



THE NEW YORK CITY DEPARTMENT OF EDUCATION
JOEL I. KLEIN, *Chancellor*

OFFICE OF THE CHIEF FINANCIAL OFFICER
52 Chambers Street, New York, NY 10007

BRUCE E FEIG
Chief Financial Officer

SCHOOL ALLOCATION MEMORANDUM NO. 48, FY06

DATE: July 13, 2005

TO: **INSTRUCTIONAL LEADERSHIP DIVISION (ILD) SUPERINTENDENTS, REGIONAL OPERATION CENTER (ROC) EXECUTIVE DIRECTORS, REGIONAL DIRECTORS OF STUDENT PLACEMENT, YOUTH & FAMILY SUPPORT SERVICES; DRUG DIRECTORS; and ALL SCHOOL PRINCIPALS**

FROM: Bruce E. Feig

SUBJECT: **SUBSTANCE ABUSE AND VIOLENCE PREVENTION/INTERVENTION PROGRAM ALLOCATIONS**

This memorandum presents resource allocations supporting substance abuse and violence prevention programs administered by Regional/District Drug Directors in consultation with their SPYFSS Director and Betty Rothbart, the Prevention and Intervention Services Administrator. The venture is divided into two funding streams.

- New York State Office of Alcoholism and Substance Abuse Services (OASAS), including state, required local match, and private funds.
- Federal Title IV Safe and Drug Free Schools and Communities Act (SDFSCA). As in previous years, a portion of Title IV is transferred to Title V.

NY State OASAS

OASAS program funds are allocated by region but disseminated by district in order to maintain our ability to track and verify seniority of Substance Abuse Prevention and Intervention Specialists (SAPIS). OASAS funding, including the required local tax levy match and private funds, is unchanged from last year. The OASAS hold-harmless factor that was introduced last year is continued this year in order to support regional/district funding stability. This factor assures that any losses do not exceed 5 percent of last year's funding. After implementing the hold-harmless factor, funds are distributed for services in regional schools and alternative high schools and programs according to the following formula:

- 50% based on student register,
- 20% based on pupil abuse factor defined as one year below grade level for kindergarten to grade 5 and two years below grade level for grades 6 and above,
- 30% based on State defined performance factor (this has not been provided by the State; consequently, funds are evenly distributed across districts).

Federal Title IV SDFSCA

Title IV revenue is increased by approximately \$383,000. The amount of Title IV funds transferred to Title V remains the same as in the previous fiscal year.

The federal funds are distributed according to the following formula:

- 70% based on pupil enrollment
- 30% based on pupil need factor defined as one year below grade level for kindergarten to grade 5 and two years below grade level for grades 6 and above.

Allocation Tables and Budget Information

Table 1 Spending Plan

Table 2 Substance Abuse Prevention Allocation Summary

Table 3 Federal Title IV Allocation

Table 4 OASAS: State/City/Private Grant Program

Table 5 OASAS Allocation: Funding Distribution

Table 6 OASAS Pass-Through Grants, (a.k.a. "Special Grants").

The Federal Title IV (Table 3), State OASAS (Table 4) and OASAS Pass-Through Grants (Table 6) allocations will be placed in ROC budgets, Transfer Utility. State funds may be allocated to regional location codes as well as district "S" and school location codes. Federal funds must be allocated to district "S" or school location codes.

ROC staff should work with the Regional Directors of Student Placement, Youth and Family Support Services and the Drug Directors when scheduling resources and are also asked to expedite posting positions and hiring. When a SAPIS or other H-Bank employee is transferred from one location to another, this information must not only be entered into Galaxy but also into the H-Bank Payroll System – APRL.

Federal Title IV Program and Fiscal Year Overlap

The Federal Title IV program runs from September 1, 2005 to August 31, 2006. This overlaps the fiscal year that begins on July 1, 2005 and ends on June 30, 2006. Because of this overlap, actions need to be taken for the summer months to ensure that staff and other summer program costs are paid and budgets are appropriately charged. Here are the actions that need to take place.

