

EDUCATIONAL IMPACT STATEMENT:

The Proposed Grade Truncation of P.S. 111 Adolph S. Ochs (02M111) from K-8 to K-5 Beginning in the 2014-2015 School Year

I. Summary of Proposal

P.S. 111 Adolph S. Ochs (02M111, “P.S. 111”) is an existing district school located in school building M111 (“M111”) located at 440 West 53rd Street, Manhattan, NY 10019 in Community School District 2 (“District 2”). P.S. 111 currently serves students in kindergarten through eighth grade and offers two sections of full-day pre-kindergarten.

P.S. 111 admits kindergarten through fifth grade students through a zoned admissions process. P.S. 111 admits sixth through eighth grade students into two middle school programs: a zoned program, open to students who reside within the P.S. 111 middle school zone, and a screened program, open to students who reside inside or outside of the P.S. 111 zone. Students zoned to P.S. 111 for middle school, as well as students who are zoned to District 2 for middle school or attended a District 2 elementary school, may apply to both P.S. 111 middle school programs through the District 2 Middle School Choice process.¹ P.S. 111 is the only school organization housed in M111.

In collaboration with the school’s leadership, the New York City Department of Education (“DOE”) is proposing to implement a gradual “grade truncation” of P.S. 111’s middle school grades. If this proposal is approved, P.S. 111 will begin its grade truncation in the 2014-2015 school year, when P.S. 111 will no longer enroll new sixth grade students. Students in sixth and seventh grade at P.S. 111 in the 2013-2014 school year will continue at the school for seventh and eighth grade in 2014-2015, provided they meet promotional requirements. All eighth grade students at P.S. 111 in the 2013-2014 school year who meet promotional standards will apply to high school through the Citywide High School Admissions Process and enter ninth grade, as planned, in September of 2014.

During the 2014-2015 school year, P.S. 111 will serve students in kindergarten through fifth grade and students in seventh and eighth grade, and operate two sections of a full day pre-kindergarten program. During the 2015-2016 school year, P.S. 111 will serve students in kindergarten through fifth grade and students in eighth grade, as well as two sections of full day pre-kindergarten. During the 2014-2015 and 2015-2016 school years, eighth-grade students at P.S. 111 who meet promotional standards will apply to high school through the High School Admissions Process.

After the last class of eighth grade students graduates in June 2016, P.S. 111 will no longer serve students in middle school grades. In each year of implementation of this proposal, there may be students who do not meet promotional standards and are required to repeat a grade that the school will no longer serve. The Office of Student Enrollment will work with those families to determine appropriate placements in the students’ districts of residence. As per Chancellor’s Regulation A-101, these students may be placed in another school in their district of residence, including new middle schools proposed for the 2014-2015

¹ Through the District 2 Middle School Choice process, students receive priority admission into their zoned program when they rank that program on their District 2 Middle School Choice application. After a zoned school admits all zoned students matched to the zoned program during the middle school choice process, the school’s remaining spaces are open to out-of-zone District 2 students who indicated a preference for that school.

school year.²

P.S. 111's school leadership team initiated this proposal to truncate the school's middle school grades in January 2012 through the submission of a Letter of Intent ("LOI") to the Division of Portfolio Planning ("Portfolio"). Truncating P.S. 111's middle school grades will allow the school to more fully focus on serving its elementary school students.

The DOE anticipates that building M111 will have excess space once P.S. 111 has completed its grade truncation. The DOE does not currently have plans for the use of this space. Any significant changes to school utilization would be proposed in a separate Educational Impact Statement ("EIS") in accordance with Chancellor's Regulation A-190 and would be subject to approval by the Panel for Educational Policy ("PEP").

The DOE does not anticipate that the proposed truncation of P.S. 111 will impact its existing pre-kindergarten program. However, like all pre-kindergarten programs, its availability will depend on continued demand and funding.

II. Proposed or Potential Use of Building

The building in which P.S. 111 is located, building M111, has a target capacity of 789 students.³ In 2012-2013 the building serves 565 students,⁴ yielding a utilization rate of 72%.⁵ This means that the building is "underutilized."⁶

The current and proposed grade spans for P.S. 111 in building M111 over a five-year period are as follows:

Grade Spans						
DBN	School Name	2012-2013	2013-2014	2014-2015	2015-2016	2016-2017
02M111	P.S. 111 Adolph S. Ochs	K-8	K-8	K-5, 7-8	K-5, 8	K-5

In the 2016-2017 school year, once P.S. 111 has completed its grade truncation and serves students in kindergarten through fifth grades with two sections of full-day pre-kindergarten, there will be approximately 336-396 students served in M111, yielding a building utilization rate of approximately 43%-50%. As noted above, the DOE does not currently have plans for the use of the excess space in M111. Any significant changes to school utilization would be proposed in a separate EIS in accordance with Chancellor's Regulation A-190 and would be subject to approval by the PEP.

