | Division of Contracts & Purchasing Contact |
|--|---|--|
| Mary - Margaret Little Assessment and Accountability District 75 Office of Citywide Programs | Roberto Tillman Director of Operations District75 Office of Citywide Programs | Domion Pontrelli Procurement Analyst |

PURPOSE

Authorization is requested to contract with Forall Systems, Inc. for their entire educational software product line on a requirements basis.

DISCUSSION

Forall Systems provides assessment software in the areas of special education, English Language Learning, and early childhood education. This software is used in classrooms for grades K-12 and has been requested by the Office of Citywide Programs in District 75.

Forall is offering the DOE a discount range of 20 to 29 percent off their software list price and has guaranteed that no customer will receive better pricing than the DOE; their prices have therefore been determined to be fair and reasonable.

The estimated contract amount is based on prior usage.

Background checks performed on Forall Systems Inc., including a review of VENDEX, CTS, the New York State Department of Labor, and the federal debarment list, identified no significant adverse information. Accordingly, the vendor has been determined to be responsible.

Item: 24

Description:

Instructional materials for Lego robotics kits.

**REQUEST FOR AUTHORIZATION TO CONTRACT WITH LEGO EDUCATION
TO PROVIDE INSTRUCTIONAL AND REFERENCE MATERIALS**

| Estimated Annual / Total Contract Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|--|------------------------|---------------|---------|---------------------|--------------------------|---------------|
| \$400,000 / \$2,800,000 | Reimbursable, Tax Levy | Seven Years | None | Listing Application | No | Requirements |

| | |
|----------------------------------|--|
| Vendor Name & Address | Lego Brand Retail, Inc. DBA Lego Education 915 E. Jefferson PO Box 1707 Pittsburg, KS 66762 |
|----------------------------------|--|

| Contract Manager | Lead Contracting Officer | Division of Contracts & Purchasing Contact |
|--|--|---|
| Raelene Stroom Director Vendor Management Division of Teaching & Learning | Morayo Tracey Oyemade Deputy Senior Executive Director Finance & Procurements Division of Teaching & Learning | Matthew Coffey Director Instructional Materials Procurement |

PURPOSE

Authorization is requested to contract with Lego Education (Lego) to purchase educational kits to participating public and non-public schools.

DISCUSSION

Lego provides Mindstorms, a collection of products which help students in upper elementary, middle, and high school learn about robotics and other science, technology, engineering, and math (STEM) topics. Mindstorms products involve students' hands-on learning to inspire interest in STEM subjects. The kits consist of customized Lego pieces that students use to construct robots and software used to program the robots to perform various functions. The kits also contain lesson plans for teachers and assessments for students. Lego Mindstorms will be used by the Core Curriculum implementation Unit's Summer in the City program.

Lego is the sole provider of these materials. Because these materials cannot be purchased in the open market, a competitive sealed bid process is impractical. The proposed contract requires that the prices the DOE pays be the lowest offered to any client; as such, the pricing for these materials has been determined to be fair and reasonable.

A comprehensive background check revealed that in 2007 the Fair Labor Division of the Office of the Attorney General for Massachusetts fined LBR for failing to identify a minor employee on work schedules and for employing a minor without a work permit. LBR was fined \$50 for "employment of a minor without a working permit" and paid a \$50 fine.

In August 2007, a patent infringement action brought by Financial Systems Innovation, LLC (FSI), a provider of technology licensing, against a number of companies, including LBR, was settled. FSI and LBR entered into a non-exclusive license covering a patent that applied to credit card fraud protection technology, which generally protected retailers and consumers engaged in credit card, check card and debit card transactions from fraud. FSI received a one-time, lump-sum payment.

In light of the resolution of these matters, the DOE does not believe these matters preclude a determination that the vendor is responsible.

Item: 25

Description:

Anti-gun violence prevention services

**REQUEST FOR AUTHORIZATION TO CONTRACT WITH THE BROTHERHOOD /
SISTER SOL FOR SERVICES IN SUPPORT OF THE ANTI-GUN VIOLENCE INITIATIVE**

| Annual / Total Contract Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|--------------------------------|----------------|---------------------------------|---------|---|--------------------------|---------------|
| \$80,000 | City Council | One Year (7/01/15 – 6/30/16) | None | City Council Allocation per Section 1-03 (c) of DOE Procurement Policy & Procedures | Yes | Full Value |

| | |
|----------------------------------|---|
| Vendor Name & Address | The Brotherhood/Sister Sol, Inc. 512 West 143 rd Street New York, NY 10031 |
|----------------------------------|---|

| Contract Manager | Lead Contracting Officer | Division of Contracts & Purchasing Contact |
|--|---|---|
| Angie Guo Senior Operations Manager Office of Safety and Youth Development | Robert J. Weiner Chief Operating Officer Office of Safety and Youth Development | Denesia Stroom-Blair Procurement Analyst Central Office Procurement |

PURPOSE

Authorization is requested to contract with the Brotherhood/Sister Sol, Inc. (Brotherhood/Sister Sol) to provide services that support the anti-gun violence initiative program at MS 301-The Paul L. Dunbar School in District 8 in the Bronx and at the Eagle Academy for Young Men of Harlem in District 5 in Manhattan.

DISCUSSION

Brotherhood/Sister Sol will provide students with services that will assist with violence reduction, conflict resolution, critical thinking, and leadership development. These services are offered as part of the Anti-Violence Project and are designed to support youth in developing the skills and knowledge necessary to promote positive self-direction.

The target population will be high-risk middle school students identified by school leadership and Brotherhood/Sister Sol staff. Determining factors include a history of behavioral issues, poor academic performance, and/or an identified risk of dropping out of school. The program will serve 20 students in each school for a total of 40 students per year.

This contract is retroactive because extra time was needed for the Mayor's Office of Contracts to clear the vendor, and there were delays in the vendor's submission of work plans and budget documents, which required multiple rounds of revisions. In FY16, the vendor was awarded an additional \$40,000, for a total allocation of \$120,000, to provide services to one additional school but was unable to provide services to School of Excellence in District 9 in the Bronx, due to issues with organizational capacity.

A review of information regarding the vendor's background in the DOE's files and in VENDEX revealed no significant adverse information. The Brotherhood/Sister Sol has therefore been determined to be a responsible vendor.

A competitive sealed bid was not done for this procurement and a contract for these services is necessary because the City Council discretionary allocation specifically names this vendor for the amount stated above. To have these services provided by another party would be contrary to the City Council allocation process.

Item: 26

Description:

City Council dropout prevention

**REQUEST FOR AUTHORIZATION TO CONTRACT WITH EL PUENTE DE WILLIAMSBURG
TO PROVIDE DROPOUT PREVENTION AND INTERVENTION SERVICES**

| Estimated Annual / Total Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|---------------------------------|----------------|---------------------------------|---------|---|--------------------------|---------------|
| \$33,000 | City Council | One Year (7/01/15 – 6/30/16) | None | City Council Allocation per Section 1-03 (c) of DOE Procurement Policy & Procedures | Yes | Full Value |

| | |
|----------------------------------|---|
| Vendor Name & Address | El Puente de Williamsburg (El Puente) 211 South 4 th Street Brooklyn, NY 11211 |
|----------------------------------|---|

| Contract Manager | Lead Contracting Officer | Division of Contracts & Purchasing Contact |
|--|--|---|
| Angie Guo Senior Operations Manager Office of Safety and Youth Development | Robert Weiner Chief Operating Officer Office of Safety and Youth Development | Denesia Stroom-Blair Procurement Analyst Central Office Procurement |

PURPOSE

Authorization is requested to contract with El Puente de Williamsburg (El Puente) to provide dropout prevention services for 60 students in Grades 8 through 12 from East Brooklyn Community (EBC) High School for Public Service (K545) and El Puente Academy for Peace and Justice (K685), in Districts 14 and 32, respectively.

DISCUSSION

El Puente provides services to students with high-risk characteristics, including students who are new immigrants, students with low credit accumulations, students with low attendance rates, pregnant students, and/or teen parents.

Components of the program include academic support services, college prep, mentoring, art activities, leadership development, and job skills training. Services are provided at two nearby off-site locations that have dedicated classrooms, computer access, and spaces for the visual and performing arts and community meetings. At both schools, El Puente staff members provide in-school activities during the school day in classrooms and other school spaces.

This contract is retroactive because the vendor was late submitting requested work plans and budget documents; in addition, these documents required multiple rounds of revisions.

The contract manager's office has experience with the vendor providing these services and confirms that El Puente has provided satisfactory service. DCP reviewed information regarding the vendor's background in DOE's files and VENDEX systems and found no significant adverse information. The vendor has therefore been determined to be responsible.

A competitive sealed bid was not done for this procurement and a contract for these services is necessary because the City Council discretionary allocation specifically named this vendor for the amount stated above. To have the services provided by another party would be contrary to the City Council award process. Pricing for the contract services was established to match the City Council allocation.

Item: 27

Description:

Conflict resolution and peer mediation

**REQUEST FOR AUTHORIZATION TO CONTRACT WITH STREET CORNER RESOURCES, INC.
TO PROVIDE CONFLICT RESOLUTION AND PEER MEDIATION SERVICES**

| Estimated Annual / Total Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|---------------------------------|----------------|---------------------------------|---------|--|--------------------------|---------------|
| \$40,000 | City Council | One Year (7/01/14 – 6/30/15) | None | City Council Allocation, per Section 1-03(c) of DOE Procurement Policy & Procedures | Yes | Full Value |

| | |
|----------------------------------|---|
| Vendor Name & Address | Street Corner Resources, Inc. 22 East 128 th Street New York, NY 10035 |
|----------------------------------|---|

| Contract Manager | Lead Contracting Officer | Division of Contracts and Purchasing Contact |
|---|---|---|
| Angie Guo Senior Operations Manager Office of Safety and Youth Development | Robert Weiner Chief Operating Officer Office of Safety and Youth Development | Denesia Stroom-Blair Procurement Analyst Central Office Procurement |

PURPOSE

Authorization is requested to contract with Street Corner Resources, Inc. to provide conflict resolution and peer mediation services to students at Harlem Renaissance High School in District 5, Manhattan.

DISCUSSION

Street Corner Resources, Inc. (Street Corner) will provide workshops to more than 200 students between the ages of 17 and 20 at Harlem Renaissance High School. Students will create music, beats, raps, poetry, and spoken word within a theme-based collaboration in the "I Am Peace" music studio during Musical Lunch and Learn and the Culture Base Unit. Musical Lunch and Learn allows for the students to explore their creativity and self-expression through writing and beat making during their lunch period. Street Corner Resources' Culture Base Unit is a more structured class, in which students engage in musical research, beat creation, and collaboration with invited guest artists. Students will support their creative process by engaging in anti-violence activism, artistic development, and vocal coaching. In addition to the music studio services, Street Corner Resources will provide conflict mediation and intervention services for one hour each week.

This contract is retroactive due to delays in the approval of the City Council allocation by the Mayor's Office of Contract Services (MOCS).

The Contract Manager's office has experience with the vendor providing these services and confirms that they have performed them satisfactorily. DCP reviewed the vendor's background in the DOE's files and VENDEX systems and found no significant adverse information. The vendor has therefore been determined to be responsible.

A competitive sealed bid was not done for this procurement and a contract for these services is necessary because the City Council discretionary allocation specifically named this vendor for the amount stated above. To have the services provided by another party would be contrary to the City Council allocation process. Pricing for the contract services was established to match the City Council allocation awarded.

Item: 28

Description:

Instructional technology professional development and related services for 64 schools

REQUEST FOR AUTHORIZATION TO CONTRACT WITH MOUSE TO PROVIDE STUDENT TRAINING AND PROFESSIONAL DEVELOPMENT IN COMPUTER TECHNOLOGY TO SELECTED SCHOOLS

| Estimated Annual / Total Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|---------------------------------|----------------|---------------------------------|---------|---|--------------------------|---------------|
| \$275,000 | City Council | One Year (7/01/15 - 6/30/16) | None | City Council Allocation Per Section 1-03 (c) of DOE Procurement Policy & Procedures | Yes | Full Value |

| | |
|----------------------------------|--|
| Vendor Name & Address | MOUSE 55 Broad Street, 16 th Floor New York, NY 10004 |
|----------------------------------|--|

| Contract Manager | Lead Contracting Officer | Division of Contracts & Purchasing Contact |
|---|---|---|
| Celine Azoulay-Lewin Senior Executive Director Office of Innovation | Janine Maisano Division of Instructional and Information Technology | Denesia Stroom-Blair Procurement Analyst Central Office Procurement |

PURPOSE

Authorization is requested to contract with MOUSE to provide student training and professional development to 64 "MOUSE Squad" schools.

DISCUSSION

"MOUSE Squads" are implemented as after-school programs through which students help teachers and other students use computer hardware and educational software. MOUSE will offer participating schools a variety of services, including curriculum development, technical support, on-site mentoring, training workshops, and partnership development, to maximize the use of technology in schools. Aside from the immediate benefit to schools, students also learn leadership skills, critical thinking, and program management. MOUSE provides information on how systems and people work together effectively and how schools can overcome the challenges of employing computer technology. In addition, MOUSE performs evaluation reports based on student and educator questionnaire data, data dashboards, and site-visit information.

This contract is retroactive because of delays in MOUSE's submission of required documentation to the DOE, as well as numerous revisions to the work plan and budget.

The Office of Innovation confirms that MOUSE has provided satisfactory services in the past. DCP reviewed information regarding the vendor's background in the DOE's files and VENDEX systems and found no significant adverse information. MOUSE has therefore been determined to be a responsible vendor.

A competitive sealed bid was not done for this procurement and a contract for these services is necessary because the City Council discretionary allocation specifically named this vendor for the amount stated above. To have the services provided by another party would be contrary to the City Council award process. Pricing for the contract services was established to match the City Council allocation.

Item: 29

Description:

Peer mediation and conflict resolution

**REQUEST FOR AUTHORIZATION TO CONTRACT WITH EAST FLATBUSH VILLAGE, INC.
AS PART OF THE ANTI-GUN VIOLENCE INITIATIVE**

| Estimated Annual / Total Contract Amount | Funding Source | Contract Term | Options | Procurement Method | Is Contract Retroactive? | Contract Type |
|--|----------------|---------------------------------|---------|---|--------------------------|---------------|
| \$80,000 | City Council | One Year (7/01/15 – 6/30/16) | None | City Council Allocation per Section 1-03 (c) of DOE Procurement Policy & Procedures | Yes | Full Value |

| | |
|----------------------------------|--|
| Vendor Name & Address | East Flatbush Village, Inc. 461 East 46 th Street, Brooklyn, NY 11203 |
|----------------------------------|--|

| Contract Manager | Lead Contracting Officer | Division of Contracts & Purchasing Contact |
|--|---|---|
| Angie Guo Senior Operations Manager Office of Safety and Youth Development | Robert J. Weiner Chief Operating Officer Office of Safety and Youth Development | Denesia Stroom-Blair Procurement Analyst Central Office Procurement |

PURPOSE

Authorization is requested to contract with East Flatbush Village, Inc. (EFV) to provide peer mediation and conflict resolution for students at I.S. 232 – Marketing and Legal Studies and I.S. 285 – Meyer Levin, both of which are located in District 18, Brooklyn.

DISCUSSION

EFV's main focus is high-risk middle and high school students who show patterns of violence in any form, including gang activity, drug use, and repeated school delinquency. Participants in EFV's program are selected by EFV staff with the assistance of school administrators, teachers, and other school liaisons. Students participate in activities such as athletics, digital inclusion, music and dance, life skills, and mixed martial arts, in addition to mentorship programs. Workshops fostering character building and community service are conducted quarterly with students.

This contract is retroactive due to delays in the approval of the City Council allocation. Development of the work plan and budget, which required multiple revisions, was also delayed.

A review of information regarding the vendor's background in DOE's files and VENDEX systems found no significant adverse information. The vendor has therefore been determined to be responsible.

A competitive sealed bid was not done for this procurement and a contract for these services is necessary because the City Council discretionary allocation specifically named this vendor for the amount stated above. To have the services provided by another party would be contrary to the City Council allocation process. Pricing for the contract services was established to match the City Council allocation awarded.

Item: 30

Description:

Add-on: CBOs to support the implementation of a community school approach that includes community school coordination, parent and community engagement, expanded learning and enrichment activities, and mental health services.

**REQUEST FOR AUTHORIZATION FOR ASSIGNMENT OF CONTRACTS WITH
COMMUNITY BASED ORGANIZATIONS FOR SERVICES IN RENEWAL SCHOOLS**

| Estimated Annual / Total Contract Amount | Funding Source | Contract Term | Options | Total Option Amount | Procurement Method | Is Contract Retroactive? | Contract Type |
|--|----------------|----------------------|-------------------------|---------------------|--------------------|--------------------------|---------------|
| \$38,589,030/ \$77,178,061 | Tax Levy | 7/01/16 – 6/30/18 | One 3-Year Option | \$115,767,090 | Assignment | No | Full Value |

| | |
|-------------------------------------|-----------------|
| Vendor Names & Addresses | See list below. |
|-------------------------------------|-----------------|

| | |
|---|--|
| Contract Manager / Lead Contracting Officer | Division of Contracts & Purchasing Contact |
| Christopher Caruso Executive Director, Office of Community Schools Division of School Support | Joy Gentolia Director, Instructional Services Procurement |

PURPOSE

Authorization is requested on behalf of the Office of Community Schools to contract with the below-named Community Based Organizations (CBOs) to implement the community school approach in 82 Renewal Schools. Funding will be provided by the DOE central budget.

DISCUSSION

The New York City Department of Youth and Development (DYCD) released an RFP for Community Schools on behalf of the DOE as part of the Mayor’s and Chancellor’s School Renewal Program, a comprehensive, multi-year, whole school reform designed to raise the performance of the schools and enable students attending these schools to succeed. While DYCD processed and awarded contracts through this RFP, the DOE is directly managing the resulting contracts.

Renewal Schools are elementary, middle, and high schools that have been identified as struggling and in need of intensive support to improve student outcomes based on a number of criteria, including low academic achievement and low ratings on the DOE’s quality review. The program calls for each of the Renewal Schools to undergo a transformation to become a Community School. The community school approach is based on a growing body of evidence that shows that an integrated focus on academics, health and mental health services, social services, after-school and summer enrichment activities, expanded learning opportunities, positive youth development, and family and community supports is critical to improving student success. In the community school approach, all of these elements become part of the core function of the school.

The proposed contracts require the CBOs to support the implementation of such an approach that includes the following components: 1) community school coordination, 2) parent and community engagement, 3) expanded learning and enrichment activities, and 4) mental health services.

CBOs were awarded pursuant to Request for Proposals (RFPs) to provide services at specific participating schools and awardees were selected using a two-step process. In Step 1, proposals were evaluated using the following criteria: organizational experience (30 points); staffing (10 points); program approach and services (40 points); outcomes, reporting, and evaluation (10 points); and linkages to

governmental agencies, private companies, and other community organizations (10 points). In Step Two, vendors whose proposals were among those receiving the highest scores were then interviewed by interested school principals and at least two additional representatives from each school's leadership team. Using the same evaluation criteria, the proposals receiving the highest score from each school were recommended for award.

These vendors have broad experience in serving the needs of schools, including the delivery of supplemental education services, expanded learning opportunities, positive youth development, family engagement, and mental health services.

The contract amounts are based on a multi-part formula:

- All contracts started with a base amount of \$225,000 to cover the cost of the Community School Director and coordination functions.
- A per capita amount of \$334 per student was added to fund direct services, including expanded learning, family engagement, social-emotional development, attendance improvement strategies, and student support partnerships.
- The Office of School-Based Mental Health assessed each school to determine a mental-health allocation based on the campus student enrollment and the pre-existing presence (or absence) of mental health services.

Prices were determined by DYCD to be fair and reasonable on the basis of comparability to prices for like services.

VENDOR RESPONSIBILITY

The DOE reviewed what DYCD reported in its responsibility determinations and updated that information by reviewing VENDEX and any information that the DOE possessed regarding the vendors.

Abbott House

Noteworthy information found by DYCD:

VENDEX query indicates that Luis A. Rodriguez (Senior Vice President) of Abbott House has two outstanding warrants in the amount of \$3,773.02 & \$7,951.67. A letter of verification from the NYS Department of Finance indicates that there are no outstanding assessed liabilities. They are unable to verify any bills that may be pending at this time.

- In addition to what DYCD reported, the DOE has determined that the two outstanding warrants have been addressed by an installment agreement with the NYC Department of Finance. DOE reached out to the NYC Department of Finance and they confirmed the installment agreement for Luis A. Rodriguez is currently in good standing.

The Department of Investigations (DOI) memorandum #0504 dated 9/2/15 indicated the vendor answered question 12(a) in the affirmative. According to the NYS Attorney General's office, the NYS Attorney General Medicaid Fraud Control Unit (MFCU) conducted a review of the Medicaid billings of all Child (Foster) Care agencies discovered overbilling Medicaid per diem claims for days of care and/or transportation claims for foster children when the children were physically absent from the agencies due to hospitalizations. As a result of the findings, the AG instructed Abbott House to reimburse Medicaid \$143,231.19. On May 11, 2010, MFCU issued a letter to Abbott House acknowledging receipt of the full payment.

According to Norris McLaughlin & Marcus P.A. attorneys for Abbott House, they have filed a distress termination application for the Plan with the Pension Benefit Guaranty Corporation (PBGC). Abbott House is pending final resolution of the proposed Plan termination.