1. July 1, 2005 to August 31, 2005: FY05 Title IV funds that were de-obligated in April/May 2005 are re-obligated and carried over to fund salaries and summer '05 programs (FY06 budget).
2. September 1, 2005 to June 30, 2006: Current year FY06 allocations presented in this memorandum support positions scheduled at 10 months. Schedule each 12 month H-Bank position as one 10-month position plus one two-month vacancy (to cover summer).
3. April/May 2006: De-obligate Title IV funds scheduled for two-month vacancies and re-obligate the funds once FY 07 opens.
4. July 1, 2006 to August 31, 2006: Re-obligate current year, FY06, allocations presented in this memorandum for carry-over for the summer (FY07 budget) to support two months of position plus other summer program costs.

Attachments: Tables 1 - 6

BEF:BGF:BR:pav

c: Andres Alonso
Michele Cahill
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JoEllen Lynch
Betty Rothbart
Local Instructional Superintendents (LIS)

TABLE 1
SPENDING PLAN for SUBSTANCE ABUSE
PREVENTION/INTERVENTION PROGRAMS

	<u>FY05</u>	<u>FY06</u>	<u>Change</u>
<u>OASAS</u>			
State Revenue	\$19,831,174	\$19,831,174	0
Less: Special Grants/Pass Thru	685,393	685,393	0
Less: Prof Devel/Training	191,586	191,586	0
Less: One-Time Adj	0	<u>0</u>	0
Net State Funds Available	\$18,954,195	\$18,954,195	\$0
City Match	\$5,484,225	\$5,484,225	0
D.24 Student Assist Prg CityCouncil	<u>59,535</u>	<u>59,535</u>	0
Net City Available	\$5,424,690	\$5,424,690	\$0
Private Grants	\$200,000	\$200,000	\$0
Total Available for Allocation	\$24,578,885	\$24,578,885	\$0
<u>Federal Title IV</u>			
Estimated Public	\$13,448,352	\$13,932,834	484,482
Estimated NonPublic	10,699,215	11,082,604	383,389
	2,749,137	2,850,230	101,093
Transfer to Title V	(2,500,000)	(2,500,000)	0
Central Dist. 67	<u>200,000</u>	<u>200,000</u>	0
Sub-Total	7,999,215	8,382,604	383,389
Anticipated Carryover	<u>2,000,000</u>	<u>2,000,000</u>	0
SubTotal	9,999,215	10,382,604	383,389
Set aside for Indirect cost	196,063	203,580	7,517
Available for Allocation	\$9,803,152	\$10,179,024	375,872
<u>Tax Levy</u>			
	\$0	\$0	0

TABLE 2
SUBSTANCE ABUSE PREVENTION ALLOCATION SUMMARY

(Does not include Pass-Through of Special Grants, see Table 6)