² In a separate Educational Impact Statement posted on April 3, 2013, the DOE has proposed to open a new middle school in District 2 beginning in the 2014-2015 school year. The new middle school seats at the proposed new school will replace those seats lost through the middle school truncation of P.S. 111. That proposal can be found at <http://schools.nyc.gov/AboutUs/leadership/PEP/publicnotice/2012-2013/May2013Proposals.htm>

³ The target capacity is based on the 2011-2012 Enrollment, Capacity, and Utilization Report ("Blue Book").

⁴ Based on the 2012-2013 Audited Register (as of October 26, 2012).

⁵ The utilization rate reported here may differ from that published in the 2011-2012 Blue Book because the building enrollment figures referenced throughout this document and used in the calculation of utilization rates are based on the charter headcount as of October 1, 2012 or the 2012-2013 Audited Register (as of October 26, 2012), which does not include Long Term Absences ("LTAs"), students who have been absent continuously for 30 days or more as of October 26, 2012. This methodology is consistent with the manner in which the DOE conducts planning and calculates space allocations and funding for all schools. In determining the space allocation for co-located schools, the Office of Space Planning will conduct a detailed site survey and space analysis of the building to assess the amount of space available in the building.

⁶ The most recent Under-Utilized Space Memorandum and List was updated on November 20, 2012, and can be accessed at:

http://schools.nyc.gov/NR/rdonlyres/6D8EA76A-82FA-4740-9ED1-66BCABEE8BFB/134525/UnderutilizedSpaceMemorandum112012_vFINALforprint.pdf

As described in more detail in the Blue Book, which is available at http://www.nycsca.org/Community/CapitalPlanManagementReportsData/Enrollment/2011-2012_Classic.pdf, a building's target utilization rate is calculated by dividing the aggregated enrollment of all school organizations in the building by the aggregated "target capacities" of those organizations. Each school organization's "target capacity" is calculated based upon the scheduled use of individual rooms as reported by principals during an annual facilities survey, the DOE's standards for goal classroom capacities (which are lower than the United Federation of Teachers ("UFT") contractual class sizes and differ depending on grade level), and the efficiency with which classrooms are programmed (i.e., the frequency with which classes are scheduled in a given classroom).

The most recent year for which target capacity has been calculated for buildings is 2011-2012. As described earlier in this EIS, the DOE's projected utilization rates for the 2012-2013 school year and beyond are based on the 2011-2012 target capacity, which assumes that the components underlying that target capacity (scheduled use of classrooms, goal classroom capacity, etc.) remain constant. Thus, projected utilization rates for 2012-2013 and beyond provide only an approximation of a building's usage because each of the factors underlying target capacity may be adjusted by principals from year to year to better accommodate students' needs. For example, changing the use of a room from an administrative room to a homeroom at the high school level will increase a building's overall target capacity because for high schools administrative rooms are not assigned a capacity. Holding enrollment constant, this change would result in a lower utilization rate. Similarly, if a room previously used as a kindergarten classroom is subsequently used as fifth grade classroom, the building's target capacity would increase because we expect that a fifth grade class will have more students than a kindergarten class. This is reflected in the fact that the DOE's goal for maximum classroom capacity is higher for fifth grade classrooms than for kindergarten classrooms. In this example, as well, assuming enrollment is constant, the utilization rate would decrease.

III. Impact of the Proposal on Affected Students, Schools, and Community

A. Students

Impact on Students Currently Attending P.S. 111

P.S. 111 currently serves students in kindergarten through eighth grade and offers a full-day pre-kindergarten program. If this proposal is approved, P.S. 111 will gradually stop serving middle school students in sixth through eighth grade. After the 2013-2014 school year, P.S. 111 will no longer enroll sixth grade students. After the 2014-2015 school year, P.S. 111 will no longer enroll seventh grade students. After the 2015-2016 school year, P.S. 111 will no longer enroll eighth grade students. The DOE does not anticipate that the proposed truncation of P.S. 111 will affect its elementary school grades or its pre-kindergarten program.

In each of the years of implementation of this proposal, there may be students who do not meet promotional standards and are required to repeat a grade that the school will no longer serve. The Office of Student Enrollment will work with those families to determine appropriate placements in the students' districts of residence. As per Chancellor's Regulation A-101, these students may be placed in another school in their district of residence. In all years of implementation, eighth-grade students at P.S. 111 who meet promotional standards will apply to high school through the High School Admissions Process.

Current kindergarten through fifth grade students will continue to have the option to remain enrolled at P.S. 111 until they complete fifth grade and transition to middle school. As is currently the case, all P.S. 111 fifth grade students should apply to middle school through the District 2 Middle School Choice process; those who meet promotional requirements will graduate from P.S. 111 and start middle school the following school year as sixth grade students. If a P.S. 111 fifth grade student does not meet promotional standards at the conclusion of any school year, then the student will be retained at P.S. 111. Students at P.S. 111 in sixth and seventh grade who continue to meet promotional requirements can continue to attend middle school at P.S. 111 through the completion of eighth grade.