There were two (2) incidents reported by Abbott House. Case #26058120 for inadequate guardianship on 3/3/15, agency reviewed supervision guidelines with the foster parent on 5/15 and child continued with individual therapy. Case#26086896 for Child Abuse on 4/9/15, youth was referred to counseling program on 7/15. Based on the review of the summary report submitted by Abbott House, it can be concluded that proper action was taken by the contractor in response to the incidents.

Based on actions taken by the contractor, DYCD determines this contractor responsible.

A review of the Pension Benefit Guaranty Corporation reported by DYCD leads DOE to add the following details: the liens were filed by the PBGC, an organization that is charged primarily with responsibility of guaranteeing the payment of pension benefits to the participants of defined benefit pension plans. PBGC issued the liens to protect its interests with respect to the amounts owed by Abbott House to the plan. Due to a combination of changes made to the contribution requirements over the past several years and the current period of historically low interest rates, the annual contribution obligation of Abbott House has risen. Abbott House has filed a distress termination application in October 2014 for the Plan with the PBGC to take effect in December 201. At this time, Abbott House reports that the timeframe on resolution is unknown, they are attempting to resolve this as quickly as possible, and that the matter does not affect their day to day operations or overall financial stability.

A March 2016 federal lawsuit alleged that an individual under the care of a foster home, through a NYC Administration of Children's Services ("ACS") contract provider Abbott House ("AH"), was repeatedly molested by her foster mother. The individual alleged in the civil suit that Abbott House, ACS, and a psychotherapy center provided improper supervision over her while she was in the care of a foster home, and failed to protect her from her foster mother, the assailant, even after she lodged several complaints. The DOE has confirmed with ACS and Abbott House that the matter is currently pending, and that further information could not be provided. In light of the fact that this matter is pending, the DOE does not believe this precludes a determination that the vendor is responsible.

ASPIRA of New York, Inc.

Noteworthy information identified by DYCD:

VENDEX query indicated the Department of Youth and Community Development (DYCD) issued "Poor" rating in the subcategory of timeliness on their Beacon contracts for FY 2012 & FY2013. The contractor was poor at submitting the contractual documents. Programmatic and administrative services were not provided in a timely manner and in compliance with the terms of the contract. Additionally, the program faced challenges with providing timely data reporting on the DYCD web-based online system. ASPIRA's Beacon program was placed on a Work Improvement Plan (WIP). The WIP was closed out towards the end of the contract year. Despite the issues in timeliness, the contractor received an overall "Fair" rating. Since the implementation of the WIP, ASPIRA's performance improved significantly. As a result, DYCD will issue a subsequent rating of fair in these categories.

Based on this improvement, DYCD determines this contractor responsible.

Catholic Charities Community Service Archdiocese of New York

No significant adverse information found by DYCD.

Center for Supportive Schools

No significant adverse information found by DYCD.

Community Association of Progressive Dominicans

Noteworthy information found by DYCD:

1. VENDEX query indicates a self-reported caution dated 1/2/2013. This caution also relates to question 15 of the contractor's most recent VENDEX questionnaire. The details of this caution pertain to allegations of fiscal impropriety. These improprieties involved questionable debit card expenses; high cost and improper procurement of a contract, as well as, the use of a personal driver for the Executive Director without the Board of Directors' approval. A memorandum issued to DYCD by the Department of investigations (DOI) dated January 2, 2013 indicated that Community Association of Progressive Dominicans, Inc. (ACDP) failed to provide documentation detailing their \$1.4 million dollar payroll tax debt. ACDP also failed to submit an account of the procurement process used to engage Nagel Hardware for renovation services. Nagel Hardware Company employed the Executive Director's brother-in-law. As a result DYCD initiated an audit investigation based on the memorandum issued by DOI. DYCD discovered that city funds were not used for any of the questionable costs listed in DOI's memorandum. Also, the Executive Director was empowered by the Board to hire and terminate staff in the normal course of business and the driver was used solely for business purposes. On May 28, 2013, DOI, ACDP and the Mayor's Office of Contract Services (MODS) entered into a Corrective Action Plan (CAP) to address the findings cited by the initial investigation. ACDP is being closely monitored for compliance with their CAP. Based the implementation of this CAP, DYCD determines this contractor responsible. MOCS has provided the DOE with an updated CAP status dated May 20, 2016 showing that the vendor continues to be in compliance.
2. VENDEX query indicates a second self-reported caution dated 6/24/2010. This caution also relates to question 16(b) of ACDP's most recent VENDEX questionnaire. The details of this caution pertain to the former Executive Director Victor Morisette, who pled guilty to administrative charges. This case was turned over to the US District Court of the Southern District of New York by the NYC Department of investigation (DOI). Mr. Morisette resigned from his position as Executive Director of ACDP on April 10, 2006. Based on Victor Morisette's resignation, DYCD determines this contractor responsible.
3. VENDEX query indicated a third self-reported caution dated 10/23/2009. This confirm also relates to question 11(c) of ACDP's most recent VENDEX questionnaire. The details of this caution pertain to the termination of a contract by the NYS Department of Health (DOH). NYS DOH terminated this contract because ACDP's independent auditors delayed the submission of their A-133 for 2007 and 2008. ACDP is now current with their independent audit submissions; therefore, DYCD determines this contractor responsible.

ACDP also answered question 19(c) in the affirmative as well, ACDP did not pay their federal taxes for 2010, 2011 and 2012. The IRS and ACDP entered into an Offer in Compromise. ACDP who had initially owed \$1,448,020.07 in Federal Employment taxes agreed with the IRS to pay approximately 80% or (\$1,148,000) to settle this liability. On June 30th 2013, an advance in the amount \$518,027 was sent to the IRS which was part of the offer and an additional \$2,523.17 was to be paid in monthly installments. The offer in compromise which was preliminarily approved by the IRS was put on hold because ACDP had other open IRS tax levies. On April 1, 2015 ACDP received a letter from the IRS confirming that the Offer in Compromise had been approved. Regarding monies owed to the Dept. of Labor, ACDP had a balance of \$40,356.14 and as of April 16th 2015 they have been on a monthly payment plan of \$7,500.00 which is in effect and up to date. As of 8/12/15 ACDP was up to date with their Dept. of Labor payments. At this time, ACDP provided a \$0 balance letter from the Dept. of Labor (DOL) and the DOE has verified with the DOL that ACDP owes no money.

Based on the offer to Compromise with the IRS and ACDP's compliance with the monthly payment plan with the Department of Labor, DYCD determines this contractor responsible.

On May 28, 2013, Community Association of Progressive Dominicans entered into a Corrective Action Plan (CAP) with the Mayor's Office of Contracts (MOCS) due to their various fiscal improprieties that involved DYCD, IRS, and DOHMH. This CAP will remain in effect for five years from the date of its execution. On October 27, 2014 the Mayor's Office of Contract Services (MOCS) provided DYCD with an update related to the contractor's compliance with the requirements of the CAP. Further communication with MOCS on April 21st, 2015 and then on September 17th, 2015 confirmed that the contractor continues to be in compliance with the CAP.

Based in the contractor's current compliance with the terms of their CAP, DYCD determines this contractor responsible.

VENDEX query indicated the following adverse information as it relates to affiliates of ACDP:

Acacia Network Housing Inc., Acacia Network Inc., Basic Inc., General Development and Orientation Council Inc., The Puerto Ricans Organization to Motive, Enlighten and Serve Addicts, Inc., Hunts Point Multi-Services Center, Inc., South Bronx Community Management Co., and United Bronx Parents are all current affiliates of each other as well as Community Association of Progressive Dominicans. These affiliates answered either one or both questions (15 and/or 16) of their VENDEX questionnaire submission in the affirmative. The affirmative response was due to investigations conducted within the last five years on the City, State and Federal level for East Harlem Council for Community Improvement, Community Association of Progressive Dominicans, The Bronx Council of Economic Development Local Development Corporation, and Promesa.

East Harlem Council for Community improvement also answered question 11(f) in the affirmative due to an unsatisfactory performance evaluation with HRA in 2007. This provider also has two caution profiles regarding a Medicaid fraud investigation and an employee complaint filed with the US Department of Labor.

Promesa Enterprises answered question 14(b) in the affirmative in relation to a disqualification by the School of Construction Authority because they failed to pay workers' compensation premiums.

United Bronx Parents (UBP) answered questions 12(a), 12(b) in the affirmative because they have a judgment with the NYS Department of Unemployment insurance. UBP currently has a monthly payment plan of \$7,500 to satisfy the amount owed. UBP answered question 20 in the affirmative because they had a material weakness surrounding internal controls, compliance with contractual agreements and laws and regulations for the audit period ending 6/30/10.

Hunts Point Multi-Services Center, Inc. answered questions 12(a) and 20 in the affirmative. Question 12(a) was answered affirmatively because Hunts Point had 2 judgments which have been satisfied. Question 20 was answered affirmatively because an audit revealed material weaknesses in its system of internal controls.

South Bronx Community Management Co. answered questions 11(c), 12(a) and 12(b) in the affirmative. Question 11(c) was answered in the affirmative because the State of New York Department of Health (DOH) terminated Community Association of Progressive Dominicans, Inc. Apps contract due to a delay with their independent auditor finishing the A-133 for 2007 and

3008. DOH received the report in August of 2009. Questions 12(a) and (b) were answered in the affirmative because there are open liens and judgments for South Bronx Community Management.

The Puerto Rican Organization to Motivate, Enlighten & Serve Addicts, Inc. answered 11(c), 12(a) and 12(b) in the affirmative. Question 11(c) was answered in the affirmative because the State of New York Department of Health (DOH) terminated Community Association of Progressive Dominicans, Inc. Apps contract due to a delay with their independent auditor finishing the A-133 for 2007 and 2008. DOH received the report In August of 2009. Questions 12(a) and 12(b) was answered in the affirmative because there are open liens for Community Association of Progressive Dominicans.

Notwithstanding, ADCP remains in compliance with the terms of their CAP, therefore, the above-mentioned adverse information disclosed by affiliates of ADCP do not negatively impact DYCD determining this contractor responsible.

4. DOI memo dated 1/3/2014 disclosed a memorandum was issued by DOI to Jeanne B. Mullgrav former Commissioner of the Department of Youth and Community Development. The details of this memorandum outline DOI's investigation into fiscal impropriety allegations against ACDP and the results of DOI investigation. The DOI memo also discloses a letter dated May 5, 2006 pertaining to the former Executive Director Victor Morissette's resignation from ACDP.
5. Performance Period From: 07/1/2013 to 12/31/2013 Program Description: Mental Health Services for Adults
VENDEX query indicates an overall "poor" performance evaluation rating issued by the Department of Mental Health and Hygiene (DOHMH) on their Mental Health Services program for the period of 7/1/13-12/31/13. The contractor failed to meet basic fiscal administration and accountability standards as outlined by DOHMH. In addition the contractor was unable to maintain the appropriate staffing pattern and was unable to meet the levels of services required by the contract. Their overall performance was sub-par. The contractor was placed on a Corrective Action Plan (CAP) to address programmatic concerns regarding staffing and levels of service. According DOHMH, the contractor has been responsive and has been cooperative. As a result, for the following period of 01/01/14-12/31/14, DOHMH issued a rating of satisfactory for overall, fiscal and quality. Based on this improvement, DYCD determines this contractor responsible.
6. Performance Period From: 01/01/13 to 6/30/13 Program Description: FY2011 ARTS for C&A
VENDEX query indicates an overall "poor" performance evaluation rating issued by the Department of Mental Health and Hygiene (DOHMH) on their Mental Health Services Audubon Youth Program during the period of 1/1/13-6/30/13. The contractor failed to meet basic fiscal administration and accountability standards as outlined by DOHMH. Was unable to maintain the appropriate staffing pattern and was unable to meet the levels of services required by the contract. Their overall performance was sub-par. The contractor was placed on a Corrective Action Plan (CAP) to address programmatic concerns regarding staffing and levels of service. According to DOHMH, the contractor has been responsive and is cooperating with DOHMH. Therefore, DYCD determines this contractor responsible.
7. A Google search revealed an article by DNAInfo.com that reported a law suit filed by an ex-employee of Audubon Partnership accusing Luis Miranda Jr, Chairman of the organization and lobbyist for ACDP at the time. He was accused of using government funds intended for use at

Audubon Partnership to pay off ACDP's MS debts. The lawsuit was settled in January 2015. Based on the settlement of this lawsuit, DYCD determines this Contractor responsible.

8. In a recent independent auditor's report dated 6/30/2014, Community Association of Progressive Dominicans (ACDP) auditor stated a "Growing Concern" and disclosed material weaknesses in the organization. During the year ended June 30, 2013 the Organization had a net loss of \$393,775 negative cash flow from operations of \$479,679, and deficit net asset of \$1,135,657. The deficit was mainly due to the liability to OMIG and NYCDOHMH for \$558,584, which related to prior year overpayments. As a result of the OMIG and NYCDOHMH and IRS liabilities, the Organization's current liabilities exceeded its current assets by \$1,503,353 at June 30, 2013.

Under its new affiliation with Acacia Network, ACDP has initiated measures designed to improve cash flow and the operating deficit through, cost containment and maximization of third party collections. The IRS accepted ACDP's "Offer in Compromise" on June 25th, 2014 and it has since, been paid in full. Payroll taxes are paid timely. Filing of employer tax returns and payment of employees' withholding taxes are current. Formal repayment plans have been established with all vendors and the current balance due to NYCDOHMH is \$138,245 which is expected to be paid in full by December 2014 and the current balance due to OMIG for prior year overpayments is \$215,757. 5% recoupment is being made to ACDP's weekly Medicaid remittances to pay this liability. Payment plans are being maintained to ensure continued services and renewal of confidence in ACDP.

All information pertaining to ACDP's independent audit report was reviewed by DYCD's Audit Department.

Due to the improvements stated above, along with the IRS "Offer in Compromise", DYCD determines this contractor responsible.

In addition to DYCD, the DOE reports the following Noteworthy information disclosed in the VENDEX Vendor Questionnaire:

The DOE discovered a poor subcategory evaluation for "Fiscal Administration and Accountability" for a NYC Department of Health and Mental Hygiene December 31, 2015 evaluation. This was for a specific subcategory, and the overall rating for this evaluation was fair. No subsequent evaluations were discovered as this evaluation was the latest one for Community Association of Progressive Dominicans ("CAPD").

On April 6, 2009, a former CAPD managerial employee pleaded guilty to one count of wire fraud. He pleaded guilty to a "no show" job at CAPD from August 2004-March 2006. He supplied the agency with fraudulent time sheets and received payment for \$34,496.00. The former employee was sentenced to 18 months in a federal prison on September 25, 2009.

Affiliate Institute for the Puerto Rican Hispanic/Elderly, Inc. ("IPRHE") disclosed that NYC Department of Investigation ("DOI") completed an investigation into the allegations of fiscal improprieties and mismanagement related to IPRHE. The investigation did not reveal criminal conduct, but did reveal a variety of weaknesses in financial management and internal controls. On December 22, 2014 IPRHE entered into a corrective action plan with the Mayor's Office of Contract Services to address the findings of DOI.

Affiliate The Puerto Rican Organization to Motivate, Enlighten and Serve Addicts, Inc. ("Promesa") disclosed that they are involved in a NYS Comptroller ("OSC") audit of NYS Office of Alcohol and Substance Abuse Services ("OASAS") initiated on April 30, 2015. The purpose of

the audit was to determine OASAS' oversight and monitoring of Promesa's substance abuse treatment services. Promesa indicates that they have been working cooperatively with the auditors. At the conclusion of the audit, OSC will make recommendations to OASAS and OASS will determine if any actions are needed to be taken. According to Promesa, as of January 2016 no audit report has been released. Furthermore, an independent search on the NYS Comptroller website conducted on June 2016 by the DOE finds no audit report released related to this matter.

Affiliate The Puerto Rican Organization to Motivate, Enlighten and Serve Addicts, Inc. ("Promesa") disclosed in their VENDEX that they were the subject to a NYC Department of Investigation ("DOI") investigation that began in 2007 but remained substantially inactive. DOI expressed interest in Promesa's contracting process with other vendors. Promesa Counsel met with DOI personnel to discuss the status of the investigation in 2010.

Affiliate East Harlem Council for Community Improvement, Inc. ("EHCCI") disclosed in their VENDEX that in Mid-2006, NYC Human Resources Administration ("HRA") reviewed the accounts of EHCCI and determined that capital reserve funds were misused for operating expenses. EHCCI indicated that they determined that the fiscal agent, Promesa Administrative Services Organization ("PASO"), inadvertently transferred the funds causing the misuse. Upon learning the mistake, EHCCI/PASO immediately returned the funds to the correct account and in August 2006, a corrective action plan was approved by EHCCI board members which were implemented by EHCCI staff.

Affiliate Bronx Addiction Services Integrated Concepts System, Inc. ("BASICS") disclosed in January 2016 VENDEX forms that they were subject to a Civil Investigative Demand ("CID") served by US Attorney Southern District of New York. BASICS made initial document submissions at the request of the CID and has received no further interactions with the Assistant US Attorney handling the case.

Entity of Interest Hunts Point Multi-Service Center, Inc. (Hunts) disclosed similarly that a former executive director and former consultant were implicated in NY County DA's investigation of a former NYS Senator. Hunts cooperated in the investigation and severed its relationship with both parties. Separately, Hunts disclosed an investigation by the New York State Department of Health (NYS DOH) regarding improper behavior by a chief pharmacist, whose license was later suspended.

Additionally, earlier DOE findings disclosed the following:

1. In 2010, the U.S. Department of Labor commenced an action in US District Court for the Southern District of N.Y. against Promesa Systems and affiliate East Harlem Council for Community Improvement, Inc. (EHCCI). DOL asserted the retaliatory termination of a Promesa Systems employee because she reported a workplace safety issue to OSHA. In March 2011 the matter was settled by agreement and a consent judgment.
2. The Medicaid Fraud Control Unit (MFCU) is conducting a review of two programs of affiliate East Harlem Council for Community Improvement Inc. (EHCCI): (1) Residential Habilitation (Res Hab) and (2) Medicaid Service Coordination(MSC). Both ResHab and MSC programs are administered by the NYS Office of People with Developmental Disabilities (OPWDD). On or about May 25, 2012, MFCU informed EHCCI counsel that it was requesting another meeting to discuss potential audit findings. EHCCI Counsel made a follow-up request for additional information from MFCU.

According to the vendor, a response to that request was pending at MFCU at the time of the VENDEX filing dated January 2016. The vendor advises that the matter is settled.

3. In September 2012, the NYS Attorney General and the NYC DOI issued subpoenas to obtain records related to the then NYS Assemblywoman Naomi Rivera's Involvement with affiliate Bronx Council for Economic Development Local Development Corporation (BCED). In response to the subpoena, Acacia Network, Inc. staff provided 23 boxes of documents. DOI then interviewed two BCED board members and the former BCED executive director.
 - a. DOE reached out, and ACDP indicated that the investigation has been dormant since after the events described above took place. No action against Acacia was taken as a result of this inquiry. They are not aware of any formal action undertaken against BCED or the former board members and staff member who were interviewed. BCED has been an inactive organization since shortly after the events described were completed.
4. In light of how all matters reported by the DOE have been addressed, the size of the entity, and that DYCD continues to do business with CAPD, the DOE does not believe the above information precludes a determination that the vendor is responsible.

Counseling in Schools

No significant adverse information found by DYCD.

Cypress Hills Local Development Corporation

Noteworthy information found by DYCD:

1. DYCD reported on a former temporary employee who filed a discrimination complaint. On 12/12/96, Wayne Brightly filed a verified complaint with the State Division of Human Rights charging the above respondent (Cypress Hills Local Development Corporation) with an unlawful discriminatory practice relating to Employment, because of Race/Color and Sex in violation of the Human Rights of the State of New York.

After the Investigation, and following review of related information and evidence with named parties, the Division of Human Rights has determined that, insofar as respondent Cypress Hills Local Development Corporation is concerned, there is no probable cause to believe that the said respondent has engaged in or is engaging in the unlawful discriminatory practice complained of. The complaint was therefore ordered dismissed and the file has been closed

2. VENDEX query indicated a self-reported caution dated 08/20/2012 pertaining to a former employee of the Cypress Hills Local Development Corporation (CHLDC), Mary Jane Bradford filed a complaint for discrimination with the NYS Division of Human Rights on 08/20/2012 wherein she claimed racial discrimination and retaliation. This caution also pertains to question 15 of the contractor's current VENDEX Questionnaire. The matter was investigated by the State Division of Human Rights and resulted in a No Probable Cause finding which was issued on 01/31/2013. Complaint had 60 days to appeal the determination which she failed to do. Ms. Bradford's complaint was filed with the United States Equal Employment Opportunity Commission. The EEOC adopted the findings of the State and issued a right to Sue Notice to Ms. Bradford on 02/23/2013. This notice gave the complainant 90 days to pursue her federal claim in either state or federal court and no claim was filed.
 - a. Notwithstanding the above DYCD determines this contractor responsible.
3. Vendor query indicates a self-reported caution regarding NYC Department of Education Investigation. On April 20, 2015 NYC DOE notified Cypress Hills Local Development Corporation

(CHLDC) that Office of the Special Commissioner of Investigation had investigated incident (SCI Case #2014-5882) that happened at PS 677 on September 19, 2014. DOE has requested a corrective action plan and further information on fingerprinting of staff of the CHLDC. All required information was submitted by-mail on May 19, 2015. This caution also pertains to question 15 of the contractor's current Vender Questionnaire.

- a. Meanwhile CHLDC continues to successfully work with DYCD. Therefore, this caution does not negatively impact DYCD determining this contractor responsible.

