

EDUCATIONAL IMPACT STATEMENT:

The Proposed Re-siting of Innovation Diploma Plus (03M404)

to Building M233 Beginning in 2013-2014

I. Summary of Proposal

The New York City Department of Education (“DOE”) is proposing to re-site Innovation Diploma Plus (03M404, “Innovation”), an existing transfer school¹ that serves students 16 years of age and older and organizes students by credit needs, not grade level. Innovation is currently located in building M470 (“M470”) at 145 West 84th Street, New York, NY 10024 within the geographical confines of Manhattan’s Community School District 3. If this proposal is approved, Innovation will be re-sited to building M233 (“M233”), which is located at 601 West 183rd Street, New York, NY 10033, within the geographical confines of Manhattan’s Community School District 6, beginning in the 2013-2014 school year.

Innovation is currently co-located in M470 with The Urban Assembly School for Green Careers (03M402), an existing career and technical high school that serves students in grades nine through twelve; The Global Learning Collaborative (03M403), an existing high school that serves students in grades nine through twelve; Frank McCourt High School (03M417, “Frank McCourt”), an existing high school that currently serves students in grades nine through eleven; and Success Academy Charter School – Upper West (84M523, “SA – Upper West”), an existing public charter elementary school that currently serves students in kindergarten through second grades. Frank McCourt and SA – Upper West are currently phasing in; Frank McCourt will serve its intended grade span of ninth through twelfth grades in the 2013-2014 school year, and SA – Upper West will serve its intended grade span of kindergarten through fifth grades in the 2015-2016 school year. A “co-location” means that two or more school organizations are located in the same building and may share common spaces like auditoriums, gymnasiums, and cafeterias.

Building M233 currently houses grades nine through twelve of Community Health Academy of the Heights (06M346, “CHAH”). CHAH is an existing limited unscreened district secondary school whose middle school grades, six through eight, are currently sited in building M859, which is located at 512 West 182nd Street, New York, NY 10033 within the geographical confines of Manhattan’s Community School District 6. In June 2009, the DOE announced that CHAH will move into new construction that can accommodate all of CHAH’s grades in one building. CHAH will move into the new construction for the 2013-2014 school year, thereby creating space for Innovation to be re-sited to building M233 beginning in 2013-2014.

Innovation², a partnership between the DOE and New Visions for Public Schools, opened in 2009 in M470 to serve over-age and under-credited students. Transfer high schools are designed to create a personalized learning environment and to provide students with connections to college. Each transfer school determines criteria for admission individually. Students graduate with a high school diploma from the transfer high school they attend. Many transfer schools include an engagement and support component called Learning to Work, an in-depth job readiness and career exploration program designed to enhance the academic component of transfer schools.³

¹ Transfer schools are small, academically rigorous high schools designed for over-age, under-credited students. Students designated as “over-age, under-credited” are considered to be two or more years behind their expected age and credit accumulation.

² Further information on Innovation Diploma Plus can be found at <http://www.diplomaplus.net/home.html> and <http://www.innovationdp.org/admissions>

³ For more information about transfer schools, please visit the NYC DOE website <http://schools.nyc.gov/ChoicesEnrollment/AlternativesHS/TransferHS/default.htm>

II. Proposed or Potential Use of Building

If this proposal is approved, Innovation would be the only school sited in M233. There are no proposed additional uses for M233. According to the 2011-2012 Enrollment Capacity Utilization Report (the “Blue Book”), building M233 has a target capacity to serve 335 students. In the 2012-2013 school year, CHAH will serve approximately 347 students in grades nine through twelve in M233, yielding a projected building utilization rate of approximately 104%. If this proposal is approved, Innovation will be re-sited to M233 beginning in 2013-2014, after CHAH has moved to its new construction for the 2013-2014 school year. In 2013-2014, Innovation is projected to serve 205-245 students, thereby yielding a target utilization rate of approximately 61% - 73%.