Current P.S. 111 elementary students who are scheduled to enter middle school in the 2014-2015 school year or beyond will not attend middle school at P.S. 111. Instead, all fifth grade students will be issued a Middle School Choice application in the Spring of their fifth grade year for enrollment in sixth grade the following September. Fifth grade students completing their middle school applications should apply to the middle schools they would most like to attend. More information on the District 2 Middle School Choice Process is provided below.

Under the District 2 Middle School Choice process, students rank their preferences from among District 2 middle schools.⁷ Excluding P.S. 111, there are currently 20 district schools in District 2 serving middle school grades.⁸ In the District 2 Middle School Choice process, students rank their preferences from among District 2 middle schools. These options include:

- Choice middle schools or programs with a screened admissions method (admission is based on criteria designated by the school);
- Zoned middle schools;
- K-8 schools with a zoned, screened, school-based application, or limited unscreened admissions method that have available seats for middle school students (limited unscreened schools admit students on the same basis as unscreened schools except that they give preference to students who have attended a school information session);
- 6-12 schools with a screened or limited unscreened admissions method for middle school students.
- Schools with borough-wide or Citywide eligibility with unscreened, limited unscreened, or screened admissions method.

In addition, screened and audition middle schools and alternative programs are offered through referrals. In this way, the DOE is able to offer individual students the widest breadth of options across a large number of schools.

Through the District 2 Middle School Choice process, students receive priority admission into their zoned middle school program when they rank that program on their District 2 Middle School Choice application. After a zoned program admits all zoned students matched during the middle school choice process, the program's remaining spaces are open to out-of-zone District 2 students who indicated a preference for that program.

All students who reside in District 2 or who attend a District 2 elementary school and wish to enroll in a District 2 middle school should participate in the District 2 Middle School Choice Process.

Students who do not participate in the process may still enroll in a District 2 middle school through over-

⁷ More information about the District 2 Middle School Choice process is available at <http://schools.nyc.gov/ChoicesEnrollment/Middle>.

⁸ This figure includes three middle schools with Citywide admissions priority and one middle school that gives priority to residents of both District 2 and District 4. The figure excludes one school in the process of phasing in to serve middle school grades and two planned new middle schools. Spruce Street School (02M397) currently serves students in kindergarten through third grade but is phasing in to serve students in kindergarten through eighth grade. The DOE has proposed to site a new middle school in building M338 proposed to open in the 2014-2015 school year. That proposal can be found at <http://schools.nyc.gov/AboutUs/leadership/PEP/publicnotice/2012-2013/May2013Proposals.htm>. In addition, the DOE has announced plans to site a new middle school in building M158 to open in the 2014-2015 school year.

the-counter (“OTC”) placement. When a student eligible for middle school needs an over-the-counter placement, his or her school assignment is determined by his or her interest, home address, which schools have available seats and, where applicable, transfer guidelines. Students are eligible to attend middle school based on the district of elementary school attendance or the district to which the student’s address is zoned for middle school. In un-zoned areas, the student visits a Borough Enrollment Office where he or she meets with a counselor who reviews options that will meet the student’s needs. However, in many areas, students may simply report to their zoned middle school at the start of the school year.

Excluding P.S. 111, the middle school options available to P.S. 111 students and their peers throughout District 2 are listed in the table below.⁹ There are no charter schools in District 2 currently serving middle school students.¹⁰

⁹ This chart excludes Spruce Street School (02M397), which is currently in the process of phasing in to serve middle school grades, and two new middle schools which are expected to open in District 2 in the 2014-2015 school year.

¹⁰ Great Oaks Charter School (84MTBD) will open in District 2 in the 2013-2014 school year, serving students in grade six. Great Oaks Charter School is authorized to serve students in grades six through ten.

DBN	School Name	Address	Grade Span in 2012-2013	Grade Span at Scale	Admissions Method ¹¹
District Options					
02M104	J.H.S. 104 Simon Baruch	330 East 21st Street	6-8	6-8	Zoned; Screened
02M114	East Side Middle School	331 East 91st Street	6-8	6-8	Screened
02M126	P.S. 126 Jacob August Riis	80 Catherine Street	K-8	K-8	Screened
02M131	M.S. 131	100 Hester Street	6-8	6-8	Zoned; Screened
02M167	J.H.S. 167 Robert F. Wagner	220 East 76th Street	6-8	6-8	Zoned; Screened
02M217	P.S./I.S. 217 Roosevelt Island	645 Main Street	K-8	K-8	Zoned; Screened
02M225	Ella Baker School	317 East 67th Street	K-8	K-8	School-based Application
02M255	M.S. 255 Salk School of Science	319 East 19th Street	6-8	6-8	Screened
02M260	M.S. 260 Clinton School Writers & Artists	425 West 33rd Street	6-8	6-8	Screened
02M276	Battery Park City School	55 Battery Place	K-4, 6-8	K-8	Limited Unscreened
02M289	I.S. 289	201 Warren Street	6-8	6-8	Screened
02M312	New York City Lab Middle School for Collaborative Studies	333 West 17th Street	6-8	6-8	Screened
02M347	The 47 American Sign Language & English Lower School	223 East 23rd Street	K-8	K-8	School-based Application
02M413	School of the Future High School	127 East 22nd Street	6-12	6-12	Screened
02M422	Quest to Learn	351 West 18th Street	6-9	6-12	Limited Unscreened