A review of the matters reported by DYCD leads the DOE to the following updated and more detailed discussion.

In a January 5, 2016 letter the DOE closed out the aforementioned SCI matter. It was stipulated that all CHLDC staff at DOE locations were to be fingerprinted and input into the DOE's Personnel Eligibility Tracking System. Furthermore, CHLDC indicated that they submitted a corrective action plan to the agencies under whose auspices it provided afterschool programs (OCFS, DYCD, and DOH) and revised its Policies and Procedure Manual for All After School Programs. CHLDC also revised its safety procedures, provided additional training to staff, re-emphasized to staff the importance of maintaining proper staff to child ratios, and indicated that they would notify the Department of Health of any room changes when those changes occurred.

4. VENDEX query indicated a self-reported caution dated March 19, 2015 pertaining Mr. Richie F. Levine complaint to a State Commission on Human Rights, Case No 10174063. Mr. Levine filed this complaint of age discrimination against Cypress Hills Local Development Corporation and NYC Department of Youth and Community Development alleging he was unfairly denied access to Young Adult internship Program which is a city-wide program specified and designed to address the needs of disconnected youth ages 17-24.

After investigation, and following opportunity for review of related information and evidence, New York State Division of Human Rights has determined that there is no Probable Cause to believe that the respondents have engaged in the unlawful discriminatory practice complained of. The complaint was therefore ordered dismissed and the file is closed, see attached Determination and Order after Investigation letter.

This caution also pertains to question 15 of the contractor's current VENDEX Questionnaire. Notwithstanding the above information, DYCD determines the contractor responsible.

5. VENDEX query Indicated a self-reported caution dated September 25, 2014 retaining Cypress Hills Local Development Corporation (CHLDC) had its School-Age Child Care License #682388 temporarily suspended by the NYS Office of Children and Family Services (OCFS). This suspension was caused by an incident that occurred on September 19, 2014 at its after school program at PS 677, located at 605 Shepherd Avenue, Brooklyn, NY. The suspension was contested by CHLDC. On October 20, 2014 OCFS and CHLDC entered into a stipulation of settlement, wherein OCFS reinstated CHLDC license and authorized CHLDC to immediately resume the operation of its after school program at PS 677. In addition, pursuant to the terms of the settlement with OCFS, CHLDC strengthened all of its safety procedures and protocols, retrained its School Age Child Care staff and paid a fine. This caution also pertains to question 15 of the contractor's current VENDEX Questionnaire.

- a. Therefore, this caution does not negatively impact DYCD determining this contractor responsible.
6. VENDEX query revealed Cypress Hills Local Development Corporation received a 'Poor' performance evaluation in subcategory Performance Quality and a 'Poor' overall performance for contract 60785A for evaluation period 07/01/2013-06/30/2014. The Poor performance was given because Cypress Hills struggled to meet Outcome 1 and Outcome 11 requirements for the year. They managed to place only 49% of their participants into an Outcome 1 and 33% into an Outcome 11. The requirements are 70% and 60% respectively. As a result of the negative rating, Cypress Hills was put on a Corrective Action Plan (CAP) and was also referred for technical assistance by the DYCD Capacity Building Unit (see attached).
 - a. DYCD continues to provide technical assistance and monitor this program closely to ensure contractual compliance. Notwithstanding the above information, DYCD finds the Contractor responsible.
 7. VENDEX query revealed Cypress Hills Local Development Corporation received ten performance evaluations from DYCD in 2015, six "good" overall and four "fair" overall. However, one of the fair evaluations showed a poor rating in the timeliness category. Subsequent to the "poor" category rating, the following four DYCD performance evaluations included all "fair" or "good" category ratings.

East Side House Inc.

Noteworthy information found by DYCD:

Noteworthy per DYCD: VENDEX Indicated an overall "Poor", issued by the Department for the Aging on their Neighborhood Senior Center program for the evaluation period of 12/01/2012-11/30/2013. This program received a Poor performance evaluation because their procedures, records and reporting for contracted services did not conform to contract requirements. In addition, the program did not achieve the contracted level of services. However, since the evaluation was completed, there has been significant improvement in the delivery and documentation of contracted services, although there is still some underutilization in certain services. DFTA continued to provide technical assistance to the program and in the subsequent fiscal year of 2014, DFTA issued two overall fair ratings for this program. Based on this improvement, DYCD determines this contractor responsible.

Fordham University

Noteworthy information found by DYCD:

VENDEX query indicates a self-reported caution involving various government entities. Multiple investigations were implemented by various government agencies from 2008 - 2012 including: NYS Division of Human Rights, EEOC, Office of Civil Rights and National Labor Relations Board. These Investigations have been settled or dismissed by these government entities. Furthermore, these cases do not impact the services DYCD is contracting for and the contractor has taken all necessary actions to resolve these matters. Therefore, based on this information DYCD determines this contractor responsible.

VENDEX query indicates a tax property lien in the amount of \$21.12. Per the Department of Finance the lien has been cleared and the provider has no ECB or PVO violations as of November 6, 2016. Based on the settlement of this lien, DYCD determines this contractor responsible.

A Vendor Name Check Response Memo dated July 7, 2015, issued by the Department of Investigation indicated that Fordham University has answered questions 12(a) (b) and 15 of the vendor questionnaire in the affirmative. With regards to question 12 (b) being answered in the affirmative, on November 4, 2015 Fordham University paid an Environmental Control Board (ECB) violation in the amount of \$616.00. Fordham University has settled all their open violations and at the investigations from the varying government entities with regards to Fordham University have been closed and or dismissed. Based on the settlement and/or dismissal of these violations, DYCD determines this contractor responsible.

Noteworthy identified from DOE:

A review of the self-reported caution identified by DYCD leads to the following updated discussion. Information gathered from the vendor's disclosures in VENDEX dated 02/04/2014, and the VENDEX Caution database reveals additional information about agency actions, including investigations taken by government entities against Fordham. Various NYC agencies have issued summonses for violations of the fire code, Vehicle and Traffic Law, building code and street sanitation regulations, among others, resulting in judgments or liens being filed. Documentation received shows that except for one, which is awaiting a decision by the Office for Civil Rights, all matters have been resolved. The DOE is awaiting an update from Fordham regarding the status of the one outstanding matter. VENDEX also reports that Fordham has been the subject of "multiple investigations by various government entities (during the time period) 2009-2015, including NYS Division of Human Rights, EEOC, ECB, Office of Civil Rights, and the NLRB regarding Sex, Race, Religion and Disability Discriminations." Of the 18 matters listed, all have been resolved. In light of the vendor's size, which encompasses multiple sites throughout NYC representing ten colleges, more than 15,000 students, and 1,000 faculty members, the DOE does not believe these matters to be out of the ordinary.

Therefore, the DOE does not believe this information precludes a determination that the vendor is responsible.

Fund for the City (formerly The Tides Center)

No significant adverse information found by DYCD.

Global Kids, Inc.

Noteworthy information identified by DYCD:

1. Vendor Name Check Response memo dated 6/11/2015 issued by the Department of Investigation uncovered the following closing memorandum:

A search of the Department of Investigation records was conducted on Global Kids, including all affiliates and principals associated with this VNC request, it was uncovered that on February 6, 2014, DOI completed an investigation on Global Kids, Inc. DYCD discovered that David Velasquez, an employee at Global Kids, Inc., whose position was funded through a City contract, had submitted false selective service documents and other documents related to Global Kids program performance. DYCD conducted a full review of the program and issued a preliminary Corrective Action Plan and Mr. Velasquez was terminated by Global Kids. DYCD's review also found that Mr. Velasquez also created false case notes in DYCD's system of record and submitted reimbursements for student incentive that was not supported by original vendor invoices. The review placed the total value of the fraud at \$3,115.

DOI made four oral recommendations to DYCD with respect to the implementation of all CAP letters, the recoupment of the dollar amount was unsupported by DYCD's fiscal and programmatic audit, the prohibition by Global Kids who use the Capricorn data base not to share passwords, and the updating of Global Kids' vendor questionnaire to reflect the termination of David Velasquez. In view of DYCD's findings, 001 closed their Investigation.

DYCD will continue to closely monitor this provider. Based on Global Kids' compliance with the terms of the CAP, DYCD determines this contractor responsible.

2. VENDEX query indicated Global Kids, Inc. received an overall "Poor" evaluation rating on their OST Middle School contract 20131403307, ID# 134204 with the Department of Youth and Community Development (DYCD) during the period of 09/01/2012 - 08/31/2013. The program received an "unsatisfactory rating in the subcategory of Performance Quality. Global Kids was unable to meet the standard Rate of Participation (ROP) of 75% on the contract 134204, the ROP was (35%). The Program did not meet their full contracted requirements in the work-scope they also did not meet the OST goals for quality after school activities. The provider struggled with obtaining the appropriate School Age Child Care (SACC) licensing, leaving the program to operate a single activity that is low-risk and therefore; it does not meet the requirements for OST middle school model. As a result the provider was placed on a Corrective Action Plan (CAP) to address their deficiencies. A WIP was also implemented and is pending approval.

DYCD continues to monitor the provider performance closely.

3. VENDEX query indicated Global Kids, Inc. received an overall "Poor" evaluation rating on their OST Middle School contract 20131403366, ID# 135201 with the Department of Youth and Community Development (DYCD) during the period of 09/01/2012 - 08/31/2013. The program received an "unsatisfactory rating in the subcategory of Performance Quality. Global Kids was unable to meet the standard Rate of Participation (ROP) of 75% on the contract 135201, the ROP was (23%). The Program did not meet their full contracted requirements in the work scope they also did not meet the OST goals for quality after school activities. The provider struggled with obtaining the appropriate School Age Child Care (vice) licensing; leaving the program to operate a single activity that is low-risk and therefore; it does not meet the requirements for OST middle school model. As a result the provider was placed on a Corrective Action Plan (CAP) to address their deficiencies. Effective December 01, 2013, Global Kids withdrew this contract.

Notwithstanding, the above DYCD finds the contractor responsible.

4. VENDEX query indicated Global Kids, Inc. received a sub-category rating of "Poor" in timeliness on two of their Service Learning/Teen action contract 20131401486, ID# 60805A with DYCD for the evaluation period of 09/01/2012 to 08/31/2013. The provider failed to meet their contractual rates of participation goals. Also, the contractor did not enter data in a timely manner. They were placed on a WIP for FY 13 & FY 14 for Administrative timeliness. The Provider showed improvement overall, therefore; DYCD has issued an overall performance evaluation rating of Satisfactory due to the program meeting all benchmarks and expectations outlined. Effective January 30, 2015 the WIP was closed and an official notification was sent on February 2015.

Notwithstanding, the above DYCD finds the contractor responsible.

5. VENDEX query Indicated Global Kids, Inc. received a sub-category rating of "Poor" in timeliness their Service Learning/Teen action contracts 20131401487, ID# 60804A with DYCD for the evaluation period of 09/01/2012 to 08/31/2013. The provider failed to meet their contractual rates of participation goals. Also, the contractor did not enter data in a timely manner. The program's ROP is 2% short in their performance measures, currently programs should be achieving 20% or better in order to be on track to meet the ROP benchmark of 70% ROP. This means the program

must earn at least 12.5% or more between now and mid- June in order to meet 70%. The program is on a Work Improvement Plan (WIP), which will remain in place. Notwithstanding, the above DYCD finds the contractor responsible.

6. Further, Global Kids has performed satisfactorily under a contract with the DOE to provide leadership development and college/career guidance programs for students. Accordingly, the DOE does not believe the above matters should preclude a determination that the vendor is responsible.

Good Shepherd Services

Noteworthy information found by DYCD:

1. A VENDEX query indicated a caution for Good Shepherd Services. This caution also pertains to question 15 on the contractor's full questionnaire. On 11/25/2013 Good Shepherd Services was notified by the principal of PS 300 that one of their staff members was accused of sexually inappropriate conduct with a student in their after school program. The employee was immediately removed from the program and placed on administrative leave. School Safety and the NYPD launched investigations. The employee denied the allegations and no arrest was made at that time. On 7/15/2014, the former employee in question was arrested by the NYPD Bronx Special Victims Child Abuse Squad on charges related to the alleged abuse. A special report was released by The Office of The Special Commission of Investigation for the NYC School District found that the claims against the former staff member of the sexual abuse were substantiated. The employee was terminated. In addition, the contractor held a workshop for all after school program directors and line staff to affirm the contractor's commitment to creating the safest environment.
 - a. Based on the contractor's action, DYCD determines the contractor responsible.
2. A Vendor Name Check Response Memo dated 6/24/2015 issued by the Department of Investigations (DOI) uncovered no closing memorandum. However, The Prudential Insurance Company of America (PICA), an affiliate of Good Shepherd Services, answered questions 12(a) and 15 of the vendor questionnaire in the affirmative. This affirmative response pertained to a judgment on PICA who had violated provisions of the Pennsylvania Insurance laws, was ordered to cease and desist from engaging in activities described in the order and was fined \$50,000. As of January 19, 2010, The Prudential Insurance Company of America paid off the judgment and has been completed.
 - a. Since the outcome of the investigation did not result in any negative findings involving Good Shepherd Services and, or its affiliates, DYCD determines this contractor responsible.
3. A VENDEX query revealed an overall Poor performance evaluation issued by the Department for Youth and Community Development (DYCD) on their OST Elementary program for the period 9/1/2012 to 8/31/2013. This overall Poor rating was due to the program's Rate of Participation (ROP) of 66% which did not meet the 75% benchmark. The contractor also did not fulfill the scope of services according to the work scope and activity schedule and had an inadequate record and reporting system. This program did not meet the OST goals for quality after school

activities. The program was placed on a WIP which has already been closed out. The contractor has improved and received a Fair rating in February 2015.

- a. Based on the above Improvement, DYCD determines the contractor responsible.
4. A VENDEX query revealed an overall Poor performance evaluation issued by the Department for Youth and Community Development (DYCD) on their OST Middle School for the period 9/1/2012 to 8/31/2013. This overall Poor rating was due to the program's Rate of Participation (ROP) of 27% which did not meet the 75% benchmark. The contractor also did not fulfill the scope of services according to the work scope and activity schedule and had an inadequate record and reporting system. However, the program has since improved and received an Excellent on its January 2015 evaluation.
 - i. Based on the above improvement, DYCD determines the contractor responsible.
 5. Good Shepherd Services, Inc. has disclosed six cases (6) of substantiated cases of client abuse and/or neglect, occurred within the past twelve months. Each case has pertained allegations of foster care parents. Based on the details for each incident, including details of corrective action taken by the vendor, it can be concluded that proper action was taken by the vendor in response to each incident.
 - a. As a result, DYCD has determined the contractor responsible.
 - b. Furthermore, the DOE obtained documentation from DYCD that discusses this matter. DYCD provided a Certification Regarding Substantiated Cases of Client Abuse or Neglect from Good Shepherd Services. The Certification indicates that four foster children have been relocated. The foster parents of the four had their foster homes closed and one being closely monitored and trained by Good Shepherd Services for future foster children. Two children have remained or returned to the foster homes after the foster homes' monitoring and training conducted by Good Shepherd.
 - i. Additionally, the Certification states that foster parents are not considered employees of Good Shepherd Services.

A review of the cases reported by DYCD leads to the following updated discussion.

A self-reported Caution found in Good Shepherd Services' ("GSS") VENDEX indicates that on September 18, 2015, while transporting a group of children from their school to GSS, one child was inadvertently left behind by GSS staff members ("GSS staff"). GSS staff attempted to locate the lost child and found the child unharmed. This incident generated the need for GSS to submit corrective action plans to NYC Department of Youth and Community Development, NYC Department of Health, and the NYS Office of Children Family Services ("NYS OCFS") demonstrating updated procedures for safely transporting youth. GSS was fined \$15,000 by NYS OCFS and had their School Age Children Care ("SACC") license revoked on September 23, 2015. According to GSS' disclosure, the SACC license was reinstated on October 6, 2015 and GSS was required to train all staff within thirty days of submitting the corrective action plan, which they indicate was completed on November 16, 2015. GSS also advised that because the family of the lost child notified NYC Police Department ("NYPD") of the incident, NYPD was required to submit a report to NYS State Central Registry which generated an investigatory process taken by the NYC Administration for Children's Services ("ACS"). GSS indicated that there are

substantiated findings of neglect against the GSS staff, which is currently under appeal. Further, criminal actions have also been initiated against the GSS staff in which remains pending, according to GSS.

- The DOE has received from GSS documentation that demonstrates the payment of the \$15,000 fine, the corrective action plans to the three agencies, and a SACC license that was effective May 26, 2016 and expires on May 24, 2020.
- The GSS staff, now former employees, have filed for appeal hearings with NYS OCFS and are privately represented by counsel. GSS indicates that ACS did not substantiate any findings for the vendor. Furthermore, the criminal actions initiated against the former GSS staff still remains pending.

In light of how this matter has been addressed, and that no criminal action was taken against GSS, the DOE does not believe this precludes a determination that the vendor is responsible.

Graham Windham

Noteworthy information found by DYCD:

VENDEX query indicates an unsatisfactory rating for the Timeliness subcategory issued by the Administration for Children's Services (ACS) in their General Preventative Services program during the period 7/1/12-6/30/13. The contractor received a low score of 33%. For the following period, 7/1/13-6/30/14, Graham Windham achieved an 84% score which earned them a Good rating for the Timeliness subcategory. Based on this improvement, DYCD determines this contractor responsible.

A VENDEX Query indicated an overall Poor performance evaluation rating issued by Administrative for Children Services (ACS) on their Residential Care Contract for the evaluation period of 04/01/12 to 03/31/13. They received an unsatisfactory performance in the subcategory of Timeliness due to a low score in which they received a 41% in the FAPS timeliness. The contractor has subsequently made some improvements in this area. The contractor improved to an overall Fair rating for both of the following periods of 4/1/13-3/31/14 and 4/1/14-6/30/14. The contractor still earned an unsatisfactory rating for the Timeliness subcategory for both periods due to low scores of 59%. Graham-Windham has since made some improvements and is working diligently with ACS to continue to resolve their deficiencies. Grand Windham's FY14 score card shows further improvement and it is anticipated the contractor will receive a Fair rating in the subcategory of Timeliness on their next evaluation. Graham Windham is not on a Corrective Action Plan. Based on the anticipated rating, DYCD determines this contractor responsible.

Graham Windham has disclosed ten cases (10) of substantiated cases of client abuse and/or neglect, occurred within the past twelve months. Each case has pertained to allegations of foster care parents. Based on the details for each incident including details of corrective action taken by the vendor, it can be concluded that proper action was taken by the vendor in response to each incident

Subsequent to DYCD's review, the DOE found the following noteworthy information. For the evaluation period ending 12/31/2015, VENDEX indicates an overall "poor" rating issued by the Department of Probation for the Young Adult Justice Program Renewal program, and specifically in the subcategories of fiscal administration and accountability, and performance and quality they also received a "poor" rating. In addition, during the evaluation period ending 06/30/2015, Graham Windham received a "poor" subcategory rating by the Department of Administration for Children's Services, for the Residential Care Services program. However, this was for a specific subcategory, and Graham received an overall "fair" rating from ACS for the evaluation period ending 06/30/2015, for the Residential Care Services program. In light of these other performance evaluations, the DOE does not believe this matter precludes a determination that the vendor is responsible.

The DOE has reviewed the number of cases reported. However, the vendor continues to perform work for DYCD under the contract to be assigned. Therefore, the DOE does not believe this precludes a determination that the vendor is responsible.

A review of the information reported by DYCD leads the DOE to the following updated discussion. A 2008 New York City Comptroller audit report indicated that for the period of July 1, 2001-June 30, 2002, found that Graham Windham owed the City \$366,772 resulting from the difference between the advances it received from ACS and the actual expenses it incurred to operate its audited programs. Graham Windham agreed to remit the \$366,772 to ACS, accepted the auditor's recommendation and will agreed to continue more detailed record-keeping. The DOE has confirmed with ACS that this amount has been repaid. In light of the resolution of this matter, the DOE does not believe this precludes a determination that the vendor is responsible.

Grand Street Settlement Inc.

No significant adverse information found by DYCD.

Henry Street Settlement, Inc.

Noteworthy information found by DYCD:

1. A Vendor Name Check Response Memo dated 5/29/2015 issued by the Department of Investigation (DOI) uncovered no closing memorandum. However, The Second Henry Street Housing Development Fund Corp, an affiliate of Henry Street Settlement, Inc., answered question 15 of the vendor questionnaire in the affirmative. This affirmative response pertained to an investigation related to a DOI investigation in 2008. DOI investigated Henry Street Settlement's in School Youth program which was under the auspices of Department of Youth and Community Development (DYCD). The purpose of the investigation was to inquire about one program participant. The investigation did not relate to a principal owner, officer, or managerial employee of the agency. According to Henry Street Settlement no formal charges have been brought by DOI or any other governmental agency in connection with the investigation. As of July 1, 2011, Henry Street Settlement was notified that the investigation has been completed.

Since the outcome of the investigation did not result in any negative findings involving Henry Street Settlement and, or its affiliates. DYCD determines this contractor responsible.

A review of the information reported by DYCD leads the DOE to the following updated discussion.

Henry Street Settlement's VENDEX contains a caution regarding an investigation by DOI and the possible use of DYCD funds to pay participants for work they did not perform during the summer of 2008. Original timesheets for that period were collected. On April 30, 2010 DOI released a report recommending that Henry Street emphasize that login information to the timekeeping system cannot be shared, provide closer oversight on the entry of working hours into the system, and ensure the debit cards for youths who ultimately did not work are maintained in a secure location.