<u>ROC</u>	<u>RGN</u>	<u>Dist</u>	<u>FY06 Title IV</u>	<u>FY06 OASAS</u>	<u>FY06 Total</u>	<u>FY05 Total</u>	<u>Change</u>	
A	9	1	102,308	448,027	550,335	579,300	(28,965)	-5.00%
A	9	2	573,995	1,129,087	1,703,082	1,549,375	153,707	9.92%
A	9	4	153,581	629,158	782,739	823,936	(41,197)	-5.00%
A	9	7	214,203	551,139	765,342	788,420	(23,078)	-2.93%
A	10	3	222,999	567,129	790,128	765,168	24,960	3.26%
A	10	5	143,717	439,043	582,760	596,857	(14,097)	-2.36%
A	10	6	318,006	718,451	1,036,457	1,062,296	(25,839)	-2.43%
B	1	9	329,819	1,212,143	1,541,962	1,623,118	(81,156)	-5.00%
B	1	10	671,656	1,281,638	1,953,294	1,944,404	8,890	0.46%
B	2	8	358,221	780,809	1,139,030	1,102,274	36,756	3.33%
B	2	11	416,409	874,902	1,291,311	1,263,370	27,941	2.21%
B	2	12	242,088	595,344	837,432	864,570	(27,138)	-3.14%
C	3	25	284,253	1,003,205	1,287,458	1,355,219	(67,761)	-5.00%
C	3	26	279,545	661,723	941,268	886,752	54,516	6.15%
C	3	28	344,574	763,003	1,107,577	1,082,401	25,176	2.33%
C	3	29	263,011	632,583	895,594	921,845	(26,251)	-2.85%
C	CW	75	134,444	40,975	175,419	168,218	7,201	4.28%
D	4	24	446,956	928,601	1,375,557	1,340,340	35,217	2.63%
D	4	30	394,862	842,453	1,237,315	1,173,625	63,690	5.43%
D	4	32	182,650	501,387	684,037	666,595	17,442	2.62%
D	5	19	333,911	740,685	1,074,596	1,099,394	(24,798)	-2.26%
D	5	23	128,409	414,996	543,405	553,027	(9,622)	-1.74%
D	5	27	454,200	936,693	1,390,893	1,339,125	51,768	3.87%
E	8	13	183,932	532,549	716,481	754,191	(37,710)	-5.00%
E	8	14	186,186	508,070	694,256	696,794	(2,538)	-0.36%
E	8	15	229,593	578,923	808,516	776,156	32,360	4.17%
E	8	16	129,044	416,508	545,552	544,242	1,310	0.24%
E	Alt HS Proc	79	301,752	1,059,093	1,360,845	1,360,845	0	0.00%
F	6	17	336,606	747,174	1,083,780	1,088,360	(4,580)	-0.42%
F	6	18	254,594	683,861	938,455	987,847	(49,392)	-5.00%
F	6	22	359,349	788,929	1,148,278	1,126,937	21,341	1.89%
F	7	20	373,819	811,332	1,185,151	1,130,097	55,054	4.87%
F	7	21	352,647	775,556	1,128,203	1,056,690	71,513	6.77%
F	7	31	477,684	983,716	1,461,400	1,445,252	16,148	1.12%
			10,179,023	24,578,885	34,757,908	34,382,040	375,868	1.09%

TABLE 3
FEDERAL TITLE IV ALLOCATION

Transfer Utility

ROC	RGN	Dist	Register	Need Fctr (Overage)	Register	Needs	Amount by Dist.	Amount by ROC	Location Code	ROC
					Factor 70%	Factor 30%				
A	9	1	10,052	1,316	71,094	31,214	102,308		AC: TITLE IV DRUG FREE	
A	9	2	56,294	7,414	398,146	175,849	573,995		FS: TITLE IV DRUG FREE 6	
A	9	4	15,732	1,784	111,267	42,314	153,581			
A	9	7	19,689	3,160	139,253	74,950	214,203			
A	10	3	22,482	2,698	159,007	63,992	222,999			
A	10	5	13,670	1,983	96,683	47,034	143,717			
A	10	6	30,831	4,214	218,056	99,950	318,006	\$1,728,809	96MTRA	A-Man, 333 7th Ave
B	1	9	31,398	4,543	222,066	107,753	329,819			
B	1	10	61,819	9,884	437,223	234,433	671,656			
B	2	8	32,637	5,371	230,829	127,392	358,221			
B	2	11	39,194	5,869	277,205	139,204	416,409			
B	2	12	21,928	3,668	155,089	86,999	242,088	\$2,018,193	96XTRB	B-Bronx, Fordham Plaza
C	3	25	29,647	3,144	209,682	74,571	284,253			
C	3	26	32,070	2,223	226,819	52,726	279,545			
C	3	28	35,446	3,958	250,696	93,878	344,574			
C	3	29	27,757	2,812	196,315	66,696	263,011			
C	CW	75	19,009	na	134,444	na	134,444	\$1,305,827	96QTRC	C-Flushing, Linden Place
D	4	24	47,021	4,823	332,562	114,394	446,956			
D	4	30	39,206	4,957	277,289	117,573	394,862			
D	4	32	17,431	2,503	123,283	59,367	182,650			
D	5	19	29,069	5,410	205,594	128,317	333,911			
D	5	23	12,666	1,637	89,582	38,827	128,409			
D	5	27	44,145	5,986	312,221	141,979	454,200	\$1,940,988	96QTRD	D-LIC, Queens Plaza
E	8	13	20,389	1,675	144,204	39,728	183,932			
E	8	14	18,813	2,240	133,057	53,129	186,186			
E	8	15	24,380	2,410	172,431	57,162	229,593			
E	8	16	13,232	1,495	93,585	35,459	129,044			
E	Alt HS Pr	79	15,672	8,049	110,842	190,910	301,752	\$1,030,507	96KTRE	E-Brooklyn, 131 Liv
F	6	17	31,546	4,785	223,113	113,493	336,606			
F	6	18	23,381	3,762	165,365	89,229	254,594			
F	6	22	39,185	3,466	277,141	82,208	359,349			
F	7	20	39,799	3,893	281,483	92,336	373,819			
F	7	21	35,843	4,180	253,504	99,143	352,647			
F	7	31	56,017	3,436	396,187	81,497	477,684	\$2,154,699	96RTRF	F-SI, Ocean Terrace
				1,007,450	128,748	\$7,125,317	\$3,053,706	\$10,179,023	\$10,179,023	