The proposed grade spans that M233 will serve between 2012-2013 and 2016-2017 are as follows:

Grade Spans						
DBN	School Name	2012-13	2013-14	2014-15	2015-16	2016-17
03M404	Innovation Diploma Plus ⁴	-	N/A	N/A	N/A	N/A
06M346	Community Health Academy of the Heights	9-12	-	-	-	-

If this proposal is approved, the projected enrollment and building utilization rates for the schools in M233 are as follows:

DBN	School Name	2012-2013 Projected Enrollment	2013-2014 Projected Enrollment	2014-2015 Projected Enrollment	2015-2016 Projected Enrollment	2016-2017 Projected Enrollment
03M404	Innovation Diploma Plus	-	205 - 245	205 - 245	205 - 245	205 - 245
06M346	Community Health Academy of the Heights	347	-	-	-	-
Total Building Enrollment		347	205 - 245	205 - 245	205 - 245	205 - 245
Utilization⁵		104%	61% - 73%	61% - 73%	61% - 73%	61% - 73%

As described in more detail in the Blue Book,⁶ a building’s target utilization rate is calculated by dividing the aggregated enrollment of all school organizations in the building by the aggregated “target capacities”

⁴ As a transfer high school, Innovation Diploma Plus serves students 16 years of age and older and organizes students by credit needs, not grade level.

⁵ All references to building utilization rates in this document are based on target capacity data from the 2011-2012 Blue Book and enrollment data from the 2012-2013 budgeted register projections. This methodology is consistent with the manner in which the DOE conducts planning and calculates space allocations and funding for all schools. In determining the space allocation for co-located schools, the Office of Space Planning will conduct a detailed site survey and space analysis of the building to assess the amount of space available in the building.

of those organizations. Each school organization's "target capacity" is calculated based upon the scheduled use of individual rooms as reported by principals during an annual facilities survey, the DOE's standards for maximum classroom capacities (which are lower than the United Federation of Teachers ("UFT") contractual class sizes and differ depending on grade level), and the efficiency with which classrooms are programmed (i.e., the frequency with which classes are scheduled in a given classroom).

The most recent year for which target capacity has been calculated for buildings is 2011-2012. The DOE's projected utilization rates for the 2012-2013 school year and beyond are based on the 2011-2012 target capacity, which assumes that the components underlying that target capacity (scheduled use of classrooms, maximum classroom capacity, etc.) remain constant. Thus, projected utilization rates for 2012-2013 and beyond provide only an approximation of a building's usage because each of the factors underlying target capacity may be adjusted by principals from year to year to better accommodate students' needs. For example, changing the use of a room from an administrative room to a homeroom at the high school level will increase a building's overall target capacity because high schools administrative rooms are not assigned a capacity. Holding enrollment constant, this change would result in a lower utilization rate. Similarly, if a room previously used as a kindergarten classroom is subsequently used as a fifth grade classroom, the building's target capacity would increase because we expect that a fifth grade class will have more students than a kindergarten class. This is reflected in the fact that the DOE's standard for goal classroom capacity is higher for fifth grade classrooms than for kindergarten classrooms. In this example, assuming enrollment is constant, the utilization rate would decrease.

III. Impact of the Proposal on Affected Students, Schools, and Community

A. Students

Impact on Students Attending Innovation Diploma Plus

If this proposal is approved, all students currently attending Innovation could continue to attend Innovation at its new location. All enrolled students in Innovation who currently receive mandated special education and/or English Language Learner ("ELL") services will continue to receive such services in accordance with their Individualized Education Plan ("IEP") if this proposal is approved. Services are tailored to meet the needs of individual students with disabilities and, as such, may vary from year to year.

Innovation currently offers the following partnerships, programs, extracurricular activities, and clubs:⁷

Partnerships:

- Alianza Dominicana
- Brooklyn Empowerment Neighborhood Initiative
- Developing Opportunities through Meaningful Education
- St. Luke's Hospital
- NYC Connected Foundations
- PENCIL

⁶ The Blue Book is available at http://www.nycsca.org/Community/CapitalPlanManagementReportsData/Enrollment/2011-2012_Classic.pdf

⁷ Principal reported information.