According to New York State records, in 1996, David Garza, Executive Director of Henry Street Settlement, pled guilty to second degree forgery and received five years' probation.

In light of the corrective actions taken by the vendor, the DOE does not believe this precludes a determination that the vendor is responsible.

Johns Hopkins University

No significant adverse information found by DYCD.

Leake and Watts Services Inc.

Noteworthy information found by DYCD:

Leake and Watts has a self-reported caution regarding an investigation by the Yonkers Police Department, the Office of Child & Family Services (OCFS) and the Medical Examiner in connection with an incident that occurred on 4/18/12 in the gym of the Resident Treatment Center. A resident became agitated and was restrained by the staff. In the process of restraining the resident, the child died. In a letter dated 6/25/12, the NYS OCFS stated there was no credible evidence was found to substantiate allegations. ACS carefully reviewed the information above, therefore DYCD deems the vendor responsible.

The DOI report revealed the vendor has a caution and answered question 12a and question 15 of the vendor vendex questionnaire in the affirmative. The caution and question 15 reports the same information and is discussed in the RD section above. Question 12a reports the NYS Attorney General Medicaid Fraud Control Unit instructed the vendor to reimburse claims for foster children when the children were physically absent from the agency due to hospitalizations. On 3/2/10, the Attorney General's Office issued a receipt and release letter to Leake and Watts, acknowledging receipt of the full payment.

Also the Office of People with Developmental Disabilities, and affiliate of Leake and Watts, Inc. answered question 12a of the vendor questionnaire in the affirmative. This affirmative response pertained to a judgment on the Office of People with Developmental Disabilities who had were fined \$1,000. As of 9/2/12, the Office of People with Developmental Disabilities paid off the judgment and has been completed. ACS carefully reviewed the information above, therefore DYCD deems the vendor responsible.

Leake and Watts has disclosed 39 cases of substantial cases of client abuse and neglect, occurring in the past twelve months. Based on the details provided for each incident, including details of corrective actions taken by the contractor, it can be concluded that proper action was taken by the contractor in response to each incident. As a result, ACS' careful review and acceptance of the attached disclosure of incidents and corrective actions, DYCD has determined this contractor responsible.

Subsequent to DYCD's review, the DOE found the following noteworthy information.

Out of the 39 substantiated cases of client abuse or neglect that the prime vendor disclosed to DYCD, 21 cases were foster care related incidents and 18 cases were staff related incidents. However, the vendor continues to perform work for DYCD under the contract to be assigned. Therefore, the DOE does not believe this precludes a determination that the vendor is responsible.

For the evaluation period ending 06/30/2015; VENDEX indicates two "unsatisfactory" ratings in the subcategory of timeliness issued by the Administration for Child Services for the SP – Family Treatment/Rehabilitation program and the General Preventive program. However, ACS gave the prime vendor an overall rating of "fair" for both programs for the 06/30/2015 evaluation period. ACS issued one "poor" rating for the evaluation period ending 06/30/2015 in the subcategory of performance quality for the Residential Care Services program. However, for this evaluation period, ACS issued the prime vendor an overall rating of "good" for the Residential Care Services program. In light of the overall ratings on these performance evaluations, the DOE does not believe this matter precludes a determination that the vendor is responsible.

New York City Community Learning Schools Initiative, Inc.

No significant adverse information found by DYCD.

Partnership with Children, Inc.

Noteworthy information identified by DYCD:

1. VENDEX query indicates a caution dated 1/9/2012. This caution pertains to a special investigation that was conducted by the Special Commissioner of investigations for the New York City School District (SCI) concerning allegations made against Samuel Jean-Baptiste, a social worker formerly employed by Partnership with Children (PWC). The Investigation was prompted by allegations of inappropriate behavior on the part of Mr. Jean-Baptiste against three female students at Richmond Hill High School in Queens. SCI issued a letter dated 10/5/2011 informing PWC that the outcome of the investigation resulted in the allegations against Mr. Jean-Baptiste were substantiated. Mr. Jean-Baptiste was deemed ineligible by SCI to apply for any position in the New York City School System, any of its vendors, nor in any of its facilities, in the future. Mr. Jean-Baptiste was terminated by PWC effective 6/30/11. PWC responded to SCI in a letter dated 10/19/2011, PWC acknowledged the severity of the substantiated allegations and explained the corrective actions that were put in place by PWC in an effort to prevent any future incidents of this nature from occurring. On November 30, 2011, SCI, issued a letter to confirm that the explanation of the corrective actions PWC planned to implement was deemed satisfactory by the Department of Education (DOE). DOE confirms that this investigation is closed.

Based on SCI/DOE deeming this case to be satisfactorily resolved, this caution does not negatively impact DYCD determining this Partnership with Children responsible.

Phipps Neighborhoods, Inc.

Noteworthy information found by DYCD:

A VENDEX query indicated a lien for Ms. Dianne Morales, Director/CEO of PHIPPS Neighborhoods, Inc. for property on 200 Jefferson Avenue, Brooklyn, NY 11216 in the amount of \$13.00. Based on the Department of Finance, there's no outstanding property tax lien for this property; therefore this satisfies the amount owing. DOF does not hold up contracts that have liens below \$100.00.

DYCD finds Phipps Neighborhoods, Inc. to be responsible.

VENDEX query indicated a self-reported caution by Phipps Houses, controlling Entity of Phipps Community Development. They answered Question number 15 of their Vendor Questionnaire in the affirmative. Question number 15 is based on the past five years if any of the submitting vendors or affiliates serving has been investigated by any government agencies. Phipps Houses who reported the two 2010 Investigations by NYS Department of Human Rights are on-going. Therefore, this does not directly pertain to Phipps Community Development but its controlling entity Phipps Houses.

Based on the above explanation, DYCD finds this contractor responsible.

Phipps Neighborhoods, Inc. check memo dated on 5/1/2015 disclosed closing memorandum. The Department of Investigation performed a search of its records on the subject contractor, its affiliates and principals. A DOI closing memorandum dated September 17, 2007 was discovered and stated with respect to Crotona Park West HDFC. It was disclosed that Crotona Park West HDFC continued to receive housing assistance payments through the NYC Department of Housing Perseveration and Development (HPD) on behalf of a tenant who was deceased. It was determined that HPD failed to terminate the benefit and Crotona Park West received \$11,298 in benefits for the deceased tenant. Once

the error was discovered, Crotona Park West HDFC repaid the funds and recommendations were made to HPD to prevent any future Issues. Crotona Park West HDFC is not directly affiliated with Phipps Community Development. However, the organizations share two VENDEX principals in common. DYCD has reviewed the details of the investigation and found no direct involvement on the part of these principals.

Furthermore, since the funds have been repaid and this issue is unrelated to the services of the subject contract, DYCD determines the contractor responsible.

VENDEX query indicated two Fiscal sub-category poor performance evaluations issued by the Administration of Children's Service (ACS) for their Early Learning Head Start/UPK Program from 02/01/2011 to 01/31/2012 and 02/01/2012 to 01/31/2013, respectively. The reason for the poor ratings was that the contractor owed ACS \$85,873 which was stated as per their latest Audit report of August 8, 2013. The report stated that the contractor received excess funding. Therefore, the contractor (Phipps Community Development Corp) agreed to pay ACS the outstanding amount owed to them. On March 10, 2014 ACS received the payment from Phipps Community Development Corp for the total amount of \$85,873. ACS continues to contract with Phipps Community Development and they have received fair or better in this sub-category.

Notwithstanding the above information, the contractor continues to perform fair or better on their DYCD contracts. Therefore, DYCD finds the contractor to be responsible.

VENDEX query indicated two overall "Unsatisfactory" performance evaluation rating issued by the Department of Youth and Community Development (DYCD) on the Out of School Youth (OSY) program for the period 7/1/11-6/30/12 and 7/1/2012- 6/30/2013 respectively. Phipps Community Development did not achieve the required placements during the evaluation period. A Corrective Action Plan (CAP) was submitted and accepted by DYCD. In addition, Phipps was provided with Technical Assistance by the Workforce Professionals Training Institute. The Provider was a bit unstable at the Director level and as of December 2012 one of their program directors was terminated. In March 2013 DYCD met with the Provider's OSY staff in an effort to get them on target with their program and are committed to restructuring their program in order to have better outcomes. They have met their registration goal of 36 participants and will be participating in a Summer Youth Employment Program (SYEP) for the OSY component for the summer of 2013 evaluation period, Phipps has been struggling with logistic & subcontractor issues with Bronx Community College and is receiving technical assistance to help get their GED training program on track. The Provider has been working hard with DYCD to get the program running well to meet deadlines and is making efforts to stay on target with meeting contractual requirements.

Notwithstanding the above information, the contractor continues to perform well on other DYCD contracts and have received fair or better ratings on their contracts. DYCD determines the contractor responsible.

Noteworthy information identified by DOE:

A review of the above reported by DYCD leads the DOE to the following updated discussion regarding the cautions and evaluations.

The Phipps Neighborhoods (PN) VENDEX previously reflected 13 investigations listed among a caution on affiliate Phipps Houses Services by various agencies including NYS Department of Human Rights

(NYS DHR), Equal Employment Opportunities Commission (EEOC), HUD Fair Housing Office and NYS Home and Community Renewal (NYSHCR). Of these matters, all have been resolved or closed without any adverse finding. As these matters concern an affiliated entity, the DOE does not believe they preclude a determination that the vendor is responsible.

DYCD reported that it had given PN two unsatisfactory evaluations (2011 to 2012 and 2012 to 2013) for its Out of School Youth Program, for services provided under a Workforce Investment Act (WIA) Out-of-School Youth (OSY) program. PN failed to meet contract milestones regarding placing OSY participants in employment and getting them fully engaged in occupational training. However, while PN received 3 fair evaluations in 2013, it also received 13 good evaluations, including one for Universal Pre-Kindergarten services from ACS. In 2014, PN received an additional 6 good evaluations, 1 fair evaluation and 1 excellent evaluation. Moreover, the vendor received two excellent evaluations in 2013, one for other work performed for DYCD and another for Early Learn Services provided to ACS. Therefore, the DOE does not believe this precludes a determination that the vendor is responsible.

Replications Incorporated

No significant adverse information found by DYCD.

Sheltering Arms Children and Family Services, Inc.

Noteworthy information found by DYCD:

Noteworthy identified from DYCD,

1. Agency reported caution dated 03/25/2010 pertains to an investigation substantiated allegations that Episcopal Social Services engaged in questionable business practices while working as a Supplemental Educational Services (SES) provider on DOE contracts. The vendor has submitted a Corrective Action Plan, and approval is pending with the DOE.

ESS has taken steps to address the problems reported here. Joseph Ransom, ESS Director of School-Based Programs said that his errors and lack of supervision were addressed verbally and employment of the person responsible for attendance was terminated. Random further explained that ESS has taken steps to avoid this type of billing inconsistency from recurring by instituting fiscal and program checks and balances. This includes three additional levels of review or oversight. The final level is the fiscal department which will certify the information only after the submission of all the documents. Greenberg also indicated that there would be additional training for ESS staff.

SCI has recommended to the Chancellor that ESS continue to be denied additional payments for the 2007-08 school year. It also recommended that ESS be reminded of the requirement to follow the contract, especially in regard to the number of students per licensed teacher and the maintenance of accurate attendance records on the DOE forms if ESS does not adhere to the terms of the contract, then it should not be paid.

The provider has been cooperative with the investigating agencies and has cooperated with DYCD's request for information. Based on this information these cautions will not have a negative effect on the organization. Therefore DYCD finds the vendor responsible.

- Additional information provided by the DOE: While ESS submitted a request for payments totaling \$87,000 for services provided during fiscal year 2008, the DOE withheld over \$60,000 due to SCI's findings and the DOE's own analysis.

Furthermore, Sheltering Arms Children & Family Services, Inc. and Safe Space NYC answered question 12(a) on their most recent VENDEX questionnaire in the affirmative.

2. Vendor Name Check Response Memo dated May 13, 2015, issued by the Department of Investigations (DOI), disclosed a memorandum dated March 25, 2010 concerning a certification that Sheltering Arms Children & Family Services, Inc. entered into with the City of New York.

In addition, this report summarizes several investigations conducted by DOI of individual programs operated by Sheltering Arms Children and Family Services Inc. The outcome and details of these investigations have been addressed as cautions in this report. Based on current status of the cautionary information disclosed and the executed certification between the City of New York and Sheltering Arms Children & Family Services, Inc., the closing memorandum disclosed in this Vendor Name Check Response Memo does not have a negative impact on DYCD determining this contractor responsible.

3. VENDEX query Indicated a negative performance rating in the subcategory of Performance Quality issued by Department of Youth and Community Development Contract #260130135300 dated, 2/27/2014. The "Poor" rating was due to the Vendor because the ROP and site visits are the primary indicators of fulfilling the services required by the contract. The program's Rate of Participation (ROP) was 50% which did not meet the 75% benchmark. Programs are also expected to meet their full contracted enrollment during the school year and summer. The program did not meet the full contracted enrollment for the school year and did not meet the full contracted enrollment during the summer. The Provider did not fulfill the scope of services by operating activities according to the proposed work scope and activity schedule in DYCD Online and had an adequate record and reporting system. This program did not meet the OST goals for quality after school activities. However, despite these issues the Provider was maintained good quality records and submitted invoices and reports in a timely manner. The agency had a satisfactory administrative system to support programming and services.

Based on the information cited above DYCD deems this contractor is responsible.

4. Sheltering Arms Children & Family Services, Inc. disclosed one substantiated case of client abuse/neglect occurring within the last twelve months. This case pertains to an incident which occurred on 12/19/14. A foster-care child reported that the foster mother grabbed him by his shirt and scratched the back of his neck while he was throwing a temper tantrum. The boy suffered minor bruises, lacerations, and welts and the foster mother was accused of excessive corporal punishment, and inadequate guardianship. As a result of the incident, the foster mother was mandated by Sheltering Arms (formerly known as Episcopal Social Services) to attend training from February 23, 2015 through April 20, 2015 on discipline and behavior modification for special needs children. The actions taken by the contractor in response to this incident were deemed prudent.

Therefore, DYCD determines this contractor responsible.

A review of the information reported by DYCD leads to the DOE to the following updated discussion.

Prime, Sheltering Arms Children and Family Services, Inc.

VENDEX Evaluations

- VRPA DOE found in VENDEX Evaluation, PE 068-062314011066 1 (Evaluation Period 07/13/2013-06/30/2014), an "unsatisfactory" rating in Timeliness subcategory by the New York

City Administration for Children's Services (ACS). Evaluation states that the "timeliness scores are based on the agency's time to disposition score in FY13 Scorecard, a critical measure for ACS preventive services. Time to Disposition measures the percentage of families signed for services within 30 days of a referral during FY13. Vendor did not adequately comply with performance expectations on timeliness to disposition. In the Timeliness section of the Scorecard the vendor received an average of 42% of referrals with disposition within 30 days."

- DOE found in VENDEX Evaluation, PE 260 030113006731 1 (Evaluation Period 09/01/2012-08/31/2013), an overall "poor" rating by DYCD. In subcategory Performance Quality, it states "The ROP and site visits are the primary indicators of fulfilling the services required by the contract. The program's Rate of Participation (ROP) was 50% which did not meet the 75% benchmark. Programs are also expected to meet their full contracted enrollment during the school year and summer. The program did not meet the full contracted enrollment for the school year and did not meet the full contracted enrollment during the summer. The Provider did not fulfill the scope of services by operating activities according to the proposed work scope and activity schedule in DYCD Online and had an adequate record and reporting system. This program did not meet the OST goals for quality after school activities."

DBA, Episcopal Social Services of New York, Inc.

- Episcopal is the subject of an Advice of Caution in VENDEX for non-compliance with the DOE's security policies. In January 2008, after the arrest of an employee at Automotive High School in Brooklyn, a DOE review of employee records determined that Episcopal allowed employees to begin work prior to their receiving DOE fingerprinting and/or clearance. The DOE terminated Episcopal's contract for Young Adult Learning To Work services at Automotive High School. However, Episcopal cooperated with the DOE's demands; submitting an extensive corrective action plan addressing its security policies for other DOE contracts, which ultimately was found to be satisfactory.

In light of how all matters reported by the DOE have been addressed, and that the vendor continues to perform work for DYCD under the contract to be assigned, the DOE does not believe the above precludes a determination that the vendor is responsible.

South Asian Youth Action

No significant adverse information found by DYCD.

Southern Queens Park Association

Noteworthy information identified by DYCD:

1. VENDEX query indicated a self-reported caution (CN-002-051513001263-2) dated 8/12/2013 for Southern Queens Park Association (SQPA). This caution pertains to the indictment of Roger Scotland, former President of SQPA. Mr. Scotland was indicted by the New York State Attorney General and Comptroller on charges that he falsified business documents relating to the contracting activity of Parent Workshop, Inc. The indictment took place on December 7, 2011 and included other defendants who were charged for embezzling taxpayers funds. As a result, Roger Scotland was terminated from SQPA on 12/15/2011. The indictment against Roger Scotland was dismissed as of 4/5/2013. The above caution information also pertains to question 15 of the provider's most recent VENDEX Questionnaire.
 - a. Not withholding the information cited above, DYCD determines the provider responsible.
2. Southern Queens Park was placed on a Corrective Action Plan (CAP) dated May 28, 2013 & Amended CAP dated July 18, 2014 by and through the Mayor's Office of Contract Services (MOCS) and the term of this CAP shall run for five years from the date of its execution. In

addition, the guideline of this CAP includes and covers contracts issued by the Department of Youth and Community Development (DYCD). SQPA is currently working with MOCS and has agreed to abide by any modifications and/or extensions of such CAP and to maintain administrative quality, staffing support and program quality to the levels required by DYCD. Furthermore, MOCS will interview any Executive Director Candidate prior employment with SQPA and must notify MOCS of any criminal or administrative investigation of its employees. To date, SQPA continues to work on adhering to the stipulations of this CAP. SQPA is currently focusing on their repayment agreement with the IRS and has signed up with ADP to handle payroll starting 1/15/2015. DYCD and MOCS will continue to closely monitor their progress on the payment agreement and will work with SQPA in providing any technical assistance needed to insure SQPA does not default with their agreement.

- a. Although the agency has failed to comply with their CAP according to the due dates originally set out, they are continuously working with MOCS in on order to request extensions and work towards compliance. Based on above information, DYCD has determines the agency to be responsible.

A review of the information reported by DYCD leads to the DOE to the following updated discussion.

MOCS has informed the DOE that the vendor is in overall compliance and has paid in full their outstanding tax liability.

3. VENDEX query indicated Southern Queens Park Association received a Poor rating in the subcategory rating for timeliness. The Poor subcategory rating was due to the provider being poor at submitting the contractual documents, such as work scope, budget supporting documents, requested revisions, and budget documentations. Southern Queens Park Association also had a poor administrative system to support programming and services.
 - a. Notwithstanding the above; the agency continues to successfully provide comparable services on other DYCD funded contracts. Therefore DYCD finds this vendor responsible.
4. VENDEX query indicated a Poor evaluation in the subcategory Timeliness by Southern Queens Park Association on their OST Restoration- Roy Wilkins Family Center contract for the period 9/1/12-6/30/2013. The Poor rating was given by DYCD, due to the provider being tardy in submitting contractual documents, such as work scope, budget, supporting documents requested revisions, and budget modifications. The Provider also had a deficient administrative system to support programming and services. DYCD continues to work closely with the program to support program and administrative improvement.
 - a. Notwithstanding the above, the agency continues to successfully provide comparable services on other DYCD funded contracts; therefore, DYCD finds this vendor responsible.
5. VENDEX query indicated an overall performance evaluation rating of Poor issued by the Department of Youth & Community Development on Southern Queens Park (SQPA) Out of School Time (OST) Elementary school program 103014A for the period of and 9/1/2011-8/31/2012. The program's Rate of Participation (ROP) was 74% which did not meet the benchmark established for this Option 1 contract. The program met its participant enrollment target. The program changed leadership and a subsequent site visit on 5/31/2012 and throughout the summer of 2012, the program showed signs of improvement. This was the last year on this contract.
 - a. However, SQPA continues to successfully provide comparable services on other DYCD funded contracts. Therefore, DYCD finds this contractor responsible.

6. VENDEX query indicated an overall poor performance evaluation rating issued by the Department of Youth & Community on Southern Queens Park (SQPA) Out of School Time Program Renewal Middle School Region 3 for the period 09/01/2011 -08/31/2012. The contractor was poor at submitting the contractual documents, such as the work scope, budget, supporting contractual documents, requested revisions, and budget modifications. In addition, the program began services by the official OST start date of 9/12/11 and did not meet the full contracted enrollment for the school year by the prescribed deadline of 10/11/11. The contractor had a poor administrative system to support programming and services. The contractor did not fulfill the scope of services by operating activities according to the proposed work scope and activity schedule in DYCD Online and did not have an adequate record and reporting system. This program did not meet the OST goals for quality after school activities. Towards the end of fiscal year, the leadership changed and program started to improve. This was the last year of the contract. However, SQPA is still providing these types of OST middle school services successfully. Therefore, DYCD determines this contractor responsible.

7. Southern Queens Park Association (SQPA), Auditors Report for June 30, 2013 - June 30, 2014, disclosed that the Organization's current liabilities exceeded its current asset by \$143,279, its total liabilities exceeded its total assets by \$304,815. Those factors create an uncertainty about the Organization's ability to continue as a going concern. Management of the Organization has developed a plan to reduce its liabilities through scaling down its operations. The ability of the Organization to continue as a going concern is dependent on the plan's success. DYCD reports (see below) that the vendor has employed the services of a CPA firm to assist with its effort to address financial status.