¹¹ Admission Method data exists for all programs that utilize the Middle School Choice admission process and are listed for every program at the school. Zoned programs are listed as "zoned" and those schools utilizing school-based applications are listed as "School Based Application."

02M655 ¹²	Life Sciences Secondary School	320 East 96th Street	6-12	6-12	Screened
02M896	Lower Manhattan Community Middle School	26 Broadway	6-8	6-8	Screened
Manhattan-wide Choice Options					
04M610	Young Women's Leadership School	105 East 106 Street	6-12	6-12	Screened
City-wide Choice Options					
01M539	New Explorations into Science, Technology and Math High School	111 Columbia Street	K-12	K-12	School-based Application
02M407	Institute for Collaborative Education	345 East 15th Street	6-12	6-12	School-based Application
02M408	Professional Performing Arts High School	328 West 48th Street	6-12	6-12	School-based Application
02M442	Ballet Tech, NYC Public School for Dance	890 Broadway	4-8	4-8	School-based Application
03M334	The Anderson School	100 West 77th Street	K-8	K-8	School-based Application
03M859	Special Music School	129 West 67th Street	K-8	K-12	School-based Application
04M012	Tag Young Scholars	240 East 109th Street	K-8	K-8	School-based Application
21K239	Mark Twain I.S. 239 for the Gifted & Talented	2401 Neptune Avenue	6-8	6-8	Test Outcome

¹² Life Sciences Secondary School gives priority to students and residents of both District 2 and District 4.

Additional information regarding special programs and courses offered by the schools listed above is available in the Middle School Directory, which is updated yearly.¹³

If this proposal is approved by the PEP on May 22, 2013, P.S. 111 will be removed from the District 2 Middle School Choice application and matching process after the 2013-2014 school year, and no fifth-grade students will be matched to P.S. 111 for sixth grade enrollment in the 2014-2015 school year. Additionally, new middle schools designated to open throughout the City for the 2014-2015 school year will be available for these students to consider. Through the District 2 Middle School Choice process students are offered the opportunity to apply to a range of middle schools within their district and/or schools with borough-wide or Citywide eligibility.

Students with Disabilities and ELL Students

P.S. 111 will continue to meet the needs of students with disabilities and English Language Learner (“ELL”) students. Current students with Individualized Education Programs (“IEPs”) or those requiring ELL services will continue to receive appropriate services at P.S. 111. P.S. 111 currently offers Integrated Co-Teaching (“ICT”) classes, Self-Contained (“SC”) special education classes, and Special Education Teacher Support Services (“SETSS”). P.S. 111 also offers English as a Second Language (“ESL”) services to its ELL students and will continue to do so if this proposal is approved. P.S. 111 will also continue to meet the needs of its students with disabilities, in accordance with their IEPs.

P.S. 111 students with IEPs will participate in the middle school admissions processes in the same manner as their non-disabled peers. Middle schools will be supported by the DOE in reviewing students' IEPs to focus on addressing the needs of students with disabilities and providing individualized special education services models that ensure students have access to the general education curriculum to the greatest extent possible. It is expected that the middle school to which a student is matched will provide individualized special education services to meet the student's needs.

In accordance with DOE policy, ELL students participate in the middle school admissions processes in the same manner as their peers who are not ELL students. Information regarding the type(s) of ELL programs historically offered by the middle schools that are available to impacted students can be found in the Middle School Directory for District 2 (<http://schools.nyc.gov/ChoicesEnrollment/Middle/Publications>).

Impact on Future Elementary School Students in District 2

The proposal to truncate the middle school grades at P.S. 111 is not expected to impact the admissions process at P.S. 111 for its elementary school grades. If this proposal is approved, beginning in the 2016-2017 school year, P.S. 111 will no longer serve students in middle school grades. P.S. 111 will continue to admit kindergarten through fifth grade students, giving priority to students who live in its zone, as it has in the past and in accordance with Chancellor's Regulation A-101. The full details of A-101 can be found at: <http://schools.nyc.gov/RulesPolicies/ChancellorsRegulations/default.htm>.