Programs:

- Learning to Work
- College Now
- Technology classes
- Advisory
- Mentoring Program
- Black Box
- Online courses

Extracurricular activities:

- Career exploration programs/internships
- Student council
- Tutoring
- Regents & SAT preparation
- College trips

Clubs:

- Gaming
- Chess
- Robotics

The DOE does not anticipate that this proposal will impact the partnerships, programs, extracurricular activities and/or clubs offered at Innovation. Students would continue to have the opportunity to participate in a variety of extra-curricular programs, though the specific programs offered at a given school are always subject to change. That is true for any City student as all schools modify extra-curricular offerings annually based on student demand and available resources.

The proposed new location for the school is located approximately 4.97 miles from Innovation's current location, M470, and would move the school from the geographical confines of District 3 to those of District 6. Thus, some current students will have to travel further to reach school. However, as discussed in section III.C. below, District 6 is the most-common district of residence for Innovation's students. Thus, the DOE believes that on balance, the proposal will not make it more difficult for current students to commute to the school.

Impact on Future High School Students – Transfer School Students

This proposal will not affect the admissions process for transfer school students applying to Innovation. Transfer schools do not participate in the High School Admissions Process.⁸ Transfer schools accept students who have been enrolled in high school in New York City for at least one year and who are behind in high school or at risk of dropping out. Each transfer school determines admissions criteria individually. To enroll, students must contact a transfer school directly to schedule an intake interview. Students can refer to the following website for more information about applying to a transfer school: www.goingforme.org. Students can also visit a Referral Center for High School Alternatives or a Borough Enrollment Office, where an experienced New York City public school counselor or social worker can explain options to students.

⁸ For additional information about the High School Admissions Process, please visit the DOE's website at <http://schools.nyc.gov/ChoicesEnrollment/High/Directory/default.htm>.

Innovation has a rolling admissions process.⁹ To be eligible for an interview, students must be at least 16 years of age and must:

- Have attended another high school for at least one year;
- Be willing and committed to return to a full-time high school;
- Be willing to commit at least two years to complete high school and develop their post secondary plan.

This proposal is not expected to change the admissions process or enrollment level at Innovation. Thus, the proposal will not change the availability of transfer high school seats citywide.

This proposal will result in there being one fewer transfer school located within the geographical confines of District 3. However, as discussed in section III.C. below, the majority of Innovation's current students reside outside of District 3. Thus, the impact of the reduction of transfer high school seats in District 3 should be minimal.

Impact on Students Attending Other Schools in M233

This proposal is not expected to affect the students attending the other school, CHAH, in M233, as they will be moving out for the 2013-2014 school year.

B. Schools

According to the building floor plans, M233 has ten full-size classrooms, ten half size rooms, six quarter size rooms and one quarter size designed administrative room. Because the proposed building does not have a gymnasium and science lab, the DOE will work with the school to ensure that it has the facilities necessary to meet the state requirements for physical education and Science. This could include doing construction to convert some existing space(s) to a fitness center and/or science lab for Innovation students. The Office of Space Planning estimates that this construction could cost between \$1.5 million - \$3.0 million. If this proposal is approved, there will be sufficient space to accommodate Innovation pursuant to the Citywide Instructional Footprint (the "Footprint"). Please visit the DOE's Web site to access the Footprint, which guides space allocation and use in City schools.¹⁰

The Footprint sets forth the baseline number of rooms that should be allocated to a school based on the grade levels served by the school and number of classes per grade. For existing schools, the Footprint is applied to the current number of sections per grade, assuming class size would remain constant. A representative from the Office of Space Planning then confirms both the baseline and current space allocation totals during a walk-through of the building, where he/she is accompanied by the school's representative.