8. Southern Queens Park Association (SQPA) received a poor overall DYCD performance evaluation for evaluation period ending on March 31, 2015. For this DYCD performance evaluation, SQPA received a fair rating for timeliness, an unsatisfactory rating for fiscal administration and accountability, and a poor rating for performance quality. As a result of the unsatisfactory rating, SQPA has a refund due DYCD in the amount of \$2,177 as of 12/3/15. SQPA received the above poor rating because "contractor did not meet overall performance expectations in regards to enrollment, job placement, and recordkeeping. The participant outreach and enrollment process disseminated incorrect information and did not fill the final slot allocation. Job placement services overlooked participant, program, and employer needs. Recordkeeping systems did not have consistent internal controls resulting in the heavy inaccuracy and loss of documentation."

DYCD has confirmed that SQPA submitted a corrective action plan (CAP) addressing these issues. The CAP delineated specific procedures for program administration, including timeliness and proper documentation. During FY16, DYCD monitored SQPA three times, with the vendor's performance showing improvement. DYCD reports that SQPA are working with a CPA firm to provide accounting and consulting services and DYCD continues to monitor the vendor to ensure its ongoing efforts to resolve its fiscal issues. Moreover, DYCD continues to do business with SQPA, awarding it a summer youth employment contract. In light of how SQPA has addressed these matters, the DOE does not believe they preclude a determination that the vendor is responsible. Notwithstanding the above information, DYCD finds the contractor responsible.

Noteworthy information identified by DYCD:

The following is reported in DYCD's Request for Authorization for an Assignment of Contract:

1. VENDEX query indicated a self-reported caution (VQ-002-011011001062-2) dated 5/12/2011, is pertaining to an investigation by the Queens District Attorney's Office. This caution is related to an internal Investigation that was conducted by SASF on or about April 2010. This investigation substantiated the theft of more than \$82,000 from the organization over a 2 year period by four managerial employees of SASF. These employees were promptly terminated on 4/21/2010 by SASF. The information was turned over to the Queens D.A.'s office that has since announced charges against those involved. In addition, in May of 2010, SASF made appropriate adjustments to reimburse any public funds to State and City agencies that were affected. As a result of this incident, SASF instituted additional managerial controls to deter such thefts in the future. This caution also relates to question 16(a)(b) and Q15 of their most recent VENDEX Questionnaire.
 - a. Based on the actions taken by SASF and the outcome of this investigation, this caution does not negatively impact DYCD determining this contractor responsible.
2. DYCD reported a VENDEX query indicated a self-reported caution (VQ-002-0512111001571-1) regarding the NYC Department of Health Administrative Tribunal. This caution pertains to a \$2,000 fine that was issued by the New York City Department of Health and Mental Hygiene (DOHMH) to SASF for failure to have the school yard gates open in case of a fire or an emergency. Immediately after the DOHMH inspection, SASF's Site Director made sure the school yard gates remained open during the summer camp. Additionally, since the DOHMH administrator's ruling, the vendor has taken procedural steps institutionally to ensure that children have free access from all school yards in our future summer camps. Although SASF paid the fine, the amount of the fine was appealed. However, the Review Board found no error and affirmed the decision and penalty imposed by the Administrative Tribunal. This caution also relates to Question 17 of their VENDEX Questionnaire.
 - a. Based on the payment of the fine and procedural steps taken by SASF to ensure children's safety, this caution has no negative impact on DYCD determining this contractor responsible.
3. VENDEX query indicated a self-reported caution (CN-002-031813000993-2) dated 04/04/2014, of an incident that occurred between an after-school employee and a middle school student having inappropriate contact. The contact occurred outside of the after-school program and the employee was terminated. As a result SASF instituted a new social media policy, increased staff training and took immediate action to prevent similar incidents from occurring in the future. This relates to Question 15 of the provider's most recent Vender Questionnaire.
 - a. Based on the actions taken by SASF, this caution does not negatively impact DYCD determining this contractor responsible.
4. A VENDEX query indicated a self-reported caution (CN-002-040414000996-1), dated 02/12/2013 where SASF's registration exceeded the maximum allowable amount of children; because of this NYS Office of Children and Family Service (OCFS) imposed a \$600 fine. SASF agreed to a stipulation settlement, resulting in a reduced \$300 fine in which SASF paid and the matter was resolved. This matter also relates to the affirmative answer to Q17 of SASF's most recent VENDEX Questionnaire.

- a. Based on the payment of the fine and SASF resolving the matter, DYCD finds this contractor responsible.
5. A VENDEX query indicated that Sports and Arts Schools Foundation's (SASF) Principal Treasurer Roger Alcay answered Question #41 in the affirmative of his most recent principal questionnaire 1PQ-002-032414005295-1j. Roger Monty, the principal treasurer of SASF holds stock with SASF in the amount of \$1,750,000.00 and has donated up to \$87,500 between the years of 2012-2013. According to SASF the principal's stock secures the agency's line of credit at which they can borrow up to \$1,750,000. Ross Sandler, SASF's Chairman of the Board of Directors stated in a letter to the Department of Youth and Community Development (DYCD) dated June 26, 2014 that this is collateralized by securities held in a custodial account belonging to and in the name of a "Member," of the Foundations' Board of Directors. DYCD's Legal department reviewed this affirmative response with SASF. It was concluded that SASF has no substantial collateral and/or real property. Therefore, board members often use their personal stock as collateral for loans and or line of credit against the organization and they receive nothing out of this arrangement. SASF is very much dependent on City funding and this line of credit has been essential to allow the provider to manage their cash flow.
 - a. Notwithstanding the above information, DYCD determines the contractor responsible.
6. A VENDEX query indicated that an affiliate of Sports and Arts Schools Foundation's (SASF), New York Junior Tennis League, Inc. (NYJTL) Principal, Chief Executive Director, Deborah Macfarlane indicated a warrant of \$2,879.16 as a tax owed since 1987. On June 3, 2015, Ms. Macfarlane sent an email to the Department of Youth and Community Development (DYCD) which stated that more than twenty four (24) years ago she entered into bankruptcy, which was discharged, as a direct result of her ex-husband's business operations.
 - a. Based on Ms. Macfarlane's statement, this warrant does not have a negative impact on DYCD determining this contractor responsible.
7. DOI memo dated 6/24/2015 included a memorandum dated May 24, 2012 to Jeanne B. Mullgrav, former Commissioner, of the Department of Youth and Community Development and Dr. John B. King Jr., Commissioner of Education, President of the University of the State of New York, New York State Department of Education outlining a review of Sports and Arts In School Foundation and New York Junior Tennis League, Inc. The review concluded that each organization has their own EIN but share space and staff. There was a complaint against James O'Neil who was listed as the President of SASF and Interim President and CEO of NYJTL. Allegedly during 2009 and 2010 he managed a youth tennis program inside of NYJTL, funded by the state and submitted invoices to SASF for reimbursement under this state grant. These reimbursements were allegedly altered for larger amounts. SASF accepted responsibility for improper invoicing but neither NYJTL nor SASF took action to reconcile this overpayment. This may have happened on a larger scale under both entities, in order to increase funding from the state. Further alleged was the "School Yard Tennis Program" under DYCD was being mismanaged and possibly numbers were inflated. This was referred back to DYCD to investigate. As a result, DYCD conducted a review of their NYJTL contracts specifically, School Yard Tennis Program and found no issues with billing on any of its programs.

Additionally, according to the DOI memo New York Law School answered question 15 of their most recent VENDEX questionnaire in the affirmative. Ross Sandler is a current principal for both Sports and Arts in School's Foundation and New York Law School. The affirmative response to

this question addresses New York Law School Officers, and the entity itself surrounding their annual conflict of interest investigations conducted by NYC Department of investigations. This has no bearing on Sports and Arts in Schools Foundation's ability to provide the services under this contract. Therefore, DYCD determines this provider to be responsible.

8. A VENDEX query indicated Sports & Arts in Schools Foundation, Inc. (SASF) received a subcategory "Poor" rating in performance quality during the period of 9/1/13-6/30/14 on their Out of School Time program contract with the Department of Youth and Community Development (DYCD). The rating was issued due to SASF's Rate of Participation (ROP) not meeting the contractual benchmark as the ROP and site visits are the primary indicators of fulfilling the services required by the contract. Programs are also expected to meet their full contracted enrollment during the school year. The program's Rate of Participation (ROP) was 45.1% which did not meet the 75% benchmark established for this contract. The Provider did not fulfill the scope of services by operating activities according to the proposed work scope and activity schedule in DYCD Online and had an adequate record and reporting system. This program did not meet the OST goals for quality after school activities. The school was relocated in the fall of 2012 which adversely impacted their Rate of Participation. In addition their most recent visit was given an overall 'fair' rating. Based on these improvements, DYCD finds SASF responsible.
9. VENDEX query indicated Sports & Arts in Schools Foundation, Inc. (SASF) received a subcategory "Unsatisfactory" rating in timeliness during the period of 07/01/13 to 06/30/14 issued by the Department of Youth & Community Development (DYCD) on their Community Services Block Grant. The rating was given due to the contractor's failure to report on academic outcomes by the end of the year. According to the provider, the staff member that reports on the contract unexpectedly left the organization and they were unable to maintain the reporting records upon her departure. Although the program was on time with entering the participants' information on the Capicorn Database and submitting all of their documents for the contract at the beginning of FY'14 they failed to report and enter academic outcomes on the Capicorn system by the end of the fiscal year. The program was requested to submit a corrective action plan (CAP) to explain what occurred with their lack of reporting and to correct any inefficiency with reporting for the next fiscal year. Accordingly, the program implemented additional staff members to maintain the Capicorn system for data reporting, maintaining case management notes and collecting the information required to maintain compliance with the contract. Notwithstanding the unsatisfactory subcategory rating DYCD finds SASF responsible.
10. A VENDEX query indicated Sports & Arts in Schools Foundation, Inc. (SASF) received a subcategory "Poor" rating in performance quality and a "Poor" overall rating during the period of 9/1/12-8/31/13 on their Out of School Time program contract with the Department of Youth and Community Development (DYCD). The rating was given due to SASF not meeting the contractual enrollment (ROP) and not meeting the work scope indicated for afterschool activities in their contract. Part of the issues, were due to plumbing problems at the school's location which delayed SASF from receiving their SACC license. All has since been resolved and SASF has improved as their most recent site visit was given a "Fair" rating. Based on these Improvements, DYCD finds SASF responsible.
11. A VENDEX query indicated Sports & Arts In Schools Foundation, Inc. (SASF) received a subcategory "Poor" rating In performance quality and a "Poor" overall rating during the period of 9/1/12-8/31/13 on their Out of School Time program contract with the Department of Youth and

Community Development. The rating was given due to SASF not meeting the contractual summer enrollment (ROP), not meeting the retention and attendance contractual obligation, not having adequate work space and unable to offer a sports program. SASF has been placed on two WIP's for FY13 however they've since resolved these issues and have improved for FY'14. On their most recent site visit they received a "Fair" rating. Based on these improvements, DYCD finds SASF responsible.

12. A VENDEX query indicated Sports & Arts in Schools Foundation, Inc. (SASF) received an overall "poor" rating and an "unsatisfactory" subcategory rating for performance quality during the evaluation period of 9/1/12-8/31/13 issued by the Department of Youth and Community Development (DYCD) on their Out of School Time (OST) and Middle School contract. The rating was issued due to Rate of Participation (ROP) not meeting the contractual benchmark. SASF has been placed on two WIPs during this contract period and has currently been placed under a CAP. In addition enrollment continues to be a challenge due to the school's location which is beyond SASF's control. Nonetheless, SASF does maintain an adequate record and reporting system. SASF has been cooperating with DYCD in regards to their CAP. Therefore, DYCD finds SASF responsible.
13. A VENDEX query indicated Sports & Arts In Schools Foundation, Inc. (SASF) received a subcategory "poor" rating in timeliness and an overall "poor" rating during the period of 9/1/12-8/31/13 issued by the Department of Youth and Community Development (DYCD) on their Out of School Time (OST), Elementary School contract. SASF did not acquire their SACC license in a timely manner due to their documents submitted to the Department of Health "getting lost" SASF was not issued a WIP or CAP due to these unforeseen Issues of "lost" documents. Further, SASF has been able to improve their work on this contract. On their most recent site visit they received a "Fair" rating. Therefore, DYCD determines the provider responsible.
14. A VENDEX query indicated Sports & Arts In Schools Foundation, Inc. (SASF) received a subcategory "Poor" rating for Performance Quality during the 9/1/12-8/31/13 evaluation period issued by the Department of Youth and Community Development (DYCD) on their Out of School Time contract. The rating was given due to SASF not meeting the contractual enrollment (ROP) and not meeting the work scope indicated for afterschool activities in their contract. SASF was not placed on a MP and/or CAP however the program improved during FY14. On their most recent site visit they received a "Fair" rating. Further, the provider also received an overall "fair" rating during this evaluation period. Based on this improvement, DYCD determines SASF responsible.
15. A VENDEX query indicated Sports & Arts in Schools Foundation, Inc. (SASF) received a "Poor" on Timeliness for FY'13 during the 7/1/12-6/30/13 evaluation period Issued by the Department of Youth and Community Development (DYCD) on their Neighborhood Development (NDA) contract. The rating was issued due to SASF not completing their contract documents and budgets in a timely manner. A Work improvement Plan (WIP) was requested by DYCD due to program services being interrupted at the school they were working with and for a lack of documenting case notes in the Capricorn system timely. SASF has since submitted a Work Improvement Plan (W1P), which was accepted by DYCD and has shown improvement. The provider received an overall "Fair" on their most recent FY14 performance evaluation. Based on the contractor's improvement, DYCD has determined the contractor responsible.

16. A VENDEX query indicated a "Poor" subcategory rating in timeliness Issued by the Department of Youth and Community Development (DYCD) for Sports & Arts in Schools Foundation, Inc. (SASF) on their Neighborhood Development (NDA) for the period of 7/1/12-6/30/13. SASF was deficient in contract and procurement documents during the beginning of FY'13, but were able to submit all of their documents by the 4/30/13 deadline. However, inefficiencies with the program's staff needed to improve with the reporting of participant information in the Capricorn system for verification of services. Also, the program experienced interruption of services due to changes with the staff, issues with fingerprinting, and lack of documentation for participants. Additionally, the program's academic outcomes could not be verified due to a lack of report cards and documentation with their case management. A Work Improvement Plan (MP) was requested and accepted by DYCD to get the contractor to correct their inefficiencies with reporting for the coming fiscal year. The provider's IMP was closed out earlier this year. Their current performance evaluation is in the process of being finalized. Based on the provider's improvements, DYCD finds them responsible.

17. A VENDEX query indicated an "Unsatisfactory" sub-category rating in timeliness issued by the Department of Youth and Community Development (DYCD) for Sports & Arts in Schools Foundation, Inc. (SASF) on their Out of School Time program contract (Middle School Region 2). SASF did not provide documents in a timely manner and did not provide services by the official start date of 9/12/2011. Additionally, the provider did not meet targeted enrollment during the school year by the deadline of 10/11/2011. However by the end of their contract SASF did satisfactorily submit documentation and provide services in a timely manner. Further, the provider received an overall "fair" performance evaluation rating. The provider continues to be monitored by DYCD and continues to improve. Notwithstanding the unsatisfactory subcategory rating, DYCD determines the provider responsible.

18. A VENDEX query indicated a "Poor" performance evaluation rating for Timeliness issued by the Department of Youth and Community Development (DYCD) on their Out of School time program for the period 9/01/2011-6/30/2012. This rating was given due to Sports and Arts in Schools Foundation (SASF), Inc. not providing a timely delivery of services and compliance requirements. The work scope was submitted on 11/18/11 and the budget submitted on 11/28/11 and the program began services on 11/16/11 past the agreed start time of 10/4/11. Additionally, SASF did not respond to DYCD in a timely manner during the contract development period. A corrective Action Plan was not implemented because their overall performance was "Satisfactory". SASF did receive an overall "Good" on the next contract 200091B and a "Fair" or better in the subcategory ratings for the 7/1/12-6/30/13 evaluation period.
 - a. Based on the contractor's improved and overall performance, DYCD has no problem finding SASF responsible.

19. A VENDEX query indicated an overall "Poor" performance evaluation rating issued by the Department of Youth and Community Development (DYCD) in the VENDEX performance evaluation of Sports & Arts In Schools Foundation, Inc. (SASF) on their Neighborhood Development (NDA) for the period of 7/1/10-6/30/11. The reason for the negative rating during the FY'11 was due to low enrollment of participants and not reporting the outcomes in the Capricorn System. Outcomes were collected according to the report cards reviewed at the agency's administrative office but, the information was not consistent, not fully reported or reported in a timely manner into the database. However, a Work improvement Plan (WIP) was submitted by the contractor and was accepted by DYCD. Moreover, the contractor's performance

significantly improved after 6/30/11 and was given an overall "Good" rating for their FY'12 performance evaluation.

a. Despite the adverse information cited above, DYCD determines the provider responsible.

20. VENDEX query indicated Poor performance evaluation rating in the sub-category of fiscal administration issued by the Department of Youth & Community Development (DYCD) for the period 7/1/2010- 6/30/2011 on their Neighborhood Development Program due to the contractor's inability to make valid PERS claims and the contractor's failure to keep an accurate general ledger for the DYCD program. However, the contractor performed no less than fair in the timeliness of performance and the quality. As a result, DYCD has issued an overall good rating for this contract period.

a. Based on the overall rating, this negative rating does not have a negative impact on DYCD determining the contractor responsible.

21. VENDEX query indicated an overall "Poor" performance evaluation rating issued by the Department of Youth and Community Development (DYCD) in the VENDEX performance evaluation on Sports and Arts in Schools Foundation, Inc. (SASF) on their Out of School Time (OST) Middle School-Region 2 program during the period of 9/1/09-8/31/10. SASF fulfilled the scope of services but the program lacked adequate staffing. Staff ratios were identified as an issue during the program period, adequate access to space quality of activities and school partnership also contributed to programmatic deficiencies. SASF attributed these program deficiencies to lack of funding. As a result, SASF opted to withdraw from this contract 10/13/2010. Notwithstanding these site-related issues, SASF continues to successfully contract with DYCD for these types of services.

a. Therefore, DYCD determines this contractor responsible.

22. VENDEX query indicated "Needs improvement" for performance evaluation rating (as listed above) issued by the Department of Youth & Community Development (DYCD) on their Service Learning Program for the period 9/1/2009 – 8/31/2010. because the Site was placed on corrective action plan (work improvement plan) going into FY 11 as a means to thwart their challenges (recruitment and program design) in FY 10. Some Teen ACTION participants and potential new applicants were pulled into a similar program called Teens CAN as well as a principal sponsored program in the building which made recruitment challenging. Site director resigned mid-year; within a month, a new director was hired who was able to enroll participants and the ROP steadily increased with the assistance of the SL teacher/facilitator. Unfortunately by late April/early May participants began to lose interest; their attendance dwindled, their ROP waned and the program ended without having met their ROP. Site submitted a response to the CAP which included partnering with a satellite as well as a recruitment and retention plan.

a. Based on the contractor's overall performance, this rating does not have a negative impact on DYCD finding this contractor responsible.

23. VENDEX query indicated a "Poor" performance evaluation rating in the category of "Quality" issued by the Department of Youth & Community Development (DYCD) for the period 9/1/2008-8/31/2009 on their OST Program due to the contractor's inability to meet the full enrollment for the contract year. In addition, the program's Rate of Participation (ROP) was 29.5% which did not meet the 70% benchmark established for this contract. The ROP is a main indicator in fulfilling the services required by the contract. The program did not meet its participant enrollment target. However, the contractor performed no less than fair in the fiscal administration and the timeliness

of performance. As a result, DYCD has issued an overall fair rating for this contract period. Moreover, the contractor has improved in the category of Quality in FY'10.

- a. Based on the overall rating and the improvement, this negative rating does not have a negative impact on DYCD determining the contractor responsible.

24. VENDEX query indicated an overall "Poor" performance evaluation rating issued by the Department of Youth and Community Development (DYCD) in the VENDEX performance evaluation on their Out of School Time (OST) Youth Program for the period of 9/1/07-8/31/08. The contractor failed to meet the required Rate of Participation (ROP). The site coordinator indicated during several site visits that she received little support, especially with regard to securing program supplies and resources needed to recruit and retain participants. Effective programmatic procedures were not in place which resulted in poor program structure and design. DYCD met with the contractor on several occasions to address the program design and retention challenges and assisted the contractor to revise their work plan for FY'09. The contractor hired a new program director and a new senior supervisor to oversee this program. As a result this program has improved dramatically this year by exceeding the contracted enrollment goal and is on track to achieve the mandated rate of participation and other milestones. In FY 09, this contract was renewed and received an overall rating of "Fair."

- a. Based on this improvement, DYCD determined the contractor responsible.

25. Contractor failed to meet the required Rate of Participation. The Site Coordinator indicated during several site visits that she received little support, especially with regard to securing program supplies and resources needed to recruit and retain participants. Effective programmatic procedures were not in place which resulted in poor program structure and design. DYCD met with the contractor on several occasions to address the program design and retention challenges and assisted the contractor to revise their work plan for FY'09. The contractor hired a new program director and a new senior supervisor to oversee this program. As a result this program has improved dramatically this year by exceeding the contracted enrollment goal and is on track to achieve the mandated rate of participation and other milestones. This contract is expected to receive an overall rating of satisfactory for FY'09 and will be renewed based on this improvement.