TABLE 4
OASAS: STATE/CITY/PRIVATE GRANT PROGRAM

Transfer Utility

ROC	RGN	Dist	Register	Overage	Register	Abuse	Performance	Hold-Harmless	Amount by Dist.	Amount by Region	Amount by ROC	Location Code	ROC	
					Factor 50%	Factor 20%	Factor 30%	Restucturing Phase-In						
A	9	1	10,052	1,316	\$116,966	\$47,025	\$209,118	74,918	\$448,027					
A	9	2	56,294	7,414	655,041	264,928	209,118	0	1,129,087					
A	9	4	15,732	1,784	183,059	63,749	209,118	173,232	629,158					
A	9	7	19,689	3,160	229,103	112,918	209,118	0	551,139	\$2,757,411				
A	10	3	22,482	2,698	261,602	96,409	209,118	0	567,129					
A	10	5	13,670	1,983	159,065	70,860	209,118	0	439,043					
A	10	6	30,831	4,214	358,752	150,581	209,118	0	718,451	\$1,724,623	\$4,482,034	96MTRA	A-Man, 333 7th Ave	
B	1	9	31,398	4,543	365,349	162,337	209,118	475,339	1,212,143					
B	1	10	61,819	9,884	719,330	353,190	209,118	0	1,281,638	\$2,493,781				
B	2	8	32,637	5,371	379,766	191,925	209,118	0	780,809					
B	2	11	39,194	5,869	456,064	209,720	209,118	0	874,902					
B	2	12	21,928	3,668	255,156	131,070	209,118	0	595,344	\$2,251,055	\$4,744,836	96XTRB	B-Bronx, Fordham Plaza	
C	3	25	29,647	3,144	344,975	112,346	209,118	336,766	1,003,205					
C	3	26	32,070	2,223	373,169	79,436	209,118	0	661,723					
C	3	28	35,446	3,958	412,452	141,433	209,118	0	763,003					
C	3	29	27,757	2,812	322,982	100,483	209,118	0	632,583	\$3,060,514				
C	CW	75	19,009	na	na	na	na	40,975	40,975	\$40,975	\$3,101,489	96QTRC	C-Flushing, Linden Place	
D	4	24	47,021	4,823	547,140	172,343	209,118	0	928,601					
D	4	30	39,206	4,957	456,204	177,131	209,118	0	842,453					
D	4	32	17,431	2,503	202,828	89,441	209,118	0	501,387	\$2,272,441				
D	5	19	29,069	5,410	338,249	193,318	209,118	0	740,685					
D	5	23	12,666	1,637	147,382	58,496	209,118	0	414,996					
D	5	27	44,145	5,986	513,674	213,901	209,118	0	936,693	\$2,092,374	\$4,364,815	96QTRD	D-LIC, Queens Plaza	
E	8	13	20,389	1,675	237,248	59,854	209,118	26,329	532,549					
E	8	14	18,813	2,240	218,909	80,043	209,118	0	508,070					
E	8	15	24,380	2,410	283,687	86,118	209,118	0	578,923					
E	8	16	13,232	1,495	153,968	53,422	209,118	0	416,508	\$2,036,050				
E	Alt HS	79	15,672	8,049	182,360	287,619	209,118	379,996	1,059,093	\$1,059,093	\$3,095,143	96KTRE	E-Brooklyn, 131 Liv	
F	6	17	31,546	4,785	367,071	170,985	209,118	0	747,174					
F	6	18	23,381	3,762	272,063	134,429	209,118	68,251	683,861					
F	6	22	39,185	3,466	455,959	123,852	209,118	0	788,929	\$2,219,964				
F	7	20	39,799	3,893	463,104	139,110	209,118	0	811,332					
F	7	21	35,843	4,180	417,072	149,366	209,118	0	775,556					
F	7	31	56,017	3,436	651,818	122,780	209,118	0	983,716	\$2,570,604	\$4,790,568	96RTRF	F-SI, Ocean Terrace	
					1,007,450	128,748	\$11,501,567	\$4,600,618	\$6,900,894	\$1,575,806	\$24,578,885	\$24,578,885	\$24,578,885	