Zoned schools are obligated to serve all students residing in their zone, space permitting, regardless of when families show up to register. Applicants must be admitted to zoned schools in the following order of priority:

- a. Zoned students whose verified siblings are pre-registered or enrolled at the time of application submission and will be enrolled in grades kindergarten through five in the school at the start of the following school year in September;
- b. Zoned students other than those in (a) above applying to the zoned school;

If space allows, and if the Office of Student Enrollment deems appropriate based on district needs, offers may be authorized for the following priority groups, in the below order. Only the Office of Student

¹³ The District 2 Middle School Directory is available at: <http://schools.nyc.gov/NR/rdonlyres/06C7611A-4AAE-451C-BD21-BF6A9C34E14B/0/201213D2MSD.pdf>

Enrollment may authorize the placement of non-zoned students out of this priority order; for example, for students who cannot be accommodated at their zoned school, or for special programs such as dual language or inclusion classes for students with Autism Spectrum Disorders.

- c. Students whose verified siblings are pre-registered or enrolled at the time of application submission and will be enrolled in grades kindergarten through five in the school at the start of the following school year in September who are not zoned to the school but are residents of that district;
- d. Students whose verified siblings are pre-registered or enrolled at the time of application submission and will be enrolled in grades kindergarten through five at the start of the following school year in September who are residents of another district;
- e. Students currently attending the school's pre-kindergarten program who reside outside the school's zone but in the school's district, without a sibling who will be in grades kindergarten through five at the school in the following school year;
- f. Students currently attending the school's pre-kindergarten program who reside outside the school's zone and district, without a sibling who will be in grades kindergarten through five at the school in the following school year;
- g. Students other than those in (c) and (e) above who are residents of that district; and
- h. Students other than those in (d) and (f) who are residents of another district.

Elementary school students with IEPs, with the exception of those recommending placement in a District 75 school, are admitted to schools in the same manner as general education students. Schools will create programs that meet the needs of all students, ensuring students with IEPs access to learn alongside their non-disabled peers to the greatest extent possible.

In accordance with DOE policy, ELL students will also be placed in elementary schools in the same manner as their peers who are not ELL students. Any students requiring ELL services will continue to receive appropriate services at their zoned school.

Impact on Future Middle School Students in District 2

P.S. 111 currently admits sixth grade students into both its zoned and screened programs through the District 2 Middle School Choice process. If this proposal is approved, P.S. 111 will no longer serve students in middle school grades following the conclusion of the 2016-2017 school year, though students will continue to have access to a broad range of middle school options through the District 2 Middle School Choice process, which is outlined in more detail above.

The sixth, seventh, and eighth grade seats lost as a result of P.S. 111's truncation will be replaced by a new middle school proposed for the 2014-2015 school year. The proposal for a new middle school can be found at <http://schools.nyc.gov/AboutUs/leadership/PEP/publicnotice/2012-2013/May2013Proposals.htm>. As stated above, all District 2 students entering middle school in the 2014-2015 school year and beyond will do so via the District 2 Middle School Choice process. Thus, every fifth grade student will be issued a middle school application in the fall of their fifth grade school year and should apply to the middle schools they would most like to attend. As discussed in section III.C. below, there is sufficient space in proposed and existing district middle schools to accommodate future students who otherwise would have attended P.S. 111 for middle school.

Impact on Extracurricular Programs at P.S. 111

P.S. 111 will not be required to make any immediate changes to academic or extracurricular programs currently offered at P.S. 111. However, the availability of certain offerings at the school will inevitably be impacted as the school implements its grade truncation and serves a smaller student population.

With respect to academics, P.S. 111 will continue to offer all necessary classes to support current students as they work to meet promotional requirements. As total enrollment at the school shrinks, the school may scale back its special programs and initiatives or enrichment classes. It is difficult to predict how those changes may be implemented as decisions will rest with school administrators and will be made based on student demand as well as staff and budget conditions at the school.

P.S. 111 currently offers the following special programs, extra-curricular activities, and partnerships:¹⁴

Special Programs:

- Advisory and Arts Electives (MS grades)
- Spanish and American Sign Language
- After School Enrichment Program
- Extended Time and Extended Day ESL program
- Mighty Milers Club
- Math Lunch Clubs

Extra-curricular activities:

- Band
- Boys Sports: Baseball, Basketball, Fitness, Flag Football, Outdoor Track, Soccer, Volleyball, CHAMPS (MS grades)
- Girls Sports: Basketball, Fitness, Flag Football, Outdoor Track, Soccer, Softball, Volleyball, CHAMPS (MS grades)
- Peer Mediation
- Kenneth Cole Mentoring Program
- Spoons Across America
- NY Junior Tennis League
- Downtown United Soccer Club
- Puppetry After School Program
- Salsa After School Program
- Sports and Arts After School Program
- Young Runners
- Young Storytellers

Partnerships:

- ING
- New Victory Theater
- Teachers and Writers Collaborative
- Everybody Wins (Power Lunch)
- Big Brothers Big Sisters of New York City (MS grades)
- Cooperative, Healthy, Active, Motivated, Positive Students (CHAMPS) (MS grades)
- Roundabout Theater Company (MS grades)
- Music and the Brain
- New York City Ballet (MS grades)
- Tada! Youth Theater (MS grades)
- Orchestra of St. Lukes
- Morgan Stanley
- Legg Mason
- NY Cares