26. VENDEX query indicated an overall "Poor" performance evaluation rating issued by the Department of Youth and Community Development (DYCD) on their FY'08 Service Learning program for the period 10/01/2007 - 6/30/2008. The provider was having challenges with recruitment, retention, and attendance. They received technical assistance during the later portion of their program and ended the program with a 16.2% rate of participation (ROP). Although they did succeed in reaching their targeted enrollment, students did not attend programming on a consistent basis thereby creating the site's low ROP. The program did utilize various techniques to engage their student body, but it was to no avail. After meeting with the staff for the program, one of the conclusions drawn was that the SL program was not the right fit for this site; majority of the students were above the traditional age of HS students and they were more interested in obtaining work skills. Site receives a NI because they put effort into trying to improve the site; however, the student support was not there and this caused them to follow below the benchmark ROP of 70% for renewal. As a result, the contract was not renewed.

- a. Notwithstanding the above rating, the contractor continues to perform no less than satisfactory in most of its other contracts with DYCD. Therefore, DYCD determined the contractor responsible.

27. VENDEX query indicated a "Poor" performance evaluation in overall timeliness category. The reason for this negative rating is that the site program started late because they were looking for staff to implement the curriculum and make sure that the program functioned to the best of its ability. Once roles and responsibilities were clarified and it was apparent that additional staff had to be hired, and their next hurdle was recruitment. This site has an SES program at their school which made recruitment difficult. They attended a recruitment workshop facilitated by TASC and received additional TA from TASC. They were able to make up ground during the months that they were operating and they managed to make it over the 70% benchmark at 70.2%. However, they performed well enough in other areas to receive an overall "Fair" rating.

a. Notwithstanding the above information, DYCD finds the contractor responsible.

28. VENDEX query indicated an overall "poor" performance evaluation rating issued by the Department of Youth and Community Development (DYCD) on their High Schools OST Program for the period of 7/11/07-06/30/08. This rating was due to the Sports and Arts in Schools Foundation, Inc. (SASF) not reaching its target enrollment and falling to meet the contractually required Rate of Participation. DYCD met with SASF to address these performance issues and in FY 09 this contract was renewed. SASF's performance subsequently improved and a rating of "Fair" was issued in FY 09.

a. Based on the above improvement, DYCD determined the contractor responsible.

29. DYCD has issued Needs Improvement ratings in the area of Schedule and Timeliness of Performance for the following FY 06 contracts 20060014593, 20060014945, 20060022748, 2006002282, 20060014497, 20060024774 and 20060013972; these ratings were due to the vendor's failure to enter the attendance and activities into the OST online System. Although the vendor did not enter the necessary data the overall program performance was a Satisfactory for each program; therefore, DYCD has determined the vendor responsible.

30. Sports and Arts in Schools Foundation (SASF) disclosed two (2) substantiated cases of client abuse/neglect occurring within the last twelve months. One of the cases pertains to an incident which occurred on July 1, 2014. A six year old male participant child was left behind during a Summer Camp outing for forty five minutes with no adult supervision. As a result of the incident, SASF's SACC license was suspended by the New York State Office of Children and Family Services (OCFS). SASF submitted a copy of their Stipulation of Settlement for the monetary penalty associated with this incident and their Corrective Action Plan. The suspension has been lifted.

Sports and Arts in Schools Foundation (SASF) disclosed another substantiated case of client abuse/neglect occurring within the last twelve months. The case pertains to an incident which occurred on October 13, 2014. A student/participant child was left behind on the NYC subway system during the conclusion of an outing with no adult supervision. As a result of the incident, SASF's SACC license was suspended by the New York State Office of Children and Family Services (OCFS) due to inadequate supervision of a child. SASF submitted a copy of their Stipulation of Settlement for the monetary penalty associated with this incident and their Corrective Action Plan. The suspension has been lifted. These two substantiated cases of client abuse or neglect also come up as a self-reported caution (CN - 002- 07081500020-1) dated 10/30/2014, in VENDEX.

A review of the information reported by DYCD leads the DOE to the following updated discussion.

1. Several news articles from January 2016 discuss a SASF afterschool coach of a basketball program who was caught on video in what seems to be refereeing a fight between two middle school children. The video showed the afterschool coach standing by and doing nothing to break up the fight. Articles indicate that the Special Commissioner of Investigation of the DOE ("SCI") is looking into the incident as this matter was referred to them for investigation.
 - a. NYC DOE sent SASF a letter on February 22, 2016 to request information on the afterschool coach cited in news articles from January 2016.
 - i. A March 4, 2016 response was sent to the DOE by SASF which discloses the coach's hire date, January 13, 2015, and indicated that the coach was suspended and no longer is employed with SASF subsequent to the January 2016 incident.
 1. SASF also indicated that in response to the January 2016 incident, SASF, along with two additional programs held at the middle school, is strengthening policies and procedures to ensure the safety of all participants within the school building.
 - ii. An additional response from SASF dated March 7, 2016 stated that the coach received January and February 2015 clearances from NYS Department of Health, NYC DOE's Personnel Eligibility Tracking System ("PETS"), Staff Exclusion List, and State Central Registry. SASF states that the coach's employment was suspended on January 27, 2016 and was made PETS ineligible as of January 28, 2016.
2. One Self-Reported VENDEX Caution states that SASF was suspended twice in 2014 by the NYS Office of Children and Family Services ("OCFS") due to inadequate supervision of children on two trips.
 - a. SASF provided submitted corrective action plans, demonstrated that they entered into stipulations of settlement, and provided proof that they paid the fines. Furthermore, SASF provided email communications with OCFS which indicated that both suspensions were lifted and the programs were allowed to be reopened.
3. An October 24, 2013 SCI press release reported an investigation of a SASF dance teacher who was assigned to an afterschool program held at a school. The investigation initiated when the mother of a female student reported Facebook messages between her and the after-school teacher to SCI. The mother of the student also noted that the after-school teacher's Facebook contract implied an inappropriate relationship with the student victim. SASF fired the after-school teacher subsequent to the complaint.
4. The DOE further reports SASF's disclosure in VENDEX Q15 and Q16 of the vendor questionnaire that that in April 2010 SASF's budgeting and accounting staff uncovered a possible "no show" employee and false expenses involving collusion among four employees in the champion's clubs program delivery division. After an internal investigation substantiated the theft of approximately \$82,000, the employees were promptly terminated April 21, 2010. SASF turned the information over to the Queens District Attorney, who announced charges against those involved. State and city funding affected were also notified by S&A in May and appropriate adjustments were made where reimbursed public funds were affected. The four former after-school program employees pled guilty to the various charges and agreed to pay restitution.
 - a. The state and city funding agencies that were notified by SASF are Department of Youth and Community Development ("DYCD") and NYS OCFS were contacted. According to

VENDEX, many subsequent DYCD contracts were awarded to SASF. Furthermore on July 2014, NYS OCFS had notified SASF to re-open its services and programs.

5. The DOE further reports SASF's disclosure in VENDEX Q17 of the vendor questionnaire Sanctions were imposed against SASF by the NYC Department of Health & Mental Hygiene ("DOHMH") in 2010 for failure to have school yard gates open in case of a fire or an emergency while performing services under a contract funded by the DOE and DYCD.
 - a. Since the DOHMH administrator's ruling, SASF indicates it has taken procedural steps institutionally to ensure children have free egress from all school yards. Both DOE and DYCD continue to work with S&A.
6. SASF disclosed in Question 15 in their 3/24/2008 VENDEX that an investigation by SCI regarding SASF's staff had not properly follow DOE regulations that prohibited the dissemination of copies of black SES enrollment form.
 - a. A 2007 SCI report documented that S&A staff inappropriately duplicated and distributed SES provider forms to parents and students. S&A ceased the practice after 9/21/06 when the DOE issued a city-wide memo regarding proper S&A provider form distribution guidelines. S&A paid SCI \$5,000 to cover the cost of the investigation.
7. A 2004 SCI investigation found that the company allowed employees to begin working after they had been fingerprinted but before they received clearance. Apparently, this requirement was not clearly reflected in the DOE's contract with Sports and Arts. The DOE revised its contracts to reflect that employees or volunteers may not work with children until security clearance has been provided by the DOE.

In light of corrective actions taken by SASF, strengthening of policies and procedures, subsequent contracts awarded to SASF, and that the vendor continues to perform work for DYCD under the contract to be assigned, the DOE does not believe the above matters precludes a determination that the vendor is responsible.

St. Nicks Alliance Corp

Noteworthy information identified by DYCD:

1. Knights Collision Experts affiliate of Joseph Robles, principal of St Nicks Alliance also answered question 12(a), 15 and 17 of their recent VENDEX questionnaire in the affirmative.

Question 12(a) pertains to any judgments, injunctions or liens based on taxes. Vendor disclosed that they had obligation to file on 10/19/2010, 11/3/2010 & 10/19/2011. Date of discharge was 6/12/2012, they have no outstanding balance.

Question 15 pertains to an investigation of the submitting vendor and/or any affiliates. Knights Collision Experts Inc. disclosed an investigated by the NYS DMV from 11/2007 - 10/2011, 8 complaints were filed with the NYS DMV, no fines were issued, 5 voluntary refunds totaling 3,530.00 were issued over the 5 years.

Question 17 pertains to sanctions, disciplinary proceedings with respect to any professional licenses held. Knights Collision Experts Inc. (Knights) an affiliate of Joseph Robles, who is also a principal of St. Nicks Alliance disclosed information indicated that Knights Collision Experts signed a Stipulation of Discontinuance on 9/21/2011 with the NYC Department of Consumer Affairs for failure to maintain business records. The firm voluntarily paid two fines at \$2,500 each. The violation was then dismissed.

- a. Based on the resolution of the above mentioned issues concerning Knight's Collision Experts, Inc., DYCD determines this contractor responsible.

2. The Department of Investigations (DOI) # 3802 dated 02/03/2016 disclosed a memorandum to Jonathan Mintz, former commissioner, Department of Consumer Affairs, regarding the Knights Collision Experts Inc. (Knights), an affiliate of Joseph Robles. For details, please see above mentioned disclosure.
 - a. Based on the above information, DYCD determines this contractor responsible.

3. EIN: 510192170 Agy:260 (DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT) Regno: 20151402883 Report Date: 10/23/2015 Overall Rating: U (Performance Period From: 07/01/2014 to 06/30/2015 Program Description: # 766618-Adult Literacy Service Option
 - a. VENDEX query indicated an overall "poor" performance evaluation rating issued by the Department of Youth and Community Development (DYCD) on its Adult Literacy Service Option 1-ABE/HSE program for the period of 7/1/2014 to 6/30/2015. This overall poor rating is due to not meeting its Target Outcome obligation for the program year. In FY15, the program expected to enroll 106 students. The program enrolled 110. However, the outcomes were only 16. That is 15% as opposed to the expected 57%. The Coordinator administered the wrong test for both Spanish ABE and HSE classes, because the coordinator was under the impression that a BEST PLUS test was to be administered resulting in the low outcome. However, a corrective action plan has been implemented and the contractor has demonstrated progress towards implementing the CAP during FY16. Notwithstanding the above, DYCD determines this contractor responsible.
 - b. DYCD has advised the DOE that St. Nicks received a "good" rating for each of the three site visits conducted during FY16 and the vendor has corrected the FY15 failure to achieve its projected outcomes. Moreover, DYCD renewed one contract and newly awarded another to the vendor. Accordingly, the DOE does not believe this should preclude a determination that St. Nicks is responsible.

4. EIN: 510192170 Agy:260 (DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT) Regno: 20151402885 Report Date: 09/24/2015 Overall Rating: U (Performance Period From: 07/01/2014 to 06/30/2015 Program Description: #766661- Service Option II-Young Immigrant Comp 1 ABE/HSE)

VENDEX query indicated an overall "poor" performance evaluation rating issued by the Department of Youth and Community Development (DYCD) on its Service Option II-Young Immigrant-Comp 1 ABE/HSE contract for the period of 7/1/2014 to 6/30/2015. This overall poor rating is due to Unsatisfactory Enrollment and Outcome achievement. The average daily attendance was too low (8-10) for the Office of Adult and Continuing Education (OACE) to remain in compliance with their goals. There was only an input of 24 (37%) out of the claimed 65 (100%) enrolled participants into the program. However, a corrective action plan (CAP) has been implemented and DYCD will continue to monitor this program to ensure that the program meets the expected 65 participants as well as their enrollment and outcomes. St. Nicks Alliance Corp did not continue these services; therefore, they are no longer contracting with DYCD to provide these services for FY16.

 - a. Notwithstanding the above, DYCD determines this contractor responsible.

5. Staten Island Advance news article dated April 9, 2007, revealed St. Nicks Alliance and Brooklyn Artists Alliance were scheduled to go to court over the right to use the word "Brooklyn". SNA uses the name for one of its programs.

According to SNA, neither organization has copyrighted "Brooklyn". SNA states that they made several attempts to resolve this through mediation but Brooklyn Artists Alliance still pursued the lawsuit. However, SNA and Brooklyn Artists Alliance have now settled the lawsuit and SNA will not be using the name, ending any and all legal disputes.

Based on the settlement of this lawsuit, DYCD determines this contractor responsible.

A Google search of St. Nick's Alliance (SNA) revealed a New York Daily News article alleging that SNA allowed tenants to bully another tenant, James Edstrom, in its Supportive Housing program because he is homosexual and suffering from AIDS. According to SNA, they have tried repeatedly to address the situation. They installed security cameras, which the NYPD can use to investigate complaints for legal action; hired a security guard for the evening hours to prevent loitering in the building; hired maintenance staff to keep the building clean and in good repair. However, none of these efforts have satisfied Mr. Edstrom. SNA then brought the matter to the Human Resources Administration (HRA) for review in the Step Process as required by contract. HRA reviews the issues, monitors, and oversees the process. This has just concluded. Mr. Edstrom has rejected three other apartments in three separate locations that are also part of the housing program. According to SNA, Mr. Edstrom has still rejected services and has just been relocated to a private apartment and opted out of the Supportive Housing program. The contractor states they have complied with all HRA regulations.

Based on the above information, DYCD determines this contractor responsible.

A review of the cases reported by DYCD leads the DOE to the following updated discussion.

Joseph Robles, Sr. and Jr., the owners of a related entity, Knights Collision Expert Repairs, were convicted of fraud related to an insurance scam in 1991. Roble, Jr. paid a \$10,000 fine and was sentenced to five years' probation, while Robles, Sr. was ordered to pay \$50,000 and to serve three years in prison.

Robles Jr. was arrested again in 2000 and 2006 on similar charges. The charges were dismissed and the records from both arrests have been sealed.

- The VENDEX for Knights also reports that the company executed a Stipulation of Discontinuance with the NYC Department of Consumer Affairs to settle charges of failure to maintain business records in 2011, and that the company made two voluntary payments of \$2,500. Knights has since corrected the software flaw that caused the problem.
- In addition, the VENDEX for Knights also reports an investigation by the NYS Department of Motor Vehicles (DMV) regarding complaints filed between 2007 and 2011. As Knights is an affiliate, repairs over 1,000 cars per year, and given that all matters have been addressed, we do not find this to be a cause for concern. In light of the vendor's response, the number of city contracts it has been awarded since the above incidents and the DOE's exceptional performance assessment, the DOE believes that the vendor has shown sufficient cause to demonstrate that these matters do not preclude a finding that St. Nicks Alliance is a responsible vendor.

Teachers College, Columbia University

Noteworthy information identified by DYCD, specific to Columbia University, distinct from Teacher's College:

VENDEX query indicates elevator tax lien violations against Columbia University. Teachers College is a program that shares space with Columbia University. Columbia University (College Division) maintains that these violations were issued in error and is in the process of working with the Department of Finance (DOF) to dispute these charges. To date this dispute remains unresolved. The DOF business tax entity profile for Teachers College remains in compliance. Therefore, DYCD determines this contractor responsible.

Vendor Name Check Memo dated November 12, 2015 issued by the Department of Investigation (DOI) uncovered no closing memorandum. However, DOI records indicate that Teachers College answered the following questions from their vendor questionnaires in the affirmative, 129a), and the Trustees of Columbia University in the City of New York 12(a) and 15. In addition, a caution profile exists in VENDEX regarding the Trustees of Columbia University in the City of New York.

The Trustees of Columbia University in the City of New York is a large and diverse organization providing services to individuals every day under many programs that operate in multiple locations in the Greater New York area. In the normal course of business, The Trustees of Columbia University in the City of New York does receive routine notices of administrative violations from various New York City agencies. The many violations have been remedied or adequately attended to within an acceptable time frame. Therefore, this affirmative response does not have a negative impact on DYCD determining this contractor responsible.

A Google search indicated a lawsuit against Columbia College, an affiliate of Teachers College, Columbia University, Paul Nungesser, Columbia College student suing the University and visual arts professor Jon Kessler for allegedly supporting Emma Sulkowicz in committing an act of gender-based harassment against him, accusing him of rape, through her thesis, "Carry That Weight," and allowing her to break confidentiality agreements about the case. Columbia College has since countered and filled in court on August 28, the motion disputes Nungesser's claim that Columbia violated title IX, arguing that his allegations do not constitute a violation of the federal law, which prohibits discrimination in higher education on the basis of gender. Both parties said in the joint letter that they would be open to a settlement agreement. Dismissal of Nungesser's case would entirely avoid the need for a settlement and subsequently, for Columbia to pay Nungesser as part of a deal to keep the case out of court. Since the status of the case remains ongoing, this allegation of gender-based discrimination does not have a negative impact on the DYCD determining this contractor responsible.

In addition to the information noted below by DYCD, the DOE has learned that VENDEX reports several cautions for Columbia University. However, Teacher's College is a separate entity with a distinct board that is connected with Columbia University via a contractual relationship. Therefore, the DOE does not believe these matters should preclude an award of contract to Teacher's College.

The Child Center of NY

Noteworthy information identified by DYCD:

The DYCD Found:

1. A Vendor Name Check Response Memo dated 4/24/15 issued by the Department of investigations uncovered no closing memorandum. However, Phoenix Houses of New York Inc. and The Child Center of NY, Inc. answered questions 15 of the vendor questionnaire in the affirmative. Traci Donnelly current Executive Director of The Child Center was once employed by Phoenix Houses of New York City from 12/17/07 to 10/16/12. Since there is not a current affiliation between Phoenix Houses of New York Inc. and The Child Center of NY, Inc., the affirmative response given by Phoenix Houses of New York City does not have a negative impact on DYCD determining The Child Center of NY responsible.
2. VENDEX query indicates a "Poor" rating in the sub-category of Performance Quality issued by the Administration for Child Services (ACS) on their Head Start program for the periods of 7/1/11-6/30/12 and 7/1/12-6/30/13 respectively. These rating were due to poor performance in Safety, Risk Assessment, and Supervision. The contractor was on Corrective Action Status for six months or

more during FY12. The contractor is engaged in performance improvement with ACS. They received a "Good" rating in Performance Quality for 2014.

Notwithstanding the above, DYCD determines this contractor responsible.

A review of the information reported by DYCD leads to the following updated discussion.

Noteworthy information was identified as The Child Center disclosed in Question 15 of their current VENDEX questionnaire that in January 2010, a 21-year-old The Child Center employee was found to have acted inappropriately with an 18 year old student in the Aspirations High School. In addition, the supervisor inappropriately mishandled the investigation. Both employees were terminated and barred from participating in the DOE funded program. As a result, all staff were sent for additional training to prevent a situation like this from occurring in the future. The DOE has reviewed the vendor's revised harassment plan created in response to this matter and found it acceptable.

Evaluations:

1. DOE found in VENDEX Evaluation, PE 816 111315005019 1 (Evaluation Period 07/01/2015-12/31/2015), a "poor" rating in the evaluation category Fiscal Administration and Accountability. Evaluating Agency, Department of Health and Mental Hygiene stated, "Contractor did not meet all basic fiscal administration and accountability standards".
 - o Please note, the above was a sub-category, and the overall rating of this evaluation is fair.
2. DOE found in VENDEX Evaluation, PE 816 111615005118 1 (Evaluation Period 01/01/2015-12/31/2015, a "poor" rating in the evaluation category Fiscal Administration and Accountability. Evaluating Agency, Department of Health and Mental Hygiene stated, "Contractor did not meet all basic fiscal administration and accountability standards".
 - o Please note, the above was a sub-category, and the overall rating of this evaluation is fair.
3. DOE found in VENDEX Evaluation, PE 816 111315005018 1 (Evaluation Period 01/01/2015-06/30/2015), a "poor" rating in the evaluation category Fiscal Administration and Accountability. Evaluating Agency, Department of Health and Mental Hygiene stated, "Contractor did not meet all basic fiscal administration and accountability standards".
 - o Please note, the above was a sub-category, and the overall rating of this evaluation is fair.
4. DOE found in VENDEX Evaluation, PE 068 120313005763 1 (Evaluation Period 07/01/2012-06/30/2013), an "unsatisfactory" rating in the evaluation category Timeliness. Evaluating Agency, Admin for Children's Services stated, "Timeliness scores are based on the agency's time to disposition score in FY12 Scorecard, a critical measure for ACS preventive services. Time to Disposition measures the percentage of families signed for services within 30 days of a referral during FY12. Agencies that sign 81-85% of families for services within 30 days receive a score of 50%. The scoring tiers reflect ACS' emphasis on this critical policy."
 - o Please note, the above was a sub-category, and the overall rating of this evaluation is fair.