TABLE 5
OASAS ALLOCATION: FUNDING DISTRIBUTION

<u>ROC</u>	<u>Rgn.</u>	OASAS	<u>BREAKDOWN OF OASAS TOTAL</u>		
		<u>Total Allocation</u>	<u>State Funds</u>	<u>NYC Local Match</u>	<u>Private Funds</u>
A	9	\$2,757,411	\$2,126,399	\$608,575	\$22,437
A	10	\$1,724,623	\$1,329,957	\$380,633	\$14,033
B	1	\$2,493,781	\$1,923,098	\$550,391	\$20,292
B	2	\$2,251,055	\$1,735,918	\$496,820	\$18,317
C	3	\$3,060,514	\$2,360,138	\$675,472	\$24,904
C	75	\$40,975	\$31,599	\$9,043	\$333
D	4	\$2,272,441	\$1,752,410	\$501,540	\$18,491
D	5	\$2,092,374	\$1,613,550	\$461,798	\$17,026
E	8	\$2,036,050	\$1,570,116	\$449,367	\$16,567
E	79	\$1,059,093	\$816,728	\$233,747	\$8,618
F	6	\$2,219,964	\$1,711,942	\$489,958	\$18,064
F	7	\$2,570,604	\$1,982,341	\$567,346	\$20,917
		\$24,578,885	\$18,954,196	\$5,424,690	\$199,999

TABLE 6
SUBSTANCE ABUSE PREVENTION ALLOCATION -- PASS THROUGHS

TRANSFER UTILITY

<u>ROC</u>	<u>Region</u>	<u>District</u>	<u>Location</u>	<u>Amount</u>	<u>Allocation Category</u>	<u>Fund Source</u>
A-Man, 333 7th Ave	9	4	04MS04	\$80,000	OASAS County Initiative Prevention	SUBSTANCE ABUSE 90 6
C-Flushing, Linden Place	3	25	25QS25	\$52,069	C.O.S.A. Drug Abuse Program	SUBSTANCE ABUSE 95 6
C-Flushing, Linden Place	3	25	25QS25	\$95,325	OASAS School Based Initiative	SUBSTANCE ABUSE 94 6
C-Flushing, Linden Place	3	26	26QS26	\$80,000	OASAS County Initiative Prevention	SUBSTANCE ABUSE 90 6
C-Flushing, Linden Place	3	28	28QS28	\$51,250	OASAS County Initiative Prevention	SUBSTANCE ABUSE 90 6
C-Flushing, Linden Place	3	29	29QS28	\$189,851	OASAS Anti-Drug Initiative Pgm	SUBSTANCE ABUSE 92 6
D-LIC, Queens Plaza	4	24	24QS24	\$67,212	OASAS Student Assistance Prgm	SUBSTANCE ABUSE 93 6
D-LIC, Queens Plaza	4	24	24QS24	\$59,535	Student Assistance Pgm (City Council)	SUBSTANCE ABUSE 96 6
F-SI, Ocean Terrace	6	22	22KS22	\$2,709	TBD*	TBD*
F-SI, Ocean Terrace	7	20	20KS20	\$69,686	OASAS County Initiative Prevention	SUBSTANCE ABUSE 90 6
TOTAL				<u>\$747,637</u>		

* DAPC Drug Abuse Prevention Council