For grades six through twelve, the Footprint assumes that students move from class to class and that classrooms should be programmed at maximum efficiency. The Footprint does not require that every teacher have his or her own designated classroom. Principals are asked to program their schools efficiently so that classrooms can be used for multiple purposes throughout the course of the school day. The Footprint allocates the number of baseline classrooms for student support services, resources rooms, and administrative space based on the grades a school serves and its enrollment at scale. Any space remaining beyond the baseline shall be allocated equitably among the co-located schools. In determining an equitable

⁹ Further information can be found at <http://www.innovationdp.org/admissions>

¹⁰ The Footprint is available at <http://schools.nyc.gov/community/planning/default.htm> under "Key Documents."

allocation, the DOE may consider factors such as the relative enrollments of the co-located schools, the instructional and programmatic needs of the co-located schools, and the physical location of the excess space within the building.

If this proposal is approved, a relocation planning committee consisting of the Office of Space Planning, Division of School Facilities, and representatives and staff at Innovation would work together to keep the Innovation community informed and help plan the relocation to the new facility.

The re-siting of Innovation will create additional available space in building M470. Any proposal for future use of that space will be subject to a separate EIS and/or revised Building Utilization Plan, as appropriate.

C. Community

This proposal addresses the needs of the Innovation community and the larger District 6 community. Building M233 is in close proximity to Alianza Dominicana, one of the school’s main partner organizations, located at 2410 Amsterdam Avenue, New York, NY 10033. Furthermore, the proposed re-siting of Innovation would provide the school with its own building and move the school into District 6, where a plurality of its students reside. As shown in the table below, 39 of Innovation’s 189 students (or 21%) reside in District 6, compared to only 7% of students who reside in District 3. While the re-siting will increase the commute for some students, the DOE does not expect the relocation will make it more difficult for the student body to access the school.

District of Residence	Number of Enrolled Students ¹¹	Percentage of Total Students
6	39	21%
4	22	12%
5	20	11%
10	18	10%
9	18	10%
3	13	7%
2	10	5%
11	9	5%
8	6	3%
12	6	3%
7	5	3%
24	5	3%
17	4	2%
28	3	2%
23	2	1%
27	2	1%

¹¹ Based on 2011 Audited Register data.

29	2	1%
30	2	1%
15	1	1%
18	1	1%
22	1	1%

This proposal is not expected to impact the ability of community members and organizations to obtain school building use permits at M233.

IV. Enrollment, Admissions and School Performance Information

Innovation Diploma Plus

Admissions Data

Current Admissions	Transfer school; rolling, school-based admissions
Post Re-Siting	Transfer school; rolling, school-based admissions

Enrollment Data

	Total Enrollment
2012-13 (projections)	225
2013-14 (projections)	205 - 245
2014-15 (projections)	205 - 245
2015-16 (projections)	205 - 245
2016-17 (projections)	205 - 245

Demographic Data¹²

Percentage of Students Receiving ICT or SC Services	0%
Percentage of Students with Individualized Education Plans	11%
Percentage of English Language Learner Students	4%
Percentage of Students Eligible for Free or Reduced Lunch	60%

School Performance Data

INNOVATION DIPLOMA PLUS	2008-2009	2009-2010	2010-2011
School Performance and Progress			
Overall Progress Report Grade			
Quality Review Score ¹³			
Key Components of Performance and Progress			
Average Credits Earned by Students Starting School Year with 0 to 11 Credits	-	-	6.71
4 Year Graduation Rate	-	-	-
6 Year Graduation Rate	-	-	-
Regents Completion Rate	-	39%	29%
Change in Attendance Rate	-	0%	-8%
2010-2011 State Accountability Status¹⁴			
In Good Standing			

Innovation opened in 2009-10 and is set to receive its first NYC Progress Report grade for 2011-12 in 2012-2013, when a six-year graduation rate can be determined. As such, Innovation has not yet received a NYC Progress Report grade.

V. Initial Impact on Budget and Cost of Instruction

The estimated cost to relocate Innovation to M233 is \$43,942.50. This cost will include moving all existing materials and furniture from the current site.¹⁵

¹² All figures are as a percentage of total students from the 2011 Audited Register.