Subsequent to the latest poor subcategory evaluation dated December 31, 2015, there has been one additional evaluation issued on that same date. This evaluation was given an overall rating of good.

VENDEX reported one caution on the vendor, indicating that the firm received an overall unsatisfactory performance evaluation from DOHMH in 2010. The vendor has received subsequent work from DOHMH, most recently receiving 3 "good" evaluations in December 2012. Moreover, the performance of the vendor has been satisfactory on prior and subsequent work with the DOE.

1. An investigation by the Queen's County District Attorney's Office regarding the NYS Comptroller's audit of NYS Office of Children & Family services. No charges were filed against The Child Center in connection with this matter.

2. An investigation conducted by the Special Commissioner of Investigation (SCI) substantiated allegations that an employee of The Child Center of NY, Inc. behaved in an inappropriate manner towards a student, and that a Director failed to report the allegations against the employee and handled the matter on her own. Both employees were terminated by The Child Center and the DOE reviewed the vendor's revised harassment plan created in response to this matter and found it acceptable. This matter is also included in the vendor's current VENDEX Questionnaire.

In light of The Child Center's training and policy revisions to prevent reoccurrences in the above matters, the evaluation history subsequent to the poor and unsatisfactory subcategory evaluations, and that the vendor continues to perform work for DYCD under the contract to be assigned; the DOE does not believe these above matters preclude a determination that the vendor is responsible.

News reports from 2012 indicated that therapy provided by the Child Center to one of its patients failed. The matter involved a 16 year old, who along with five others in 2011 beat a young man to death while shouting anti-gay slurs. Apparently, in 2010, the 16 year old was in court facing several charges and the court recommended he be placed in the Juvenile Justice Initiative, a program run by New York City Administration for Children's Services (ACS). The Child Center and ACS closed out this case in 2010, ending the youth's treatment in the program. In response to the news reports, The Child Center asserted that the reports were incorrect, and that they have a strong history successfully assisting at risk children and youth. Specifically, The Child Center indicated that this youth had been carefully supervised as required, but that the youth and his family had not made the necessary commitment to allow for a successful outcome. In response to a DOE inquiry, The Child Center reported they assist more than 21,700 youth each year and offer services in 22 languages, and its work has regularly been audited and reviewed. In light of the continuing funding that the organization receives, its size and the number of individuals served, the DOE does not believe this incident precludes a determination that the vendor is responsible.

The Children's Aid Society

Noteworthy information identified by DYCD:

VENDEX query indicated an overall poor performance evaluation rating issued by The Department of Youth and Community Development for the period of 7/1/2012 to 6/30/2013 on their Community Services Block Grant - contract # 831104. The reason for the negative rating was due to the provider achieving low outcome plan. The outcomes could not be validated because some students did not improve. However, the provider has adequately staffed the program with qualified instructors to administer services throughout the contract year. Technical assistance was implemented regarding enrollments and outcomes; 80% of the participants are high performers and therefore, the agency did not provide services to the students who were struggling academically. The provider implemented activities in the form of clubs. The clubs gave students the opportunity to work in small groups to improve leadership skills. The space used was clean, safe, with no violations, and ADA compliant. Target population and target area was at 100% or better. The (WIP) work improvement plan implemented included enrollment, retention and outcomes. WIP was implemented and the contractor's performance improved significantly for FY 2014. DYCD issued a subsequent overall rating of "Good". Based on this improvement, DYCD determines this contractor responsible.

There were ten (10) indicated reports associated with Children's Aid Society's (ACS) foster boarding home program Agency Program Assistance (APA) has had continuous conversations with the agency around assessing safety in the residences and will continue to monitor this area of practice during upcoming meetings and case reviews. Children's Aid is very committed and diligent in responding to the investigations' corrective actions and is considering developing systems to review these cases and assess for trends. When a substantiated allegation of abuse and neglect in a foster home occurs, ACS requires particular corrective actions and a full response from the agency. Children's Aid has provided the same in those cases. We have also reviewed the summary documentation submitted by Children's Aid describing each of the ten (10) indicated cases and believe the actions described were prudent. Based on this information, these reported cases of client abuse and neglect do not have a negative impact on DYCD determining this vendor responsible.

In addition to the above that DYCD reported, the DOE found the following noteworthy information.

A report by the New York City Special Commissioner of Investigation (SCI) dated April 17, 2015 substantiated that a 25-year-old counselor employed by the Children's Aid Society had contact with two 13-year-old female students on two applications, Instagram and Kik. In accordance with SCI's recommendation, a problem code was placed in the DOE's HR database so that this matter is considered should he apply for a position in the City school system, with one of its vendors, or in one of its facilities in the future.

A self-reported Vendex caution concerning The Children's Aid Society indicated that the firm received a subpoena requesting documents pertaining to all persons/families receiving homemaker services from a former CAS employee. The former employee was charged with conspiracy to commit child pornography on July 24, 2013, and CAS terminated her employment the same day. She was not a principal owner, officer or managerial employee of CAS. Firm has and continues to cooperate with the FBI's investigation of the former employee. While the FBI's investigation is ongoing, the FBI has repeatedly stated that the firm is not a target of its investigation. Subsequently, the DOE has learned that on or about February 25, 2015, the former employee entered a guilty plea to conspiracy to sexually exploit children and was later sentenced to 25 years of imprisonment. In light of the immediate actions taken by CAS and the termination of the employee, the DOE does not believe this matter precludes a determination that the vendor is responsible.

For the evaluation period ending 06/30/2015, VENDEX indicates four overall "poor" ratings issued by the Department of Youth & Community Development for the Neighborhood Development program, and specifically in the subcategory of performance and quality, they also received a "poor" rating for all four evaluations. However, during the same evaluation period, The Children's Aid Society received three "excellent" ratings, five "good" ratings and five "fair" ratings from the Department of Youth & Community Development during the same evaluation period ending 06/30/2015. In addition to the aforementioned 06/30/2015 evaluation period, the vendor received an overall "good" rating from the Department of Youth & Community Development for the Service Learning/Teen Action Program FY13 Renewal – Citywide program. In light of these other performance evaluations, the DOE does not believe this matter precludes a determination that the vendor is responsible.

The Committee for Hispanic Children and Families

Noteworthy identified by DYCD:

A search of the Department of Investigation uncovered no closing memorandum, however, the

submitting provider, the Committee for Hispanic Children and Families, Inc. did answer question 12(a) on their vendor questionnaire in the affirmative. The affirmative addressed a tax lien from the NYC Department of Finance in the charge of \$108.01 which was filed on 01/01/14 but subsequently resolved when the lien was paid in full on 4/28/14.

University Settlement Society

Noteworthy information identified by DYCD:

VENDEX indicated an overall Poor performance rating issued by the Department of Health & Mental Hygiene (DOHMH) Dated 03/04/2013 for the VENDEX evaluation period of 01/01/2012 - 12/31/2012 on their Children's Case Management Program. This poor rating was due to contractor's inability to meet the contracted service levels, serve the stipulated number of consumers, maintain the contracted staffing pattern, and demonstrating adequate organizational management and individual/program outcomes. As a result, a Corrective Action Plan (CAP) was implemented. Technical Assistance and program monitoring were also provided. The contractor's performance has improved. More importantly, the Bureau of Youth and Families will continue to provide close monitoring and oversight of this program. Based on their improvement in the Program Area, DYCD determines this contractor responsible.

Subsequent to DYCD's review, the DOE found the following noteworthy information.

For the evaluation period ending 06/30/2015, VENDEX indicates an overall "poor" rating issued by the Department of Youth & Community Development for the Out of School Time Elementary School Program, and specifically in the subcategory of performance and quality they also received a "poor." However, during the same evaluation period, University Settlement Society received four ratings from the Department of Youth & Community Development, three of which were "good", and one that was "fair". In addition to the aforementioned 06/30/2015 evaluation period, the vendor received an overall "good" rating from the Department of Youth & Community Development for the Beacon Program. In light of these other performance evaluations, the DOE does not believe this matter precludes a determination that the vendor is responsible.

Urban Arts Partnership

No significant adverse information found by DYCD.

Wediko Children Services

No significant adverse information found by DYCD.

Westhab Inc.

No significant adverse information found by DYCD.

YMCA of Greater New York

Noteworthy information identified by DYCD:

VENDEX Query disclosed YMCA of Greater New York answered question 11(f) in the affirmative on their most recent VENDEX Questionnaire (VQ-002-102813001431-1). The provider received an "overall" unsatisfactory rating on their contract with the NYC Dept. of Youth & Community Development in 2008. YMCA struggled to meet the planned outcomes of the Corrective Action Plan before the contract expired. Despite the information above, DYCD determines the provider responsible.

In addition YMCA of Greater New York, answered question 12(a) in the affirmative. DYCD have reviewed the information regarding question 12a on the vendor's most recent Vendor Questionnaire (VQ-002-102813001431-1) in which various Environmental Control Board (ECB) violations were issued by the Department of Buildings (DOB), Fire Department (FIR) and Dept. of Finance (803). YMCA has met all financial obligations associated with these violations and they have since been discharged. Therefore, DYCD determines the vendor responsible.

VENDEX query indicated an overall Poor performance evaluation rating issued by the Department of Youth and Community Development for the period 9/1/2012 to 8/31/2013 on their OST Program. The Rate of Participation (ROP) and site visits are the primary indicators of fulfilling the services required by the contract. The Contractor's ROP was 75% which did not meet the 80% benchmark. Contractors are expected to meet their full contracted enrollment during the school year and summer. However, they failed to meet the full contracted enrollment for the school year and did not meet the full contracted enrollment during the summer. In addition, the Contractor did not fulfill the scope of services by operating activities according to the proposed work scope and activity schedule in DYCD Online. Thus, the program did not meet the OST goals for quality after school activities. The Contractor was placed on a Corrective Action Plan (CAP) for deficiencies in meeting contractual requirements as well as not meeting the required enrollment and ROP. The FY13 CAP requirements were addressed by agency and thus the CAP was closed out.

Based on the above explanation and the closed out of the CAP, DYCD determines the Contractor responsible.

VENDEX query indicated an overall Poor performance evaluation ratings Issued by the Department of Youth and Community Development on their Out of School Time Middle School Program for the period dated 09/01/2012 to 08/31/2013 for their contract. The Contractor received the poor rating in the subcategory of Timelines and Quality. The ratings was due to the Contractor's failure to submit their contractual documents, such as the work scope, budget, supporting documents; requested revision, and budget modifications in a timely manner. The Contractor's Rate of Participation (ROP) was 13% which did not meet the 75% benchmark. The program failed to meet the full contracted enrollment for the school year, but met the full contracted enrollment during the summer. The program was placed on a Corrective Action Plan (CAP) for deficiencies in meeting contractual requirements in the areas of administrative systems, enrollment and ROP during the school year. The CAP remained open throughout the programs transitioned to a new school location. Moreover, the Contractor did not fulfill the scope of services by operating activities according to the proposed work scope and activity schedule in DYCD Online an adequate record and reporting system. As a result, the program did not meet the OST goals for quality after school activities.

VENDEX query indicated overall poor performance evaluation ratings in the subcategory of Timeliness and Performance Quality issued by the Department of Youth and Community Development on their Out of School Time Middle School contract for the period 09/01/2012 to 08/31/2013 on their OST Program. The Contractor did not submit their contractual documents, such as the work scope, budget, supporting contractual documents, requested revisions, and budget modifications in a timely manner. They also had a substandard administrative system to support programming and services. In addition, In the Performance category, the program's Rate of Participation (ROP) was 54% which did not meet the 75% benchmark. Furthermore, the program did not meet the full contracted enrollment for the school year and during the summer. Moreover, the provider did not fulfill their scope of services by operating activities according to the proposed work scope and activity schedule in DYCD online record and reporting system.

The Program was placed on a Corrective Action Plan (CAP) for under enrollment/participation, communication and responsiveness to DYCD, School Age Child Care (SACC) requirement, staffing, Educational Specialist, and program model requirements thus, receiving an overall poor. DYCD will continue to monitor the program for improvement.

Based on the above explanation and the implementation of the CAP, DYCD determines the Contractor responsible.

VENDEX query indicated that an overall "Poor" performance evaluation rating was issued by the Department of Youth and Community Development (DYCD) on their Neighborhood Development Group Program contract for the contract period of 07/01/2012 to 06/30/2013. The Contractor received an overall

poor rating due to Capricorn data being out of compliance for the later portion of the year and their failure to report any verifiable participant Outcomes for FY'13. Also, mid-year staffing change in the Program Director position affected the program. Former ED Cindy Lewis (replaced by Collin Stewart) resigned in December 2012 which led to the program receiving "Poor" ratings in Timeliness and Quality. A Work Improvement Program (WIP) has been put in place in addition to technical assistance. DYCD continues to closely monitor this program activity.

Based on the implementation of the WIP, DYCD determines this contractor responsible.

VENDEX query indicated an overall "Poor" rating issued by the Department of Youth and Community Development (DYCD) on its Service Learning/Teen Action Program for the period of 09/01/2010-06/30/2011. This Poor rating was due to the contractor's inability to reach enrollment and ROP benchmarks on time. In addition, the site continued to struggle with retention and maintenance of the list of SL funded participants. The site was assigned two Corrective Actions because it did not fully implement 6 steps of service learning, youth engagement, youth leadership, and it was sometimes unclear if the targeted population was receiving the required services. This evaluation period was the last year of this contract. YMCA opted not to respond to the Teen Action RFP issued by DYCD. In FY 2012. As a result, YMCA does not currently provide these types of services.

Notwithstanding the above, YMCA continues to successfully contract with DYCD on a variety of other types of programs. Therefore, this poor performance does not have a negative impact on DYCD determining this contractor responsible.

| NO. | VENDOR NAME & ADDRESS | DOE SCHOOL LOCATION | ESTIMATED ANNUAL / TOTAL* CONTRACT AMOUNT |
|-----|---|--|---|
| 1 | Abbott House 100 N Broadway Irvington, NY 10533 | 09X064 1425 Walton Ave. Bronx, NY 10452 | \$616,691 / \$1,233,381 |
| 2 | ASPIRA of New York, Inc. 630 9 th Ave. New York, NY 10036 | 32K349 35 Starr St. Brooklyn, NY 11221 | \$427,586 / \$855,172 |
| 3 | ASPIRA of New York, Inc. 630 Ninth Ave. New York, NY 10036 | 10X080 149 East Mosholu Pkwy. Bronx, NY 10467 | \$645,361 / \$1,290,722 |
| 4 | ASPIRA of New York, Inc. 630 Ninth Ave. New York, NY 10036 | 12X692 1300 Boynton Ave. Bronx, NY 10472 | \$548,044 / \$1,096,088 |
| 5 | ASPIRA of New York, Inc. 630 Ninth Ave. New York, NY 10036 | 12X092 700 East 179th St. Bronx, NY 10457 | \$396,748 / \$793,496 |
| 6 | ASPIRA of New York, Inc. 630 Ninth Ave. New York, NY 10036 | 08X424 730 Bryant Ave. Bronx, NY 10474 | \$455,586 / \$911,172 |
| 7 | Catholic Charities Community Service, Archdiocese of New York 1011 First Ave. New York, NY 10022 | 06M468 549 Audubon Ave. New York, NY 10040 | \$609,262 / \$1,218,524 |
| 8 | Center for Supportive Schools 911 Commons Way Princeton, NJ 08540 | 16K393 1014 Lafayette Ave. Brooklyn, NY 11211 | \$360,000 / \$720,000 |
| 9 | Center for Supportive Schools 911 Commons Way Princeton, NJ 08540 | 25Q460 35-01 Union St. Flushing, NY 11354 | \$900,000 / \$1,800,000 |
| 10 | Center for Supportive Schools 911 Commons Way Princeton, NJ 08540 | 07X520 470 Jackson Ave. Bronx, NY 10455 | \$360,000 / \$720,000 |
| 11 | Center for Supportive Schools 911 Commons Way Princeton, NJ 08540 | 09X145 1000 Teller Ave. Bronx, NY 10456 | \$456,438 / \$912,876 |
| 12 | Center for Supportive Schools 911 Commons Way Princeton, NJ 08540 | 13K596 300 Willoughby Ave. Brooklyn, NY 11205 | \$360,000 / \$720,000 |
| 13 | Center for Supportive Schools 911 Commons Way Princeton, NJ 08540 | 09X328 1000 Teller Ave. Bronx, NY 10456 | \$360,000 / \$720,000 |
| 14 | Center for Supportive Schools 911 Commons Way Princeton, NJ 08540 | 09X325 1000 Teller Ave. Bronx, NY 10456 | \$360,000 / \$720,000 |

| NO. | VENDOR NAME & ADDRESS | DOE SCHOOL LOCATION | ESTIMATED ANNUAL / TOTAL* CONTRACT AMOUNT |
|-----|---|---|---|
| 15 | Community Association of Progressive Dominicans, Inc. 3940 Broadway New York, NY 10032 | 09X117 1865 Morris Ave. Bronx, NY 10453 | \$536,856 / \$1,073,712 |
| 16 | Counseling In Schools 505 Eighth Ave. New York, NY 10018 | 14K610 50 Bedford Ave. Brooklyn, NY 11222 | \$419,908 / \$839,816 |
| 17 | Counseling In Schools 505 Eighth Ave. New York, NY 10018 | 09X227 240 East 172nd St. Bronx, NY 10457 | \$537,038 / \$1,074,076 |
| 18 | Counseling In Schools 505 Eighth Ave. New York, NY 10018 | 23K493 2021 Bergen St. Brooklyn, NY 11215 | \$464,932 / \$929,864 |
| 19 | Counseling In Schools 505 Eighth Ave. New York, NY 10018 | 09X329 240 East 172nd St. Bronx, NY 10457 | \$360,000 / \$720,000 |
| 20 | Counseling In Schools 505 Eighth Ave. New York, NY 10018 | 17K334 1224 Park Place Brooklyn, NY 11213 | \$386,365 / \$772,730 |
| 21 | Counseling In Schools 505 Eighth Ave. New York, NY 10018 | 19K328 330 Alabama Ave. Brooklyn, NY 11207 | \$385,977 / \$771,954 |
| 22 | Counseling In Schools 505 Eighth Ave. New York, NY 10018 | 08X375 456 White Plains Rd. Bronx, NY 10473 | \$472,064 / \$944,128 |
| 23 | Cypress Hills Local Development Corporation 625 Jamaica Ave. Brooklyn, NY 11208 | 19K659 999 Jamaica Ave. Brooklyn NY 11208 19K583 999 Jamaica Ave. Brooklyn, NY 11208 | \$818,317 / \$1,636,634 |
| 24 | East Side House, Inc. 337 Alexander Ave. Bronx, NY 10454 | 08X405 3000 East Tremont Ave. Bronx, NY 10461 | \$807,019 / \$1,614,038 |
| 25 | East Side House, Inc. 337 Alexander Ave. Bronx, NY 10454 | 12X384 977 Fox St. Bronx, NY 10459 | \$360,000 / \$720,000 |
| 26 | Fordham University 441 East Fordham Road Bronx, NY 10458 | 09X324 250 East 164th St. Bronx, NY 10456 | \$511,973 / \$1,023,946 |
| 27 | Fordham University 441 East Fordham Road Bronx, NY 10458 | 10X331 40 West Tremont Ave. Bronx, NY 10468 | \$467,109 / \$934,218 |

| NO. | VENDOR NAME & ADDRESS | DOE SCHOOL LOCATION | ESTIMATED ANNUAL / TOTAL* CONTRACT AMOUNT |
|------------|---|--|--|
| 28 | Fordham University 441 East Fordham Road Bronx, NY 10458 | 10X438 500 East Fordham Rd. Bronx, NY 10468 | \$542,885 / \$1,085,770 |
| 29 | Fordham University 441 East Fordham Road Bronx, NY 10458 | 11X112 1925 Schieffelin Ave. Bronx, NY 10469 | \$397,364 / \$794,728 |
| 30 | Fordham University 441 East Fordham Road Bronx, NY 10458 | 10X085 2400 Marion Ave. Bronx, NY 10468 | \$575,388 / \$1,150,776 |
| 31 | Global Kids, Inc. 137 East 25 th Street, 2 nd Floor New York, NY 10010 | 27Q480 101-01 Rockaway Blvd. Queens, NY 11417 | \$900,000 / \$1,800,000 |
| 32 | Good Shepherd Services 305 Seventh Avenue New York, NY 10001 | 16K455 1700 Fulton St. Brooklyn, NY 11213 | \$440,795 / \$881,590 |
| 33 | Good Shepherd Services 305 Seventh Avenue New York, NY 10001 | 19K311 590 Sheffield Ave. Brooklyn, NY 11207 | \$360,000 / \$720,000 |
| 34 | Graham Windham 33 Irving Place New York, NY 10003 | 05M123 301 West 140th St. New York, NY 10030 | \$533,812 / \$1,067,624 |
| 35 | Grand St. Settlement Inc. 80 Pitt St. New York, NY 10002 | 14K071 215 Heyward St. Brooklyn, NY 11206 | \$567,624 / \$1,135,248 |
| 36 | Grand St. Settlement Inc. 80 Pitt St. New York, NY 10002 | 14K322 70 Tompkins Ave. Brooklyn, NY 11206 | \$360,000 / \$720,000 |
| 37 | Henry St. Settlement 265 Henry St. New York, NY 10002 | 01M292 220 Henry St. New York, NY 10002 | \$382,774 / \$765,548 |
| 38 | John Hopkins University 2701 N. Charles St. Baltimore, MD 21218 | 12X286 1001 Jennings St. Bronx, NY 10457 | \$438,507 / \$877,014 |
| 39 | John Hopkins University 2701 N. Charles St. Baltimore, MD 21218 | 26Q435 230-17 Hillside Ave. Queens, NY 11361 | \$900,000 / \$1,800,000 |
| 40 | John Hopkins University 2701 N. Charles St. Baltimore, MD 21218 | 08X332 965 Longwood Ave. Bronx, NY 10459 | \$360,000 / \$720,000 |