¹⁴ Principal reported information. For more information, please visit P.S. 111's Web site at <http://schools.nyc.gov/SchoolPortals/02/M111/default.htm> and the District 2 Middle School Directory at <http://schools.nyc.gov/NR/rdonlyres/06C7611A-4AAE-451C-BD21-BF6A9C34E14B/0/201213D2MSD.pdf>

- Hudson Guild
- PAL
- Hartley House
- Psychoanalytical Society
- BookPals
- Museum of Modern Art
- New York University Wallerstein Children and Natural Project
- Urban Advantage (MS grades)
- Dancing Classrooms
- Random House
- Story Pirates

P.S. 111 may continue to offer these options, but the number and range of programs offered may gradually diminish due to declining student enrollment as the grade truncation is implemented. Again, it is difficult to predict precisely how those changes might be implemented as decisions will rest with school administrators and will be made based on student interests and available resources. That is true for any City student as all schools modify extracurricular offerings annually based on student demand and available resources.

As the grade truncation is implemented, the DOE will work with P.S. 111 staff to enhance existing partnerships or develop new partnerships if specific, new student needs emerge as the school's grade configuration changes. As appropriate, the DOE will work with P.S. 111 to introduce or expand partnerships with the community organizations that currently support P.S. 111 students and other District 2 elementary school students.

B. Schools

P.S. 111 is the only school currently located in building M111 and is therefore the only organization in the building impacted by this proposal.

By truncating the middle school grades at P.S. 111, this proposal will reduce the number of students enrolled at a school in building M111 by approximately 170 - 230 students.¹⁵ If the proposal to truncate P.S. 111 is approved, there will continue to be sufficient space to serve P.S. 111 elementary school students pursuant to the Citywide Instructional Footprint (the "Footprint"). Please visit the DOE's Web site to access the Footprint, which guides space allocation and use in City schools.¹⁶

C. Community

The proposed truncation will enable P.S. 111 to better support elementary school students. Creating a single entry grade can help schools build and foster stronger communities.

If this proposal is approved, District 2 will have sufficient middle school capacity to accommodate the sixth grade students who would have otherwise enrolled at P.S. 111 for sixth grade.

According to the 2012-2013 Audited Register (as of October 26, 2012), P.S. 111 is currently serving only 63 sixth grade students.

In a separate Educational Impact Statement ("EIS"), the DOE has also proposed to open a new middle school in District 2 in the 2014-2015 school year in building M338 for a one year temporary co-location before it moves to its long-term site at building M933, located at 425 West 33rd Street, Manhattan, NY 10001. The PEP will vote on this and other proposals on May 22, 2013. If that proposal is approved, the

¹⁵ Based on the 2012-2013 Audited Register (as of October 26, 2012).

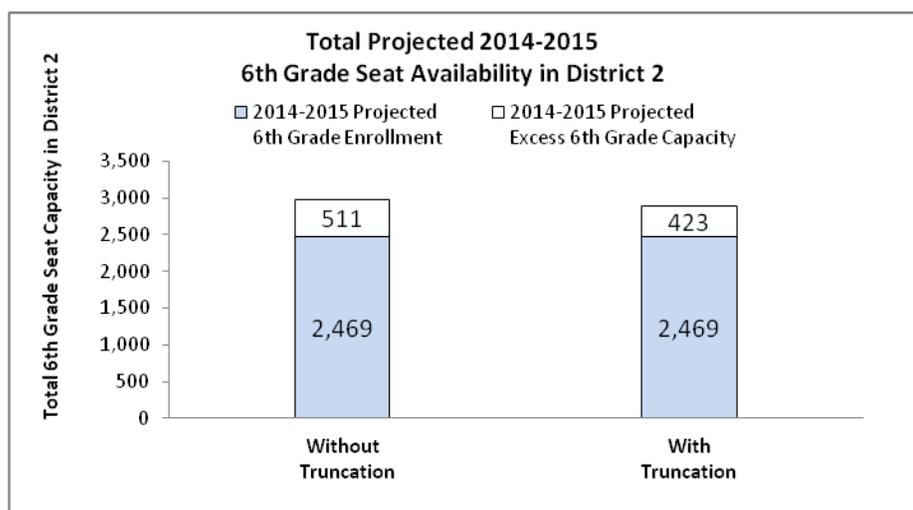
¹⁶ The Footprint is available at: <http://schools.nyc.gov/community/planning/default.htm> under "Key Documents."

new school will offer 75-85 new sixth grade seats in 2014-2015.¹⁷ The DOE is currently discussing the possibility of opening a new middle school in building M158 in District 2, but no proposal has been posted for that school's opening and co-location in M158 at the time of this proposal's posting. If the DOE moves forward with these plans, the new middle school will also create 75-85 new sixth grade seats. In addition, Great Oaks Charter School (84MTBD) will have sixth grade seats available to District 2 residents beginning in 2013-2014. Great Oaks Charter School will be located in private space and does not have a DOE proposal associated with its opening and siting.