¹³ Not all schools receive a Quality Review every year.

¹⁴ <http://www.p12.nysed.gov/irs/accountability/>

¹⁵ Office of Space Planning Memorandum

Because the proposed building does not have a gymnasium and science lab, the DOE will work with the school to ensure that it has the facilities necessary to meet the state requirements for physical education and Science. This could include doing construction to convert some existing space(s) to a fitness center and/or science lab for Innovation students. The Office of Space Planning estimates that this construction could cost between \$1.5 million - \$3.0 million.

This proposal should not otherwise impact the operating budget or costs of instruction at Innovation. The basic operating budget for schools is determined by the Fair Student Funding (“FSF”) formula used at all other New York City district public schools. Under FSF, schools receive City tax levy funding on a per pupil basis. Each student receives a per-pupil entitlement based on the grade level of the student, as well as other factors such as whether the student is an ELL or a student with a disability.

Please refer to the FSF Guide and FY13 School Allocation Memoranda for additional information on the cost of instruction and how the changes to FSF funding and other school allocations will be impacted if there are register changes at Innovation.

The FSF Guide is available at:

http://schools.nyc.gov/offices/d_chanc_oper/budget/dbor/allocationmemo/fy12_13/FY13_PDF/FSF_Guide.pdf

The FY13 School Allocation Memoranda is available at:

http://schools.nyc.gov/offices/d_chanc_oper/budget/dbor/allocationmemo/fy12_13/AM_FY13_CAT.html

Staffing changes are at the discretion of the school within the limits of contractual and mandated obligations.

VI. Effect on Personnel Needs, Administration, Transportation and Other Support Services

A. Personnel Needs

This proposal is not expected to change the number of personnel assigned to Innovation, nor is it expected to significantly alter the duties of current staff at Innovation.

B. Administration

No change in school supervisory or administrator positions is expected as a result of this proposal at Innovation.

C. Transportation

Transportation will continue to be provided according to Chancellor’s Regulation A-801:

<http://schools.nyc.gov/NR/rdonlyres/21A1B11A-886B-4F74-9546-E875EE82A14C/40303/A801.pdf>.

There will be no change to existing transportation policies due to this proposal. As discussed above, the re-siting likely will change the transportation eligibility of some current Innovation students.

D. Other Support Services

Other support services will continue to be provided consistent with Citywide policy.

VII. Building Information

Because the proposed building does not have a gymnasium and science lab, the DOE will work with the school to ensure that it has the facilities necessary to meet the state requirements for physical education and Science. This could include doing construction to convert some existing space(s) to a fitness center and/or science lab for Innovation students. The Office of Space Planning estimates that this construction could cost between \$1.5 million - \$3.0 million.

Building¹⁶		M233
Type of Building		PS
Year Built		1920
Overall BCAS rating		2.85
2011-2012 Target Building Utilization		121%
2011-2012 Target Building Capacity		335
FY 2012 Maintenance Costs	Labor	\$6,089
	Materials	\$2,638
	Maintenance and repair contracts	\$10,808
	Service contracts	\$4,095
	Custodial operations costs—Materials	\$2,238
	Custodial operations costs—Custodial Allocation	\$70,038
FY 2012 Energy Costs	Electric	\$196,538
	Gas	\$18,475
	Oil	\$29,050
Projects completed during the current or prior school year		Fuel Oil Tank Replacement, IEH ¹⁷ PO 18-2nd & 3rd FLR Tile Replacement
Projects proposed in the capital plan		Fitness center and/or Science lab
Accessibility of the building		Building is not Functionally Programmatically Accessible
Building attributes		Cafeteria, Computer Room

¹⁶ The Division of Space and Facilities notes that this is a leased site (merged with M173).

¹⁷ IEH is the Industrial & Environment Hygiene Division of the School Construction Authority. The PO 18 is the request for tile replacement work to be completed on the 2nd and 3rd floors of building M233.