| NO. | VENDOR NAME & ADDRESS | DOE SCHOOL LOCATION | ESTIMATED ANNUAL / TOTAL* CONTRACT AMOUNT |
|-----|---|--|---|
| 41 | Leake and Watts Services, Inc. 463 Hawthorne Avenue Yonkers NY 10705 | 08X123 1025 Morrison Ave. Bronx, NY 10472 | \$458,454 / \$916,908 |
| 42 | Leake and Watts Services, Inc. 463 Hawthorne Avenue Yonkers NY 10705 | 12X217 977 Fox St. Bronx, NY 10459 | \$405,062 / \$810,124 |
| 43 | New York City Community Learning Schools Initiative, Inc. 52 Broadway New York, NY 10004 | 03M149 41 West 117 St. New York, NY 10026 | \$360,000 / \$720,000 |
| 44 | Partnership With Children, Inc. 299 Broadway New York, NY 10007 | 23K298 85 Watkins St. Brooklyn, NY 11212 | \$360,000 / \$720,000 |
| 45 | Partnership with Children, Inc. 299 Broadway New York, NY 10007 | 13K067 51 St Edwards St. Brooklyn, NY 11205 | \$399,629 / \$799,258 |
| 46 | Partnership with Children, Inc. 299 Broadway New York, NY 10007 | 19K306 970 Vermont St. Brooklyn, NY 11207 | \$428,687 / \$857,374 |
| 47 | Partnership with Children, Inc. 299 Broadway New York, NY 10007 | 04M409 2351 1st Ave. New York, NY 10035 | \$438,074 / \$876,148 |
| 48 | Partnership with Children, Inc. 299 Broadway New York, NY 10007 | 23K165 76 Lott Ave. Brooklyn, NY 11212 | \$390,016 / \$780,032 |
| 49 | Partnership with Children, Inc. 299 Broadway New York, NY 10007 | 23K284 213 Osborn St. Brooklyn, NY 11212 | \$472,199 / \$944,398 |
| 50 | Partnership with Children, Inc. 299 Broadway New York, NY 10007 | 13K301 344 Monroe St. Brooklyn, NY 11216 | \$360,000 / \$720,000 |
| 51 | Partnership with Children, Inc. 299 Broadway New York, NY 10007 | 04M377 319 East 117th St. New York, NY 10035 | \$360,000 / \$720,000 |
| 52 | Partnership with Children, Inc. 299 Broadway New York, NY 10007 | 16K534 787 Lafayette Ave. Brooklyn, NY 11221 | \$360,000 / \$720,000 |
| 53 | Phipps Neighborhoods, Inc. 902 Broadway New York, NY 10010 | 12X278 1180 Rev. J.A. Polite Ave. Bronx, NY 10459 | \$360,000 / \$720,000 |

| NO. | VENDOR NAME & ADDRESS | DOE SCHOOL LOCATION | ESTIMATED ANNUAL / TOTAL* CONTRACT AMOUNT |
|-----|---|--|---|
| 54 | Phipps Neighborhoods, Inc. 902 Broadway New York, NY 10010 | 11X272 3710 Barnes Ave. Bronx, NY 10467 11X370 3710 Barnes Ave. Bronx, NY 10467 11X289 3710 Barnes Ave. Bronx, NY 10467 | \$1,146,673 / \$2,293,346 |
| 55 | Phipps Neighborhoods, Inc. 902 Broadway New York, NY 10010 | 09X313 1600 Webster Ave. Bronx, NY 10457 | \$404,029 / \$808,058 |
| 56 | Phipps Neighborhoods, Inc. 902 Broadway New York, NY 10010 | 09X339 1600 Webster Ave. Bronx, NY 10457 | \$415,071 / \$830,142 |
| 57 | Phipps Neighborhoods, Inc. 902 Broadway New York, NY 10010 | 09X276 1701 Fulton Ave. Bronx, NY 10457 | \$404,158 / \$808,316 |
| 58 | Replications Incorporated 150 East 52nd St., 10th Floor New York, NY 10022 | 10X391 2225 Webster Ave. Bronx, NY 10457 | \$544,974 / \$1,089,948 |
| 59 | Replications Incorporated 150 East 52nd St., 10th Floor New York, NY 10022 | 08X530 965 Longwood Ave. Bronx, NY 10465 | \$360,000 / \$720,000 |
| 60 | Sheltering Arms Children and Family Services, Inc. 305 Seventh Avenue New York, NY 10001 | 09X022 270 East 163 St. Bronx, NY 10457 | \$436,845 / \$873,690 |
| 61 | South Asian Youth Action, Inc. 54-05 Seabury St. Elmhurst, NY 11373 | 27Q475 89-30 114th St. Richmond Hill, NY 11418 | \$900,000 / \$1,800,000 |
| 62 | Southern Queens Park Association 177-01 Baisley Blvd. Jamaica, NY 11434 | 20Q008 108-35 167 St. Jamaica, NY 11433 | \$528,912 / \$1,057,824 |
| 63 | Sports and Arts in Schools Foundation, Inc. 58-12 Queens Blvd., #1 Woodside, NY 11377 | 07X547 730 Concourse Village West Bronx, NY 10451 | \$516,075 / \$1,032,150 |
| 64 | Sports and Arts in Schools Foundation, Inc. 58-12 Queens Blvd., #1 Woodside, NY 11377 | 27Q053 10-45 Nameoke St. Queens, NY 11691 | \$370,159 / \$740,318 |

| NO. | VENDOR NAME & ADDRESS | DOE SCHOOL LOCATION | ESTIMATED ANNUAL / TOTAL* CONTRACT AMOUNT |
|-----|--|---|---|
| 65 | St Nicks Alliance Corp. 2 Kingsland Avenue Brooklyn NY 11211 | 14K126 424 Leonard St. Brooklyn, NY 11222 | \$480,954 / \$961,907 |
| 66 | Teachers College, Columbia University 525 West 120th St. New York, NY 10027 | 03M415 215 West 114 th St. New York, NY 10024 | \$452,046 / \$904,092 |
| 67 | Teachers College, Columbia University 525 West 120th St. New York, NY 10027 | 05M194 244 West 144 th St. New York, NY 10030 | \$393,922 / \$787,844 |
| 68 | The Child Center of NY, Inc. 60-02 Queens Boulevard Woodside, NY 11377 | 27Q197 825 Hicksville Rd. Far Rockaway, NY 11691 | \$441,769 / \$883,538 |
| 69 | The Child Center of NY, Inc. 60-02 Queens Boulevard Woodside, NY 11377 | 27Q400 156-10 Baisley Blvd. Jamaica, NY 11434 | \$544,982 / \$1,089,964 |
| 70 | The Children's Aid Society 105 East 22 nd Street New York, NY 10010 | 08X301 890 Cauldwell Ave. Bronx, NY 10456 | \$360,000 / \$720,000 |
| 71 | The Children's Aid Society 105 East 22 nd Street New York, NY 10010 | 04M050 433 East 100th St. New York, NY 10029 | \$365,548 / \$731,096 |
| 72 | The Committee for Hispanic Children and Families, Inc. 110 William St. New York, NY 10038 | 09X412 240 East 172nd St. Bronx, NY 10457 | \$360,000 / \$720,000 |
| 73 | The Tides Center 1014 Torney Avenue San Francisco, CA 94129 | 30Q111 37-15 13th St. Long Island City, NY 11101 | \$360,000 / \$720,000 |
| 74 | University Settlement Society of New York, Inc. 184 Eldridge Street New York, NY 10002 | 18K581 905 Winthrop St. Brooklyn, NY 11203 | \$360,000 / \$720,000 |
| 75 | Urban Arts Partnership 21 Howard St., #5 New York, NY 10013 | 24Q296 45-10 94th St. Elmhurst, NY 11373 | \$518,662 / \$1,037,324 |
| 76 | Urban Arts Partnership 21 Howard St., #5 New York, NY 10013 | 06M528 180 Wadsworth Ave. New York, NY 10033 | \$360,000 / \$720,000 |
| 77 | Urban Arts Partnership 21 Howard St., #5 New York, NY 10013 | 06M132 185 Wadsworth Ave. New York, NY 10033 | \$422,424 / \$844,848 |

| NO. | VENDOR NAME & ADDRESS | DOE SCHOOL LOCATION | ESTIMATED ANNUAL / TOTAL * CONTRACT AMOUNT |
|--|---|--|--|
| 78 | Wediko Children's Services 72-74 East Dedham St. Boston MA 02118 | 32K291 231 Palmetto St. Brooklyn, NY 11211 | \$456,553 / \$913,106 |
| 79 | Wediko Children's Services 72-74 East Dedham St. Boston MA 02118 | 10X363 120 East 184th St. Bronx, NY 10468 | \$463,551 / \$927,102 |
| 80 | Westhab, Inc. 8 Bashford St. Yonkers, NY 10701 | 07X162 600 Saint Ann's Ave. Bronx, NY 10455 | \$518,814 / \$1,037,628 |
| 81 | YMCA of Greater New York 5 West 63rd St., 6th Floor New York, NY 10023 | 07X154 333 East 135th St. Bronx, NY 10454 | \$408,366 / \$816,732 |
| ANNUAL / TOTAL CONTRACT AMOUNTS | | | \$38,589,030/ \$77,178,061 |

*The total amounts do not include the contract options amount.

Addenda

ADDENDUM TO REQUEST FOR AUTHORIZATION (RA) TO CONTRACT WITH PROVIDERS OF EARLY CHILDHOOD EDUCATION SERVICES FOR FOUR-YEAR-OLDS IN THE UNIVERSAL PREKINDERGARTEN (UPK) PROGRAM (RFP R1015)

| Estimated Annual / Total Contract Amounts | Funding Source | Contract Term | Options | Estimated Option Amount | Procurement Method | Is Contract Retroactive? | Contract Type |
|---|---|---------------|---------|-------------------------|---------------------|--------------------------|---------------|
| \$181,500/ 546,200 | New York State Education Department (NYSED), Tax Levy | Three Years | None | N/A | Negotiated Services | Yes | Requirement |

| | |
|----------------------------------|--|
| Vendor Name & Address | Greater Bright Light Learning Center Inc. 1320 Sutter Avenue Brooklyn NY 11208 |
|----------------------------------|--|

| Contract Manager | Lead Contracting Officer | Division of Contracts & Purchasing Contact |
|--|---|--|
| Xanthe Jory Executive Director of Expansion, Policy and Performance Division of Early Childhood Education | Sophia Pappas Chief Executive Officer Division of Early Childhood Education | Jay G. Miller Chief Administrator Vendor Research and Price Analysis |

PURPOSE

On July 30, 2014 the Panel for Educational Policy (Panel) approved Requests for Authorization (RA) to contract with 115 vendors respectively, including Greater Bright Light Learning Center (GBLLC) to provide high-quality instructional programming as part of the Universal Prekindergarten (UPK) program. As indicated in the approved RA, all of the vendors and their principal owners and officers were subject to a comprehensive background check, but these checks were not all completed prior to Panel approvals. Noteworthy information was since revealed regarding prime vendor GBLLC so, in accordance with the DOE commitment to report all known noteworthy information to the Panel, information concerning this vendor is summarized below.

DISCUSSION

GBLLC is debarred until July 8, 2016 by the New York State Worker's Compensation Board. Such debarments relate only to Public Works contracts, and are therefore not applicable to universal pre-kindergarten services. Moreover, the DOE has confirmed that the vendor has current worker's compensation insurance. Therefore, the DOE does not believe this matter precludes a determination that the vendor is responsible.

Additionally, the DOE sent several letters to GBLLC regarding the matters described below:

- Approximately \$34,000 owed to the IRS by GBLLC's controlling entity, Bright Light Baptist Church (Baptist Church). GBLLC provided documentation indicating that an offer in compromise was submitted to the IRS, but the IRS had not yet responded. Over eight months later, as the IRS matter was still outstanding, the DOE sent a letter to GBLLC letter providing GBLLC with the opportunity to respond in writing to show cause why the vendor should not be

deemed non-responsible. As the vendor demonstrated that the offer in compromise was still pending with the IRS, the DOE stayed the completion of the responsibility determination to allow GBLLC to receive a response from the IRS. Shortly thereafter, the DOE received a copy of an accepted installment agreement with the IRS and concluded that GBLLC should not be found a non-responsible vendor due to this matter.

- A September 1999 report from the New York City Department of Investigation (DOI) found that the Baptist Church did not exercise appropriate oversight of the Greater Bright Light Home Care Program ("Home Care Program"), which was funded in part by a NYC Human Resources Administration grant. DOI determined that two employees of the Home Care Program, one of which was the Executive Director, had conflicts of interest and that the Home Care Program failed to maintain sufficient financial oversight and basic accounting records. The DOE found no legal, fiscal, or operational relationship between the Baptist Church and the Home Care Program and therefore, concluded that neither the Baptist Church nor GBLLC should be held liable for the conduct of the Home Care Program or its IRS tax liabilities. Therefore, the DOE does not believe this matter precludes a determination that the vendor is responsible.

ADDENDUM TO REQUEST FOR AUTHORIZATION (RA) TO CONTRACT WITH PROVIDERS OF EARLY CHILDHOOD EDUCATION SERVICES FOR FOUR-YEAR-OLDS IN THE UNIVERSAL PREKINDERGARTEN (UPK) PROGRAM (RFP R1015)

| Estimated Annual / Total Contract Amounts | Funding Source | Contract Term | Options | Estimated Option Amount | Procurement Method | Is Contract Retroactive? | Contract Type |
|---|---|---------------|---------|-------------------------|---------------------|--------------------------|---------------|
| \$179,875.80/ 359,751.60 | New York State Education Department (NYSED), Tax Levy | Three Years | None | N/A | Negotiated Services | Yes | Requirement |

| | |
|----------------------------------|--|
| Vendor Name & Address | The Coconut Grove Childhood Education Center 9522 Avenue A Brooklyn NY 11236 |
|----------------------------------|--|

| Contract Manager | Lead Contracting Officer | Division of Contracts & Purchasing Contact |
|--|---|--|
| Xanthe Jory Executive Director of Expansion, Policy and Performance Division of Early Childhood Education | Sophia Pappas Chief Executive Officer Division of Early Childhood Education | Jay G. Miller Chief Administrator Vendor Research and Price Analysis |

PURPOSE

On July 30, 2014 and August 21, 2014 the Panel for Educational Policy (Panel) approved Requests for Authorization (RA) to contract with 115 vendors respectively, including The Coconut Grove Childhood Education Center (Coconut Grove), to provide high-quality instructional programming as part of the Universal Prekindergarten (UPK) program. As indicated in the approved RA, all of the vendors and their principal owners and officers were subject to a comprehensive background check, but these checks were not all completed prior to Panel approvals. Noteworthy information was since revealed regarding prime vendor Coconut Grove so, in accordance with the DOE commitment to report all known noteworthy information to the Panel, information concerning this vendor is summarized below.

DISCUSSION

A July 2014 background check of Coconut Grove revealed \$60,000 in tax liabilities owed to the IRS by the vendor. Coconut Grove provided documentation indicating that an offer in compromise was submitted to the IRS, but the IRS had not yet responded. After over nine months of communication with the vendor, the DOE sent a letter to Coconut Grove providing it with the opportunity to respond in writing to show cause why the vendor should not be deemed non-responsible. As the vendor demonstrated that the offer in compromise was still pending with the IRS, the DOE stayed the completion of the responsibility determination to allow Coconut Grove to receive a response from the IRS. Several months later, the DOE received a copy of an accepted installment agreement with the IRS and concluded that Coconut Grove should not be found non-responsible vendor due to this matter.

Technical Changes

UPK

**TECHNICAL CHANGES FOR PROVIDERS APPROVED UNDER PREVIOUS REQUESTS
FOR AUTHORIZATION OF EARLY CHILDHOOD EDUCATION SERVICES FOR FOUR YEAR-
OLDS IN UNIVERSAL PREKINDERGARTEN**

| Funding Sources | Contract Term | Is Contract Retroactive? | Contract Type |
|---|---------------|--------------------------|---------------|
| New York State Education Department (NYSED), Tax Levy | Various | Various | Requirements |

| Contract Manager | Lead Contracting Officer | Division of Contracts & Purchasing Contact |
|--|---|---|
| Shanny Spraus-Reinhardt Executive Director, Field Operations Division of Early Childhood Education | Sophia Pappas Chief Executive Officer Division of Early Childhood Education | Angela Edwards Chief Administrator Central Office Procurement |

PURPOSE

The table below shows technical changes for Universal Prekindergarten Service providers that were previously approved by Panel:

| Original Panel | Vendor Name | Site ID | Geographic Borough | Original | Revision |
|----------------------------|--|---------|--------------------|--|--|
| May 2016 Panel – Item # 3 | SACRED HEART CATHOLIC ACADEMY OF GLENDALE | QAER | Q | Name: Sacred Heart School TIN: 11-2202799 | Name: Sacred Heart Catholic Academy of Glendale TIN: 81-2780414 |
| May 2016 Panel – Item # 3 | RESURRECTION ASCENSION CATHOLIC ACADEMY | QAEN | Q | Name: Resurrection Ascension School TIN: 11-2235934 Estimated annual \$416,000 | Name: Resurrection Ascension Catholic Academy TIN: 81-2756467 Estimated annual \$416,700 |
| June 2015 Panel – Item # 6 | Catholic School Region of NorthEast/East Bronx | XAQK | X | SS. PHILIP & JAMES PARROCIAL ELEMENTARY SCHOOL | Catholic School Region of NorthEast/East Bronx |
| May 2016 Panel – Item # 6 | GROWING UP GREEN CHARTER SCHOOL II | 84Q372 | Q | Options 1 year | Options 1 two year or 2 one year |
| June 2015 Panel – Item # 6 | AMALGATED NURSERY SCHOOL | XAAH | X | Amalgamated Housing Corporation | Amalgamated Nursery School |

Technical Changes

Technical Changes – June 22, 2016 Meeting

Item 16: The Request for Authorization to extend the current contract with Votenet Solutions, Inc. for provision of online voting services incorrectly lists the two-year extension term as 06/01/2016 - 05/31/2018. The correct extension term is 04/01/2016 - 03/31/2018

Item 27: The Request for Authorization to contract for conflict resolution and peer mediation services with Street Corner Resources, Inc. detailed a contract term of 7/1/15 -6/30/16. The correct contract term should be 7/1/14 - 6/30/15.

Item 29: The Request for Authorization with East Flatbush Village, Inc. described the contract purpose as Peer Mediation & Conflict Resolution. The correct description should be “Anti-Gun Violence Prevention Initiative”.

Technical Changes - Prior Meetings

June 23, 2015, Item 7: The Request for Authorization to contract with New York University for provision of instructional support, professional development and materials for New York City Summer STEM 2015 program, detailed a contract term of 6/29/15 – 8/10/15. The correct the contract term is 4/20/15 – 8/31/15.

July 29, 2015, Item 16: The Request for Authorization to amend a contract with Hudson Valley Systems for the transition to in-house support of the New York City Automated Personnel System (NYCAPS) incorrectly states that capital and tax levy funding will be used. The correct funding source is Tax Levy.

April 20, 2016, Item 29: The Request for Authorization listed the vendor’s name as Phipps Community Development Corp. The vendor’s correct legal name is Phipps Neighborhoods, Inc.

April 20, 2016, Item 29: The Request for Authorization listed the vendor’s name as Sheltering Arms f/k/a Episcopal Social Services of New York Inc. The vendor’s correct legal name is Sheltering Arms Children and Family Services, Inc.

April 20, 2016, Item 29: The Request for Authorization listed the school site address for 19K583 as 557 Pennsylvania Avenue, Brooklyn NY 11207. The correct school site address 19K583 is 999 Jamaica Avenue, Brooklyn NY 11208.

May 18, 2016, Item 12: The Request for Authorization to contract with Gemini Electric and A&S Electric for provision of electrical maintenance services at schools requires corrections to estimates in as follows:

- The Request for Authorization presented an annual estimated amount of \$1,408,718. The correct annual estimated amount is \$1,408,719.

- The Request for Authorization presented a total estimated amount of \$7,043,592. The correct total estimated amount is \$7,043,595.
- The Request for Authorization presented an estimated option amount of \$1,042,066. The correct estimated option amount is \$1,056,539.
- The Request for Authorization presented an estimated total amount for Gemini Electric of \$5,267,349. The correct estimated total amount for Gemini Electric is \$5,267,350.
- The Request for Authorization presented an estimated total amount for A & S Electric of \$1,776,243. The correct estimated total amount for A & S Electric is \$1,776,245.
- The Request for Authorization presented a 5 Year Estimate Amount in Aggregate Class 1 for Gemini Electric of \$2,163,969. The correct 5 year estimate amount in Aggregate Class 1 for Gemini Electric is \$2,163,970.
- The Request for Authorization presented a 5 year estimate amount in Aggregate Class 4 for A & S Electric of \$1,776,243. The correct 5 Year estimate amount in Aggregate Class 4 for A & S Electric is \$ 1,776,245

May 18, 2016, Item 17: The Request for Authorization listed the vendor name as Houghton Mifflin Harcourt. The vendor's correct legal name is Houghton Mifflin Harcourt Publishing Company.