Assuming the proposal to truncate P.S. 111 is approved and the aforementioned plans are implemented, District 2 is projected to have a total of 2,892 sixth grade seats available in 2014-2015.¹⁸ The projected sixth grade enrollment in District 2 middle schools in 2014-2015 is approximately 2,469 students, which includes those students who would have been served by P.S. 111.¹⁹

Thus, if this proposal is approved, there will still be 423 excess sixth grade seats in District 2 middle schools in 2014-2015.

The chart below illustrates the information described above indicating that the district will have sufficient sixth grade seat availability, even after the proposed grade truncation at P.S. 111. Specifically, there will still be an excess of 423 sixth grade seats if P.S. 111 were to be truncated.²⁰



Finally, this proposal is not expected to impact the ability of community members and organizations to obtain school building use permits at M111.

¹⁷ That proposal can be found at <http://schools.nyc.gov/AboutUs/leadership/PEP/publicnotice/2012-2013/May2013Proposals.htm>.

¹⁸ The sixth grade seat capacity is derived by multiplying the weighted sixth grade audited enrollment (%) by the 2011-2012 Blue Book org capacity. Total sixth grade seat capacity excludes sixth grade seats at schools that have been approved or proposed for closure. Total sixth grade seat capacity includes sixth grade seats at approved, proposed, or planned new schools, as well as sixth grade seats at schools expanding to serve middle school grades beginning in 2013-2014.

¹⁹ Based on sixth grade enrollment from the 2012-2013 Audited Register (as of October 26, 2012).

²⁰ On March 11, 2013 the Panel for Educational Policy approved the co-location of Success Academy Charter School - Manhattan Middle School in building M625 in District 2 beginning in the 2015-2016 school year. The school will open with grade five and is projected to serve grades five through eight at full scale. Success Academy Charter School - Manhattan Middle School is expected to create 125-150 new sixth grade seats when it reaches full scale; these seats are not included in the above analysis as they will not be available until 2016-2017. In addition, Spruce Street School is currently phasing in to serve middle school grades; however, these seats are not included in the above analysis as Spruce Street School will not begin serving middle school grades until 2015-2016.

IV. Enrollment, Admissions and School Performance Information

P.S. 111

Admissions Data

Current Admissions	PK: Standard universal pre-kindergarten admissions process Grades K-5: Zoned Grades 6-8: District 2 Middle School Choice; Admissions Method: Screened and Zoned
Admissions After Truncation	PK: Standard universal pre-kindergarten admissions process Grades K-5: Zoned

Enrollment Data²¹

	PK ²²	Grade K	Grade 1	Grade 2	Grade 3	Grade 4	Grade 5	Grade 6	Grade 7	Grade 8	Total Enrollment
2012-2013 (audited)	36	53	56	56	60	51	63	63	61	66	565
2013-2014 (projections)	36	50-60	50-60	50-60	50-60	55-65	45-55	60-70	60-70	55-65	511-601
2014-2015 (projections)	36	50-60	50-60	50-60	50-60	50-60	55-65	-	60-70	60-70	461-541
2015-2016 (projections)	36	50-60	50-60	50-60	50-60	50-60	50-60	-	-	60-70	396-466
2016-2017 (projections)	36	50-60	50-60	50-60	50-60	50-60	50-60	-	-	-	336-396

Demographic Data²³

Percentage of Students Receiving ICT or SC Services	18%
Percentage of Students with Individualized Education Programs	27%
Percentage of English Language Learner Students	18%
Percentage of Students Eligible for Free or Reduced Lunch	50%

²¹ All figures are from the 2012-2013 Audited Register (as of October 26, 2012).

²² Pre-Kindergarten ("PK") is a program that can be offered both half-day and full-day; the projection figures represent the full day equivalency.

²³ All figures are as a percentage of total students from the 2012-2013 Audited Register (as of October 26, 2012).

School Performance Data

P.S. 111 Adolph S. Ochs	2009-2010	2010-2011	2011-2012
School Performance and Progress			
Overall Progress Report Grade	B	C	C
Quality Review Score ²⁴	N/A ²⁵	N/A	D
Performance Data			
English Language Arts % Proficient (Levels 3 and 4)	28%	32%	29%
Math % Proficient (Levels 3 and 4)	48%	43%	48%
Other Key Performance Indicators			
Attendance Rate	93%	93%	94%
2012-2013 State Accountability Status²⁶	In Good Standing		

V. Initial Impact on Budget and Cost of Instruction

Most funding in schools' budgets is allocated on a per-pupil basis, based on current Fair Student Funding ("FSF") per capita allocation levels, which are subject to annual variation. FSF covers basic instructional expenses and FSF funds may, at the school's discretion, be used to hire staff, purchase supplies and materials, or implement instructional programs.

As a result of the truncation, the total number of students enrolled at P.S. 111 will decline each year of implementation, meaning that the school's budget will decrease, and it will need fewer teachers and fewer supplies to meet the needs of its smaller student population. Funding will be provided in accordance with enrollment levels, allowing the school to meet the instructional needs of its student population. This is how funding is awarded to all schools throughout the City, with budgets naturally increasing or decreasing as enrollment fluctuates from year to year.

Please refer to the Fair Student Funding & School Budget Resource Guide FY 2013 ("FSF Guide") and FY13 School Allocation Memoranda for additional information on cost of instruction and how the changes to FSF funding and other school allocations will be impacted as a result of register changes at P.S. 111.

The FSF Guide is available at:

http://schools.nyc.gov/offices/d_chanc_oper/budget/dbor/allocationmemo/fy12_13/FY13_PDF/FSF_Guide.pdf.

The FY13 School Allocation Memoranda is available at:

http://schools.nyc.gov/offices/d_chanc_oper/budget/dbor/allocationmemo/fy12_13/AM_FY13_CAT.html.

Staffing changes are at the discretion of the school within the limits of contractual and mandated obligations.

²⁴ Quality Reviews rate school on the following four-point scale: "Underdeveloped" or "U" (the lowest possible rating), "Developing" or "D," "Proficient" or "P," and "Well Developed" or "WD" (the highest possible rating). For more information about Quality Reviews, please visit the DOE's Web site at: <http://schools.nyc.gov/Accountability/tools/review>.

²⁵ Not all schools receive a Quality Review every year.

²⁶ More information about the state accountability status of schools is available at <http://www.p12.nysed.gov/irs/accountability/>

VI. Effect on Personnel Needs, Administration, Transportation and Other Support Services

A. Personnel Needs

Some current P.S. 111 staff positions will be excessed due to the declining enrollment associated with the truncation of the sixth through eighth grade classes at P.S. 111. It is difficult to precisely predict the number of affected positions.²⁷

Any excessing that might be necessary would be conducted in accordance with existing labor contracts. For example, the current UFT contract would require excessing to take place in reverse seniority order within each given teaching license area. Most teachers working in grades 1 through 6 hold a “Common Branch” license, regardless of their students’ age.

Barring system-wide layoffs, excessed teachers would be eligible to apply for other City positions, and any teacher who did not find a permanent position would be placed in the Absent Teacher Reserve pool, meaning that they would continue to earn their salary while serving in the capacity of a substitute teacher in other City schools. Should there be a vacancy in the school in a teacher’s license area within one year of the teacher being excessed, the teacher would have a right of return to the school, consistent with applicable contractual provisions regarding teachers’ seniority.

When addressing the proposal’s impact on personnel, it is also important to understand that the students who would otherwise have enrolled in P.S. 111’s middle school grades may enroll in other new schools opening borough-wide, and those schools might need to hire additional staff. Consequently, this proposal will not necessarily result in a net loss of teaching positions within the Citywide system.

B. Administration

As mentioned above, some current P.S. 111 staff positions will be excessed due to the declining enrollment associated with the truncation of the sixth through eighth grade classes at P.S. 111. It is difficult to precisely predict the number of affected positions.

C. Transportation

There will be no change to existing transportation practices at P.S. 111 throughout its truncation. Transportation will be provided according to Chancellor’s Regulation A-801:
<http://schools.nyc.gov/NR/rdonlyres/21A1B11A-886B-4F74-9546-E875EE82A14C/40303/A801.pdf>.

D. Other Support Services

Other support services will continue to be provided consistent with Citywide policy.

²⁷ Excessing of staff occurs when a school requires fewer positions than the number of staff currently in the license area or job title.

VII. Building Information

Building		M111
Type of Building		PS
Year Built		1958
Overall BCAS rating		2.44
2011-2012 Target Building Utilization		74%
2011-2012 Target Building Capacity		789
FY 2012 Maintenance Costs	Labor	\$37,762
	Materials	\$31,296
	Maintenance and repair contracts	\$2,179
	Service contracts	\$0
	Custodial operations costs—Materials	\$6,294
	Custodial operations costs—Custodial Allocation	\$249,667
FY 2012 Energy Costs	Electric	\$91,338
	Gas	\$1,414
	Oil	\$105,734
Projects completed during the current or prior school year		LL 41/16 Compliance, Low-Volt Elec Systems
Projects proposed in the capital plan		Building Upgrade - Low Voltage Electrical System (Aux Signal/Bell, Fire Alarm, Public Address, Sprinkler Alarm Valve)
Accessibility of the building		Building is not Functionally Programmatically Accessible
Building attributes		Art Rooms, Auditorium, Cafeteria, Computer Rooms, Gymnasium, Library, Nurse's Office, Science Lab